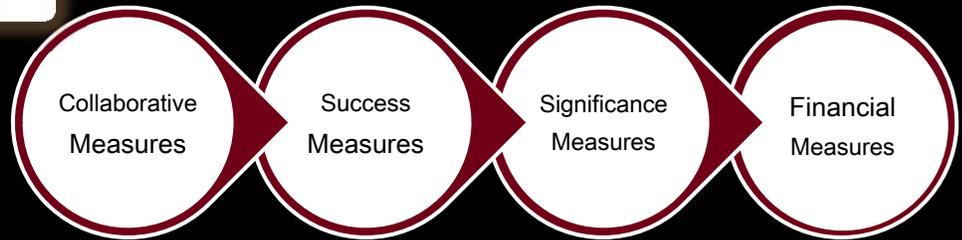




Ohio
Local Government
 Innovation Fund



Round 7: Application Form

Step One: Fill out this Application Form in its entirety.

Step Two: Fill out the online submission form and submit your application materials. All supplemental application materials should be combined into one file for submission.

LGIF: Applicant Profile

Lead Agency	
Project Name	
Type of Request	
Request Amount	
JobsOhio Region	
Political Subdivision Type <small>Choose one that best describes your organization</small>	
Project Type	
Project Approach	



**Development
 Services Agency**

Website: http://development.ohio.gov/cs/cs_localgovfund.htm

E-mail: LGIF@development.ohio.gov

Phone: 614 | 995 2292

Lead Applicant		Round 7	
Project Name		Type of Request	

Instructions

- Make sure to answer each question appropriately in the space provided, not exceeding the space allowed by the answer box.
- Examples of completed applications are available on the LGIF website, found here:
http://development.ohio.gov/cs/cs_localgovfund.htm

Lead Agency			
Mailing Address:	Name:		
	Street Address:		
	City:		
	Zip:		
In what county is the lead agency located?			
<table border="1" style="width: 100%;"> <tr> <td style="width: 50%;">Ohio House District:</td> <td style="width: 50%;">Ohio Senate District:</td> </tr> </table>		Ohio House District:	Ohio Senate District:
Ohio House District:	Ohio Senate District:		

Section 1
Contacts

Project Contact		
Please provide information about the individual who should be contacted regarding this application.		
Mailing Address:	Name:	Title:
	Street Address:	
	City:	
	Zip:	
Email Address:	Phone Number:	

Fiscal Agency:		
Please provide information for the entity and individual serving as the fiscal agent for the project.		
Mailing Address:	Fiscal Agency:	
	Fiscal Officer:	Title:
	Street Address:	
	City:	
	Zip:	
Email Address:	Phone Number:	

Population		
Does the applicant (or collaborative partner) represent a city, township or village with a population of less than 20,000 residents?	Yes	No
	List Entity	
Does the applicant (or collaborative partner) represent a county with a population of fewer than 235,000 residents?	Yes	No
	List Entity	

Single Applicant		
Is your organization applying as a single entity?	Yes	No

Lead Applicant		Round 7	
Project Name		Type of Request	

Collaborative Partners

Does the proposal include collaborative partners?	Yes	No
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Applicants applying with collaborative partners are required to show proof of the partnership with a signed partnership agreement and a resolution of support from each of the partner's governing entities. If the collaborative partner does not have a governing entity, a letter of support from the partnering organization is sufficient. These documents must be received by the end of the cure period in order for each entity to count as a collaborative partner for the purposes of this application.

Nature of the Partnership

As agreed upon in the signed partnership agreement, please identify the nature of the partnership with an explanation of how the lead agency and collaborative partners will work together on the proposed project.

Section 2
Collaborative Partners

Lead Applicant		Round 7	
		Type of Request	

List of Partners

Please use the following space to list each collaborative partner who is participating in the project and is providing BOTH a resolution of support for the Local Government Innovation Fund application and has signed the partnership agreement.

Collaborative Partner # 1	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 2	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 3	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 4	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 5	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 6	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Section 2 Collaborative Partners

Lead Applicant		Round 7	
Project Name		Type of Request	

Collaborative Partner # 7	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 8	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 9	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 10	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 11	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 12	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 13	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Section 2 Collaborative Partners

Lead Applicant		Round 7	
Project Name		Type of Request	

Project Information

Provide a general description of the project, including a description of the final work product derived from the grant study or loan implementation project. This information may be used for council briefings, program and marketing materials.

Section 3

Project Information

Lead Applicant		Round 7	
Project Name		Type of Request	

Project Information		
Has this project been submitted for consideration in previous LGIF rounds?	Yes	No
If yes, in which round(s)?		
What was the project name?		
What entity was the lead applicant?		

Past Success		
Provide a summary of past efforts to implement a project to improve efficiency, implement shared services, coproduction or a merger (5 points).		
Applicant demonstrates Past Success	Yes	No

Section 3

Project Information

Scalable		
Provide a summary of how the applicant's proposal can be scaled for the inclusion of other entities (5 points).		
Applicant demonstrates a Scalable project	Yes	No

Lead Applicant		Round 7	
		Type of Request	

Replicable		
Provide a summary of how the applicant's proposal can be replicated by other entities. A replicable project should include a component that another entity could use as a tool to implement a similar project (5 points).		
Applicant demonstrates a Replicable project	Yes	No

Section 3
Project Information

Probability of Success		
Provide a summary of the likelihood of the grant study recommendations being implemented. Applicants requesting an implementation loan should provide a summary of the probability of savings from the loan request (5 points).		
Applicant demonstrates Probability of Success	Yes	No

Lead Applicant		Round 7	
Project Name		Type of Request	

Performance Audit/Cost Benchmarking		
<p>If the project is the result of recommendations from a prior performance audit provided by the Auditor of State under Chapter 117 of the Ohio Revised Code, or is informed by a previous cost benchmarking study, please attach a copy with the supporting documents. In the section below, provide a summary of the performance audit findings or cost benchmarking study results (5 points).</p>		
Prior Performance Audit or Cost Benchmarking	Yes	No

Section 3
Project Information

Economic Impact		
<p>Provide a summary of how the proposal will promote a business environment through a private sector partner (5 points) and/or provide for community attraction (3 points).</p>		
Applicant demonstrates Economic Impact	Yes	No

Lead Applicant			
		Type of Request	

Response to Economic Demand		
Provide a summary of how the project responds to substantial changes in economic demand for local or regional government services. The narrative should include a description of the current and future expected service level needs (5 points).		
Applicant demonstrates Response to Economic Demand	Yes	No

Section 3
Project Information

Budget Information

General Instructions

- Both the Project Budget and Program Budgets are required to be filled out in this form.
- Consolidate budget information to fit in the form. Additional budget details may be provided in the budget narrative.

Project Budget:

- The Project Budget should detail expenses related to the grant or loan project.
- The Project Budget justification must be explained in the Project Budget Narrative section of the application. This section is also used to explain the reasoning behind any items on the budget that are not self explanatory, and provide additional detail about project expenses.
- The Project Budget should be for the period that covers the entire project. The look-back period for in-kind contributions is two years. These contributions are considered a part of the total project costs.
- For the Project Budget, indicate which entity and revenue source will be used to fund each expense. This information will be used to help determine eligible project expenses.
- Total Sources must equal Total Uses. Include staff time and other in-kind matches in the Total Uses section of the budget.

Program Budget

- Use the Program Budget to outline the costs associated with the implementation of the program in your community.
- Six years of Program Budgets should be provided. The standard submission should include three years previous budgets (actual) and three years of projections including implementation of the proposed project. A second set of three years of projections (one set including implementation of this program and one set where no shared services occurred) may be provided in lieu of three years previous if this does not apply to the proposed project.
- Please use the Program Budget Narrative section to explain changes in expenses and revenues, and to defend the budget projections. If the budget requires the combining of costs on the budget template, please explain this in the narrative.

Return on Investment:

- A Return on Investment (ROI) calculation is required, and should reference cost savings, cost avoidance and/or increased revenues indicated in Program Budget sections of the application. The ROI should be calculated over a three-year period. Use the space designated for narrative to justify this calculation, using references when appropriate.

For Loan Applications only:

- Using the space provided, outline a loan repayment structure.
- Attach three years of prior financial documents related to the financial health of the lead applicant (balance sheet, income statement and a statement of cash flows).

Lead Applicant		Round 7	
Project Name		Type of Request	

Project Budget

Use this space to outline all sources of funds and the uses of those funds. Both sections should include all funds related to the project, including in-kind match contributions. Use the project budget narrative on the next page to justify the project budget. Indicate the line items for which the grant will be used.

Sources of Funds

LGIF Request:

Cash Match (List Sources Below):

Source:	<input type="text"/>

In-Kind Match (List Sources Below):

Source:	<input type="text"/>
Source:	<input type="text"/>
Source:	<input type="text"/>

Total Match:

Total Sources:

Uses of Funds

	Amount	Revenue Source
Consultant Fees:	<input type="text"/>	<input type="text"/>
Legal Fees:	<input type="text"/>	<input type="text"/>
Other: _____	<input type="text"/>	<input type="text"/>
Other: _____	<input type="text"/>	<input type="text"/>
Other: _____	<input type="text"/>	<input type="text"/>
Other: _____	<input type="text"/>	<input type="text"/>
Other: _____	<input type="text"/>	<input type="text"/>
Other: _____	<input type="text"/>	<input type="text"/>
Other: _____	<input type="text"/>	<input type="text"/>
Other: _____	<input type="text"/>	<input type="text"/>

Total Uses:

Local Match Percentage:

* Please note that this match percentage will be included in your grant/loan agreement and cannot be changed after awards are made.

Local Match Percentage = (Match Amount/Project Cost) * 100 (10% match required)
 10-39.99% (1 point) 40-69.99% (3 points) 70% or greater (5 points)

Section 4
Financial Information

Lead Applicant		Round 7	
Project Name		Type of Request	

Project Budget Narrative: Use this space to justify any expenses that are not self-explanatory.

[Large empty rectangular area for Project Budget Narrative]

Section 4
Financial Information

Lead Applicant		Round 7
Project Name		Type of Request

Program Budget

Actual ___ Projected ___	FY _____	FY _____	FY _____
Expenses	Total Program Expenses	Total Program Expenses	Total Program Expenses
Salary and Benefits			
Contract Services			
Occupancy (rent, utilities, maintenance)			
Training & Professional Development			
Insurance			
Travel			
Capital & Equipment Expenses			
Supplies, Printing, Copying & Postage			
Evaluation			
Marketing			
Conferences, meetings, etc.			
Administration			
*Other - _____			
*Other - _____			
*Other - _____			
TOTAL EXPENSES			
Revenues	Revenues	Revenues	Revenues
Contributions, Gifts, Grants & Earned Revenue			
<i>Local Government:</i> _____			
<i>Local Government:</i> _____			
<i>Local Government:</i> _____			
<i>State Government</i>			
<i>Federal Government</i>			
*Other - _____			
*Other - _____			
*Other - _____			
<i>Membership Income</i>			
<i>Program Service Fees</i>			
<i>Investment Income</i>			
TOTAL REVENUES			

Lead Applicant		Round 7	
Project Name		Type of Request	

Program Budget

Actual ___ Projected ___	FY _____	FY _____	FY _____
Expenses	Total Program Expenses	Total Program Expenses	Total Program Expenses
Salary and Benefits			
Contract Services			
Occupancy (rent, utilities, maintenance)			
Training & Professional Development			
Insurance			
Travel			
Capital & Equipment Expenses			
Supplies, Printing, Copying & Postage			
Evaluation			
Marketing			
Conferences, meetings, etc.			
Administration			
*Other - _____			
*Other - _____			
*Other - _____			
TOTAL EXPENSES			
Revenues	Revenues	Revenues	Revenues
Contributions, Gifts, Grants & Earned Revenue			
<i>Local Government:</i> _____			
<i>Local Government:</i> _____			
<i>Local Government:</i> _____			
<i>State Government</i>			
<i>Federal Government</i>			
*Other - _____			
*Other - _____			
*Other - _____			
<i>Membership Income</i>			
<i>Program Service Fees</i>			
<i>Investment Income</i>			
TOTAL REVENUES			

Lead Applicant		Round 7
Project Name		Type of Request

Program Budget

Use this space to justify your program budget and/or explain any assumptions used for the budget projections. These projections should be based on research, case studies or industry standards and include a thoughtful justification.

Section 4: Financial Information Scoring

(5 points) Applicant provided complete and accurate budget information and narrative justification for a total of six fiscal years.

(3 points) Applicant provided complete and accurate budget information for at least three fiscal years.

(1 point) Applicant provided complete and accurate budget information for less than three fiscal years.

Lead Applicant		Round 7	
Project Name		Type of Request	

Return On Investment

Return on Investment (ROI) is a performance measure used to evaluate the efficiency of an investment. To derive the expected ROI, divide the net gains of the project by the net costs (for a three-year period). For these calculations, please use the implementation gains and costs, NOT the project costs (the cost of the feasibility, planning or management study)--unless the results of this study will lead to direct savings without additional implementation costs.

Return on Investment Formulas:

Consider the following questions when determining the appropriate ROI formula for your project. Check the box of the formula that you are using to determine your ROI. These numbers should refer to savings/revenues illustrated in projected program budgets, and should reflect a three-year period.

Do you expect cost savings from efficiency from your project?

Use this formula:
$$\frac{\text{Total \$ Saved}}{\text{Total Program Costs}} * 100 = \text{ROI}$$

Do you expect cost avoidance from the implementation of your project/program?

Use this formula:
$$\frac{\text{Total Cost Avoided}}{\text{Total Program Costs}} * 100 = \text{ROI}$$

Do you expect increased revenues as a result of your project/program?

Use this formula:
$$\frac{\text{Total New Revenue}}{\text{Total Program Costs}} * 100 = \text{ROI}$$

Do you expect some combination of savings, cost avoidance or increased revenue as a result of your project/program? (Total Gains combines \$ Saved, Costs Avoided and New Revenue)

Use this formula:
$$\frac{\text{Total Gains}}{\text{Total Program Costs}} * 100 = \text{ROI}$$

Expected Return on Investment = _____ * 100 =

Expected Return on Investment is:

Less than 25% (5 points) 25%-75% (10 points) Greater than 75% (15 points)

Questions about how to calculate ROI? Please contact the Office of Redevelopment at 614-995-2292 or lgif@development.ohio.gov

Section 4
Financial Information

Lead Applicant		Round 7	

Return on Investment Justification Narrative: In the space below, describe the nature of the expected ROI calculation providing justification for the numbers presented in the ROI calculation. This calculation should be based on the savings, cost avoidance or increased revenues shown in the program budgets on the preceding pages. Use references when appropriate to justify assumptions used for cost projections.

Section 4
Financial Information

Lead Applicant		Round 7	
Project Name		Type of Request	

Magnitude of Project

If the project has an expected ROI of 74.99 percent or less, complete the following calculation. Projects with a Magnitude Factor of 50 or above score (5 points.)

$$\frac{\text{ROI\%}}{1000} \times \text{Savings Amt} = \text{Magnitude Factor}$$

Project has a Magnitude Factor of 50 or above Yes No

Cost Savings

This project will decrease specific line items in the existing budget. The specific line items should be evidenced by an expected decrease in specific line items for the next three years. In the space below please list the specific line item in the Program Budget section and the total dollar amount saved in the next three years (5 points).

Applicant demonstrates Cost Savings Yes No

Core Services

Does the project affect core services in your community? Explain how this project meets the basic needs of your community by providing services for which the lead applicant is primarily responsible (5 points).

Project affects Core Services of the Lead Applicant Yes No

Section 4
Financial Information

Lead Applicant		Round 7	
Project Name		Type of Request	

Loan Repayment Structure

Please outline your preferred loan repayment structure. At a minimum, please include the following: the entities responsible for repayment of the loan, all parties responsible for providing match amounts and an alternative funding source (in lieu of collateral). Applicants will have two years to complete their project upon execution of the loan agreement, and the repayment period will begin upon the final disbursement of the loan funds. A description of expected savings over the term of the loan may be used as a repayment source.

Section 4
Financial Information

Applicant demonstrates a viable repayment source to support loan award. Secondary source can be in the form of a debt reserve, bank participation, a guarantee from a local entity or other collateral (i.e. emergency, rainy day or contingency fund, etc).

Applicant clearly demonstrates a secondary repayment source (5 points)	Applicant does not have a secondary repayment source (0 points)
--	---

Lead Applicant		Round 7	
Project Name		Type of Request	

Scoring Overview

Section 1: Collaborative Measures

Collaborative Measures	Description	Max Points		Self Score
Population	Applicant's population (or the population of the area(s) served) falls within one of the listed categories as determined by the U.S. Census Bureau. Population scoring will be determined by the smallest population listed in the application. Applications from (or collaborating with) small communities are preferred.	5		
Participating Entities	Applicant has executed partnership agreements outlining all collaborative partners and participation agreements and has resolutions of support.	5		

Section 2: Success Measures

Past Success	Applicant has successfully implemented, or is following project guidance from a shared services model, for an efficiency, shared service, coproduction or merger project in the past.	5		
Scalable	Applicant's proposal can be scaled for the inclusion of other entities.	5		
Replicable	Applicant's proposal can be replicated by other local governments.	5		
Probability of Success	Applicant provides a documented need for the project and clearly outlines the likelihood of the need being met.	5		

Section 3: Significance Measures

Performance Audit Implementation/Cost Benchmarking	The project implements a single recommendation from a performance audit provided by the Auditor of State under Chapter 117 of the Ohio Revised Code or is informed by cost benchmarking.	5		
Economic Impact	Applicant demonstrates the project will promote a business environment and will provide for community attraction.	5		
Response to Economic Demand	The project responds to current substantial changes in economic demand for local or regional government services.	5		

Section 4: Financial Measures

Financial Information	Applicant includes financial information (i.e., service related operating budgets) for the most recent three years and the three-year period following the project.	5		
Local Match	Percentage of local matching funds being contributed to the project. This may include in-kind contributions.	5		
Expected Return	Applicant demonstrates as a percentage of savings (i.e., actual savings, increased revenue or cost avoidance) an expected return. The return must be derived from the applicant's cost basis.	15		
Magnitude Factor	Applicant demonstrates a magnitude factor of 50 or above, based on the ROI percentage and the dollar amount of project gains estimated in the ROI calculation.	5		
Cost Savings	Applicant demonstrates specific line items in the current budget that will decrease as a result of this project.	5		
Core Services	Applicant demonstrates that the project affects core services provided in their community.	5		
Repayment Structure (Loan Only)	Applicant demonstrates a viable repayment source to support loan award.	5		

Total Points				
---------------------	--	--	--	--

Lead Applicant		Round 7	
Project Name		Type of Request	

Additional Partners

Please use the following space to list each collaborative partner who is participating in the project and is providing BOTH a resolution of support for the Local Government Innovation Fund application and has signed the partnership agreement.

Collaborative Partner # 14	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 15	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 16	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 17	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 18	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 19	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Section 2 Collaborative Partners

Lead Applicant		Round 7	
		Type of Request	

Collaborative Partner # 20	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 21	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 22	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 23	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 24	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 25	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 26	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Section 2 Collaborative Partners

Establishment of a Regional Water/Sewer District

General Project Approach

- Develop a sustainable partnership to develop mutually beneficial, cost-effective water and sanitary sewer services in a logical, affordable and equitable manner. A feasibility study will identify the cost savings that can be gained through regional cooperation of these services.
- Continuing, quality communications between the collaborating parties is critical to success.
- Create a partnership that can be sustainable and focused on actions to deliver services to residents in the most efficient, cost-effective manner without regard to jurisdictional boundaries and political differences.
- Participatory strategic planning sessions with all partners and other interested parties to create a strong sense of ownership and commitment to implement the end product; a feasible, realistic implementation plan to achieve the common vision.

The formation of the partnership should include:

- A common, practical vision of the delivery of water and sanitary sewer services. An understanding of underlying contradictions that may prevent the vision from becoming a reality;
- A bold, innovative plan to deal with contradictions and align actions into a focused strategic direction; and
- A plan of operation to accomplish these directions, including a detailed short term action plan with defined milestones, and who, what, when, where, and how.

The planning process must;

- Be an on-going, integrated, whole-system approach;
- Be an evolving, organic, and dynamic process;
- Be a structured process involving learnable skills; and
- Have a commitment to openness from everyone involved.

Successful project management must include comprehensive quality control of the process and project documents. Project stakeholders must work as a team to produce a petition that would be filed in the court of common pleas and a plan of operation for the proposed regional water/sewer district..

Communication Plan

Good communication is vital to the success of this initiative. Communications should occur throughout the process. Meetings with local officials and others should be documented and distributed as determined appropriate.

Meetings should include, but not necessarily be limited to:

- Summary of Project to Date
- Issues Outstanding
- Percent Complete
- Status of Budget
- Status of Schedule

Orientation (Start-Up) Meeting

- Identify the project team and primary contact person(s).
- Develop a project organization in graphic format to establish project communications protocol and roles/responsibilities for the consulting team and project stakeholders.
- Review and approval process should be determined.
- Determine protocol for dissemination of information to the media, general public, management and employees.
- Discuss appropriate format of final documents as distribution of documents that would be appropriate for the court filing may not be appropriate for general distribution.
- Confirm project goals and objectives (purpose)

- Confirm project parameters
- Establish priorities
- Confirm project schedule
- Confirm project budget

Several issues that may be critical to success may include:

- Is there capacity in water/wastewater rates to customers to fund repurchase debt, if any?
- Value of assets to be transferred to the Regional Water & Sewer District
- How will labor respond to the creation of a District? Will existing collective bargaining contracts be transferred to the District? Who will represent labor in the process?
- How will the operations be managed?

Feasibility Study

- Provide direction for systematic and growth related improvements to water/sanitary sewer to meet needs throughout the District.
- Identify and recommend improvements necessary to meet existing and projected water and sanitary sewer needs.
- Plan of Operation.
- Plan of Action

Petition for Establishment of Regional District

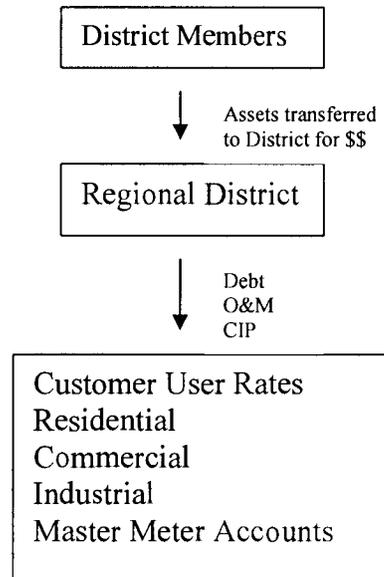
The petition must include:

- Name of the District
- Location
- Necessity
- District Territory
- Board of Trustees
 - Appointment, Terms
- Finance Plan
- Prayer for Organization

Plan of Operation

Following the finalization of the feasibility study, the Plan of Operation would be prepared.

Typical Financial Plan



Transition Plan

AGREEMENTS
INTERGOVERNMENTAL
UTILITIES
WORK IN PROGRESS
SUPPLIERS
PRIVATE DEVELOPERS
RENTAL
OUTSTANDING OBLIGATIONS
EXISTING DEBT
ACCOUNTS PAYABLE
OUTSTANDING PURCHASE ORDERS
PERSONNEL
COLLECTIVE BARGAINING
AGREEMENTS
WAGE RATES
BENEFITS
WORKERS COMPENSATION
RETIREMENT PROGRAMS
POLICIES AND PROCEDURES
IMPACT ON INDIVIDUALS
ASSETS
REAL ESTATE
EASEMENTS
EQUIPMENT
WIP -CONTRACTOR BONDS
PERFORMANCE BONDS
INVENTORY
PROPERTY/CASUALTY INSURANCE
EMPLOYEE HONESTY BOND
OFFICERS AND DIRECTORS
FINANCIAL
TRANSFER OF ACCOUNTS
INVESTMENTS
FINANCIAL POLICY
AUDIT OF ACCOUNTS
VERIFICATION OF CASH
RECORDS
BILLING RECORDS
PERSONNEL RECORDS
RELATED BUSINESS RECORDS
GRANTS
NOTIFICATIONS
VENDORS
FINANCIAL INSTITUTIONS
OFFICIALS/GOVERNMENT AGENCIES
CUSTOMERS



Regional District
Board Of Trustees
ELECTION OF OFFICERS
BY-LAWS
RULES AND REGULATIONS
COMMITTEES
ACCEPTANCE OF CONTRACTS/ASSETS
APPOINTMENT OF PERSONNEL
ACCEPTANCE OF FINANCIAL/OTHER
RECORDS

TASKS REQUIRED FOR ESTABLISHMENT OF REGIONAL WATER/SEWER DISTRICT

ORIENTATION/START UP MEETING

- **Establish project organization**
- **Communication protocol**
- **Review/approval process**

FEASIBILITY STUDY

- **Introduction**
- **Regional district description**
- **Background**
- **Purpose for creating district**
- **District boundary**
 - Scenarios
 - Process to join - flow chart
- **District governance**
 - Rules of Operation
 - Board of trustees and appointment process
- **Employees**
 - Collective Bargaining
 - Existing Agreements
 - Policies/Procedures
 - Benefits
 - Retirement

OPERATIONAL TRANSITION PLAN

- **Assignments of Contracts**
 - Agreement w/other government agencies
 - Utilities
 - Contracts for work in progress
 - Suppliers
 - Private Developers
 - Rental Agreements
- **Assumption of Outstanding Obligations**
 - Existing Debt
 - Accounts Payable
 - Purchase Orders - Outstanding
- **Personnel**
 - Acceptance of employment, wage rates
 - PERS
 - Collective Bargaining Agreement
 - Employee Benefits
 - Health Insurance
 - Workers Compensation/OSHA
 - Retirement Programs
 - Personnel Policies and Procedures
 - Review impact of transfer on individual employee
- **Asset Transfer**
 - Real Estate

- Easements
- Titled Equipment (vehicles)
- Vehicle license plates
- Bill of Sale on other Equipment
- Work in Progress - contracts/contractor bonds
- Performance bonds
- Identification of Inventory
- Insurance Contracts and Policies
- Casualty
- Property
- Officers and Directors
- Approval of all insurers
- Employee Honesty Bond

■ **Financial**

- Transfer of accounts
- Establishment of new accounts and resolutions
- Authorizing signatures
- Investments
- Financial Policy
- Audit of Accounts
- Application for new Federal ID number
- Verification of cash on day of transfer

- **Records**
 - Billing Records
 - Personnel Records
 - Related business records
 - Records retention guidelines
 - Grants

- **Board of Trustees**
 - Establishment of Rules and Regulations
 - Extension Policy
 - Rule Book
 - Establishment of Rates
 - Election of Board and Officers
 - By-laws
 - Acceptance of Contracts/Assets
 - Appointment of personnel
 - List of Board Members/Committees
 - Approval of District Seal (optional)

- **Notifications**
 - Vendors
 - Public Officials/Governmental Agencies
 - Financial Institutions
 - Customers

- **FINANCIAL PLAN**
 - Valuations

- Debt
- Reserves
- Surcharge, JEDZ, JEDD revenue impacts
- Financial plan and assumptions
- Rates

■ **PETITION TO COURT OF COMMON PLEAS**

- Name of District
- Location
- Necessity
- Purpose
- District territory
- Board of Trustees
- Finance Plan
- Prayer for the organization

Putnam County Water and Sewer District Actual Costs

District	2010	2011	2012
Sewer District 1	\$170,498	\$159,900	\$118,295
Sewer District 2	\$59,417	\$36,963	\$40,047
Water/Sewer	\$123,434	\$107,416	\$18,728
Water District 1	\$27,187	\$24,210	\$26,106
	\$380,536	\$328,489	\$203,176

Revenue

Sewer District 1	\$153,392	\$151,262	\$136,666
Sewer District 2	\$36,572	\$38,736	\$37,210
Water/Sewer	\$120,344	\$92,269	\$16,318
	\$25,939	\$23,444	\$25,187
	\$336,247	\$305,711	\$215,381

FUND MASTER FILE MAINTENANCE

04-05-13

FUND CODE	076		
FUND TYPE CODE			Cost Center? Y
TITLE	<u>SEWER DIST.#1-CURRENT EXPENSE</u>		Fiscal Agent?(X) -
M-T-D REVENUE	<u>438690</u>	4,386.90	Misc Code -
M-T-D EXPENDED	<u>39281</u>	392.81	Location -
Y-T-D REVENUE	<u>10664238</u>	106,642.38	
Y-T-D EXPENDED	<u>8476117</u>	84,761.17	
BALANCE	<u>8277498</u>	82,774.98	BAL LAST YR 60,893.77
Y-T-D TRANFR IN			2YR AGO 42,431.99
Y-T-D TRANFR OUT			3YR AGO 51,069.37
ENCUMBERED	<u>1992158</u>	19,921.58	4YR AGO 68,175.93
EXPENDED LAST YR	<u>11820518</u>	118,205.18	5YR AGO 30,574.28
" 2 YEARS AGO	<u>15990025</u>	159,900.25	REV LAST YR 136,666.96
" 3 YEARS AGO	<u>17049884</u>	170,498.84	2YR AGO 151,262.87
" 4 YEARS AGO	<u>8914177</u>	89,141.77	3YR AGO 153,392.28
" 5 YEARS AGO	<u>10360655</u>	103,606.55	4YR AGO 126,743.42
ADVANCES IN			5YR AGO 98,535.15
ADVANCES OUT			
ADVANCE BALANCE			

FUND MASTER FILE MAINTENANCE

07-05-13

FUND CODE	040		Cost Center? Y	-
FUND TYPE CODE			Fiscal Agent?(X)	-
TITLE	SEWER DISTRICT #2		Misc Code	-
M-T-D REVENUE	<u>93210</u>	932.10	Location	-
M-T-D EXPENDED	<u>2850</u>	28.50		
Y-T-D REVENUE	<u>2589150</u>	25,891.50		
Y-T-D EXPENDED	<u>2498199</u>	24,981.99		
BALANCE	<u>312382</u>	3,123.82	BAL LAST YR	2,214.31
Y-T-D TRANFR IN			2YR AGO	5,051.29
Y-T-D TRANFR OUT			3YR AGO	3,278.73
ENCUMBERED	<u>608916</u>	6,089.16	4YR AGO	26,124.25
EXPENDED LAST YR	<u>4004788</u>	40,047.88	5YR AGO	11,006.92
" 2 YEARS AGO	<u>3696369</u>	36,963.69	REV LAST YR	37,210.90
" 3 YEARS AGO	<u>5941752</u>	59,417.52	2YR AGO	38,736.25
" 4 YEARS AGO	<u>1965888</u>	19,658.88	3YR AGO	36,572.00
" 5 YEARS AGO	<u>2551836</u>	25,518.36	4YR AGO	34,776.21
ADVANCES IN			5YR AGO	34,074.62
ADVANCES OUT				
ADVANCE BALANCE				

FUND MASTER FILE MAINTENANCE

107-05-121

FUND CODE	113		
FUND TYPE CODE			Cost Center? Y -
TITLE	<u>PUTCO WATER/SEWER DISTRICT</u>		Fiscal Agent?(X) -
M-T-D REVENUE	<u>40865</u>	408.65	Misc Code -
M-T-D EXPENDED	<u>1391</u>	13.91	Location -
Y-T-D REVENUE	<u>1578951</u>	15,789.51	
Y-T-D EXPENDED	<u>1099151</u>	10,991.51	
BALANCE	<u>3469738</u>	34,697.38	BAL LAST YR 29,899.38
Y-T-D TRANFR IN			2YR AGO 32,308.76
Y-T-D TRANFR OUT			3YR AGO 47,455.41
ENCUMBERED	<u>230190</u>	2,301.90	4YR AGO 50,545.68
EXPENDED LAST YR	<u>1872826</u>	18,728.26	5YR AGO 34,989.75
" 2 YEARS AGO	<u>10741612</u>	107,416.12	REV LAST YR 16,318.88
" 3 YEARS AGO	<u>12343444</u>	123,434.44	2YR AGO 92,269.47
" 4 YEARS AGO	<u>9277648</u>	92,776.48	3YR AGO 120,344.17
" 5 YEARS AGO	<u>11799927</u>	117,999.27	4YR AGO 108,332.41
ADVANCES IN			5YR AGO 111,631.74
ADVANCES OUT			
ADVANCE BALANCE			

FUND MASTER FILE MAINTENANCE

09-05-13

FUND CODE	060		
FUND TYPE CODE			Cost Center? Y
TITLE	<u>WATER DISTRICT #1</u>		Fiscal Agent?(X)
M-T-D REVENUE			Misc Code
M-T-D EXPENDED			Location
Y-T-D REVENUE	<u>1962517</u>	19,625.17	
Y-T-D EXPENDED	<u>1982175</u>	19,821.75	
BALANCE	<u>515046</u>	5,150.46	BAL LAST YR 5,347.04
Y-T-D TRANFR IN			2YR AGO 6,266.10
Y-T-D TRANFR OUT			3YR AGO 7,031.40
ENCUMBERED	<u>296654</u>	2,966.54	4YR AGO 8,279.68
EXPENDED LAST YR	<u>2610638</u>	26,106.38	5YR AGO 8,340.93
" 2 YEARS AGO	<u>2421009</u>	24,210.09	REV LAST YR 25,187.32
" 3 YEARS AGO	<u>2718784</u>	27,187.84	2YR AGO 23,444.79
" 4 YEARS AGO	<u>2943403</u>	29,434.03	3YR AGO 25,939.56
" 5 YEARS AGO	<u>3180311</u>	31,803.11	4YR AGO 29,372.78
ADVANCES IN			5YR AGO 28,937.19
ADVANCES OUT			
ADVANCE BALANCE			

FUND MASTER FILE MAINTENANCE

09-05-13

FUND CODE	061			Cost Center? Y	-
FUND TYPE CODE				Fiscal Agent?(X)	-
TITLE	<u>WATER/SEWER CONTINGENCIES</u>			Misc Code	-
M-T-D REVENUE				Location	-
M-T-D EXPENDED					
Y-T-D REVENUE	<u>23740</u>	237.40			
Y-T-D EXPENDED					
BALANCE	<u>6673597</u>	66,735.97	BAL LAST YR	66,498.57	
Y-T-D TRANFR IN			2YR AGO	66,261.17	
Y-T-D TRANFR OUT			3YR AGO	68,249.38	
ENCUMBERED			4YR AGO	62,464.98	
EXPENDED LAST YR			5YR AGO	62,464.98	
" 2 YEARS AGO	<u>625686</u>	6,256.86	REV LAST YR	237.40	
" 3 YEARS AGO			2YR AGO	4,268.65	
" 4 YEARS AGO			3YR AGO	5,784.40	
" 5 YEARS AGO			4YR AGO		
ADVANCES IN			5YR AGO		
ADVANCES OUT					
ADVANCE BALANCE					

Amounts Collected For Sewer District #1											
January 2012	\$4,032.00	\$1,887.50	\$1,085.80	\$968.50	\$534.00	\$1,803.40	\$710.30	\$681.70	\$41.50		\$11,744.70
February	\$4,059.00	\$272.10	\$718.00	\$2,008.00	\$1,652.00	\$1,004.00	\$1,213.70				\$10,926.80
March	\$3,968.00	\$1,661.30	\$654.00	\$943.00	\$809.00	\$782.00	\$733.70	\$1,153.90	\$344.10	\$85.00	\$11,134.00
April	\$3,968.00	\$208.90	\$786.00	\$563.00	\$1,628.00	\$1,224.00	\$1,308.00	\$1,192.00	\$91.00		\$10,968.90
May	\$3,968.00	\$291.10	\$191.10	\$327.00	\$754.10	\$1,055.00	\$1,481.00	\$799.00	\$1,607.10		\$10,473.40
June	\$3,968.00	\$209.80	\$1,106.30	\$1,419.00	\$804.00	\$2,354.20	\$971.90				\$10,833.20
July	\$3,968.00	\$1,072.90	\$755.00	\$1,318.00	\$927.00	\$836.00	\$1,381.40	\$350.00			\$10,608.30
August	\$3,968.00	\$1,516.80	\$1,109.00	\$526.00	\$1,746.00	\$811.70	\$1,166.30	\$204.40			\$11,048.20
September	\$3,968.00	\$2,540.40	\$2,853.30	\$1,355.00	\$1,521.10	\$100.10					\$12,337.90
October	\$3,968.00	\$145.00	\$1,303.00	\$1,809.00	\$1,224.30	\$1,492.70					\$9,942.00
November	\$3,968.00	\$1,839.50	\$917.00	\$2,592.30	\$91.00	\$836.00	\$8.00	\$1,429.70	\$27.00		\$11,708.50
December	\$4,086.80	\$1,244.03	\$2,648.70	\$91.00	\$1,917.00	\$327.00	\$91.00	\$2,058.20			\$12,463.73
YEAR TOTAL											\$134,189.63
January 2013	\$4,456.30	\$1,612.80	\$1,826.50	\$1,500.20	\$92.70	\$1,098.77	\$546.57				\$11,133.84
February	\$4,514.44	\$1,252.00	\$1,077.70	\$786.20	\$92.70	\$1,889.53	\$1,584.74	\$31.90			\$11,229.21
March	\$4,450.46	\$1,108.70	\$1,779.28	\$2,442.85	\$92.70	\$1,719.50	\$180.97	\$101.97			\$11,876.43
April	\$4,450.60	\$1,570.20	\$1,749.80	\$1,654.33	\$92.70	\$1,386.10					\$10,903.73
May	\$4,450.60	\$3,210.60	\$92.72	\$1,289.40	\$980.40	\$890.10	\$307.10				\$11,220.92
June	\$4,450.60	\$559.77	\$1,390.50	\$1,080.90	\$92.70	\$1,741.30	\$1,603.30	\$92.68	\$316.37	\$185.07	\$11,513.19
July											\$0.00
August											\$0.00
September											\$0.00
October											\$0.00
November											\$0.00
December											\$0.00
YEAR TOTAL											\$67,877.32

Sewer District #1

Month/Year	Arrears	Monthly Bill	Total Bill From Village	Amount Paid to Village	Amount Over/Under	Balance of Account O76 After Payment	Amount Collected From Customers	Notes	Per Customer Rate	Customers Billed
January, 2011	\$0.00	\$3,492.57	\$3,492.57	\$3,492.57	\$0.00				\$20.67	\$88.00
February	\$0.00	\$15,176.23	\$15,176.23	\$0.00	-\$15,176.23				\$89.80	\$88.00
March	\$15,176.23	\$15,727.05	\$30,903.28	\$0.00	-\$30,903.28				\$93.06	\$88.00
April	\$30,903.28	\$15,966.92	\$46,870.20	\$35,903.28	-\$10,966.92				\$94.48	\$88.00
May	\$10,966.92	\$20,714.30	\$31,681.22	\$10,000.00	-\$21,681.22				\$122.57	\$88.00
June	\$21,681.22	\$5,314.25	\$26,995.47	\$10,000.00	-\$16,995.47				\$31.45	\$88.00
July	\$16,995.47	\$3,501.28	\$20,496.75	\$7,314.25	-\$13,182.50				\$20.72	\$88.00
August	\$13,182.50	\$6,965.24	\$20,147.74	\$5,496.75	-\$14,650.99				\$41.21	\$88.00
September	\$14,650.99	\$11,479.66	\$26,130.65	\$25,189.00	-\$941.65				\$67.93	\$88.00
October	\$941.65	\$10,723.67	\$11,665.32	\$11,665.32	\$0.00				\$63.45	\$88.00
November	\$0.00	\$13,432.38	\$13,432.38	\$13,432.38	\$0.00				\$79.48	\$88.00
December	\$0.00	\$14,910.08	\$14,910.08	\$14,910.08	\$0.00				\$88.23	\$88.00
January, 2012	\$0.00	\$15,236.54	\$15,236.54	\$15,236.54	\$0.00	\$15,343.44	\$11,744.70		\$90.16	\$91.00
February	\$0.00	\$7,217.53	\$7,217.53	\$7,217.53	\$0.00	\$17,257.54	\$10,926.80		\$42.71	\$91.00
March	\$0.00	\$10,502.82	\$10,502.82	\$10,502.82	\$0.00	\$19,806.71	\$11,134.00		\$62.15	\$91.00
April	\$0.00	\$6,829.91	\$6,829.91	\$6,829.91	\$0.00	\$19,502.88	\$10,968.90		\$40.41	\$91.00
May	\$0.00	\$1,451.12	\$1,451.12	\$1,451.12	\$0.00	\$21,187.63	\$10,473.40		\$8.59	\$91.00
June	\$0.00	\$2,717.04	\$2,717.04	\$2,717.04	\$0.00	\$27,620.76	\$10,833.20		\$16.08	\$91.00
July	\$0.00	\$3,686.29	\$3,686.29	\$3,686.29	\$0.00	\$35,623.30	\$10,608.30		\$21.81	\$91.00
August	\$0.00	\$5,765.28	\$5,765.28	\$5,765.28	\$0.00	\$41,870.51	\$11,048.20		\$34.11	\$91.00
September	\$0.00	\$5,854.90	\$5,854.90	\$5,765.28	\$0.00	\$50,628.08	\$12,237.80		\$34.64	\$91.00
October	\$0.00	\$5,124.55	\$5,124.55	\$5,124.55	\$0.00	\$52,918.07	\$9,942.00		\$30.32	\$91.00
November	\$0.00	\$5,604.09	\$5,604.09	\$5,604.09	\$0.00	\$54,903.47	\$11,708.50		\$33.16	\$91.00
December	\$0.00	\$5,697.74	\$5,697.74	\$5,697.74	\$0.00	\$62,230.45	\$12,463.73		\$33.71	\$91.00
January, 2013	\$0.00	\$9,147.89	\$9,147.89	\$9,147.89	\$0.00	\$57,485.09	\$11,133.84		\$54.13	\$92.70
February	\$0.00	\$9,814.26	\$9,814.26	\$9,814.26	\$0.00	\$67,884.37	\$11,229.21		\$58.07	\$92.70
March	\$0.00	\$5,449.14	\$5,449.14	\$5,449.14	\$0.00	\$73,674.92	\$11,876.43		\$32.24	\$92.70
April	\$0.00	\$12,402.85	\$12,402.85	\$12,402.85	\$0.00	\$72,762.55	\$10,903.73		\$73.39	\$92.70
May	\$0.00	\$5,517.34	\$5,517.34	\$5,517.34	\$0.00	\$69,107.28	\$11,220.92		\$32.65	\$92.70
June	\$0.00	\$9,005.16	\$9,005.16	\$9,005.16	\$0.00	\$79,620.92	\$11,513.19		\$53.28	\$92.70
July	\$0.00				\$0.00				\$0.00	\$92.70
August										
September										
October										
November										
December										
Total		\$264,428.08	\$385,433.77	\$264,338.46			\$201,966.85			
Total 2011			\$813.04							
Avg Monthly 2011			\$67.75							
Total 2012			\$414.14							
Avg Monthly 2012			\$37.32							

Sewer District #2

Month/Year	Arrears	Monthly Bill	Total Bill From Village	Amount Paid to Village	Amount Over/Under	Balance of Account O40 After Payment	Amount Collected From Customers	Notes	Per Customer Rate
August	\$10,304.76	\$1,115.99	\$11,420.75	\$1,115.99	\$10,304.76				\$28.62
September	\$10,304.76	\$8,288.69	\$18,593.45	\$0.00	\$18,593.45				\$212.53
October	\$18,593.45	\$1,730.42	\$20,323.87	\$14,315.12	\$6,008.75				\$44.37
November	\$0.00	\$2,658.75	\$2,658.75	\$2,658.75	\$0.00				\$68.17
December	\$0.00	\$2,978.48	\$2,978.48	\$2,978.48	\$0.00				\$76.37
January, 2011	\$0.00	\$2,608.30	\$2,608.30	\$2,608.30	\$0.00				\$66.88
February	\$0.00	\$7,002.92	\$7,002.92	\$0.00	-\$7,002.92				\$179.56
March	\$7,002.92	\$2,738.33	\$9,741.25	\$0.00	-\$9,741.25				\$70.21
April	\$9,741.25	\$2,494.32	\$12,235.57	\$3,500.00	-\$8,735.57				\$63.96
May	\$8,735.57	\$4,336.54	\$13,072.11	\$2,500.00	-\$10,572.11				\$111.19
June	\$10,572.11	\$1,945.39	\$12,517.50	\$2,500.00	-\$10,017.50				\$49.88
July	\$10,017.50	\$2,228.17	\$12,245.67	\$3,000.00	-\$9,245.67				\$57.13
August	\$9,245.67	\$2,366.40	\$11,612.07	\$2,228.17	-\$9,383.90				\$60.68
September	\$9,383.90	\$3,909.36	\$13,293.26	\$2,271.83	-\$11,021.43				\$100.24
October	\$11,021.43	\$2,841.42	\$13,862.85	\$6,909.36	-\$6,953.49				\$72.86
November	\$6,953.49	\$3,661.29	\$10,614.78	\$5,614.78	-\$5,000.00				\$93.88
December	\$5,000.00	\$3,444.58	\$8,444.58	\$4,444.58	-\$4,000.00				\$88.32
January, 2012	\$4,000.00	\$5,656.90	\$9,656.90	\$2,000.00	-\$7,656.90	\$128.65	\$3,372.11		\$145.05
February	\$7,656.90	\$2,091.48	\$9,748.38	\$2,091.48	-\$7,656.90	\$948.02	\$3,236.50		\$53.63
March	\$7,656.90	\$2,512.23	\$10,169.13	\$3,512.23	-\$6,656.90	\$577.70	\$3,233.60		\$64.42
April	\$6,656.90	\$2,120.13	\$8,777.03	\$3,000.00	-\$5,777.03	\$645.20	\$3,337.90		\$54.36
May	\$5,777.03	\$2,144.07	\$7,921.10	\$2,144.07	-\$5,777.03	\$590.31	\$3,264.70		\$54.98
June	\$5,777.03	\$2,135.09	\$7,912.12	\$3,135.09	-\$4,777.03	\$542.16	\$3,202.60		\$54.75
July	\$4,777.03	\$1,928.34	\$6,705.37	\$3,300.00	-\$3,405.37	\$552.28	\$3,303.60		\$49.44
August	\$3,405.37	\$2,626.90	\$6,032.27	\$2,950.00	-\$3,082.27	\$501.46	\$3,396.95		\$67.36
September	\$3,082.27	\$2,894.91	\$5,977.18	\$4,600.00	-\$1,377.18	\$511.34	\$3,305.45		\$74.23
October	\$1,377.18	\$2,907.40	\$4,284.58	\$3,380.00	-\$904.58	\$508.03	\$3,284.95		\$74.55
November	\$904.58	\$2,719.13	\$3,623.71	\$3,120.00	-\$503.71	\$476.71	\$3,705.74		\$74.77
December	\$503.71	\$2,916.15	\$3,419.86	\$3,419.86	\$0.00	\$421.65	\$3,004.50		\$69.72
January, 2013	\$0.00	\$3,302.89	\$3,302.89	\$2,700.00	-\$602.89	\$251.12	\$3,574.66		\$84.69
February	\$602.89	\$3,025.29	\$3,628.18	\$2,030.35	\$0.00	\$114.31	\$3,158.05		\$77.57
March	\$0.00	\$2,030.35	\$2,030.35	\$2,030.35	\$0.00	\$1,026.77	\$3,202.59		\$52.06
April	\$0.00	\$4,729.28	\$4,729.28	\$3,900.00	-\$829.28	\$151.80	\$3,239.91		\$121.26
May	\$829.28	\$2,779.98	\$3,609.26	\$3,000.00	-\$609.26	\$196.08	\$3,086.11		\$71.28
June	\$609.26			\$2,000.00			\$3,234.57		
July				\$3,000.00					
August									
September									
October									
November									
December									

Credit of \$6008.75 per Elaine 2-14-12:
rate on meter was changed pumps
running but not pumping water per
Jason

J204

**COMMISSIONERS APPROVE
TO PURSUE GRANT
FOR ORC 6119**

RESOLUTION

The Board of County Commissioners of Putnam County, Ohio, met in regular session on the 10th day of May, 2013, at the office of said Board with the following members present: Mr. Travis A. Jerwers, Mr. Vincent T. Schroeder and Mr. John E. Love.

Mr. Schroeder moved the adoption of the following Resolution:

WHEREAS, Meetings regarding the organization of a water and sewer district in Putnam County have been held with officials from townships and villages in the county, and

WHEREAS, Resolutions indicating intentions of joining a feasibility study for the possible need and organization of a regional water and/or sewer district in accordance with Section 6119 of the Ohio Revised Code with the Putnam County Commissioners have been received, now therefore, be it

RESOLVED, The Board of County Commissioners does hereby agree to pursue obtaining a grant for possible creation of a "Regional Water and Sewer District" in Putnam County according to Ohio Revised Code Chapter 6119.

Mr. Jerwers seconded the motion and the roll being called upon its adoption, the vote resulted as follows:

<u>John E. Love</u>	yes
<u>Vincent T. Schroeder</u>	yes
<u>John E. Love</u>	yes

BOARD OF COUNTY COMMISSIONERS
PUTNAM COUNTY, OHIO

Attest: Betty I. Schroeder
Betty I. Schroeder, Clerk

BY: bis

COOPERATIVE AGREEMENT

This Cooperative Agreement is entered into as of this 6th day of Sept., 2013, by and among **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO**,

Mr. Travis A. Jerwers

Mr. John E. Love

WITNESSETH:

WHEREAS, the State of Ohio, Development Services Agency, provides financial assistance to local governments for projects that promote efficiency, shared services, coproduction, and mergers among local governments; and

WHEREAS, Putnam County desires to participate as a collaborative partner to receive financial assistance under the Ohio Development Services Agency Local Government Innovation Fund Program; to conduct a study to establish a regional water and sewer district; and

WHEREAS, the scope of the project is currently anticipated to include the following activities:

- Meeting/Process Facilitation
- Establish framework for the regional water and sewer district for collaboration
- Legal Services
- Identification of potential cost-savings and/or improvement in services with the establishment of a regional water and sewer district
- Preparation of legal documents required to establish a regional water and sewer district

The cost for such activities shall not exceed \$100,000 including in-kind match.

WHEREAS, the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** has the authority to apply for financial assistance and/or participate as a collaborative partner through the Local Government Innovation Fund Program; and

WHEREAS, the **PARTIES** to this agreement understand and acknowledge that the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** will serve as the primary or lead applicant for the project application, with other collaborative **PARTIES** participating in the project planning and funding;

WHEREAS, participating villages, townships, businesses and other interested/concerned parties, hereinafter referred to collectively as the **PARTIES** have requested the assistance of the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM**

COUNTY, OHIO in obtaining a federal or State of Ohio grants for establishing a regional water and sewer district; and

WHEREAS, in anticipation of applying for grants for the sharing of the above listed services, the BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO and the PARTIES have entered into an agreement for the BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO to be the applicant and administrator of said grants; and

WHEREAS, the PARTIES and the BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO have determined to enter into this Agreement to affirm and acknowledge the power of the BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO to receive and accept from any federal or state agency grants to establish a regional water and sewer district for the benefit of the PARTIES and their citizens; and

WHEREAS, the BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO has determined, subject to the satisfaction of certain conditions, to apply for federal and State of Ohio grants requested by the PARTIES for the establishment of a regional water and sewer district;

NOW, THEREFORE, for and in consideration of the premises and of the mutual covenants hereinafter contained, the PARTIES hereby agree as follows:

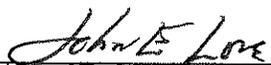
Section 1. The PARTIES request the BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO to exercise any and all powers and to perform any and all functions with respect to receiving and accepting from any Federal or State of Ohio agency, grants for or in aid of the conduct of a feasibility study for the benefit of the PARTIES, including the establishment of a regional water and sewer district,

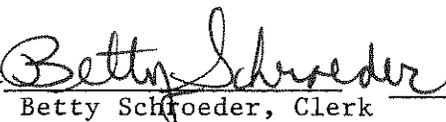
Section 2. In furtherance and not in limitation of the provisions of Section 1, the PARTIES request the BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO to apply for and receive and accept Federal or State of Ohio grants for the PARTIES, pursuant to the Act, and to apply the proceeds of the Grants to the payment of "costs" of the feasibility study, as those terms are defined in the Act for the research and establishment of a regional water and sewer district;

Section 3. It is the intention of the PARTIES and the BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO that this Agreement be liberally construed to make available to the PARTIES, through the actions of the BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO, all of the benefits of the Act, in furtherance of the public purposes set forth in the recitals to this Agreement.

By: 

By: Vincent T. Schroeder, absent

By: 
BOARD OF COUNTY COMMISSIONERS
PUTNAM COUNTY, OHIO

Attest  9-6-13
Betty Schroeder, Clerk Date

COOPERATIVE AGREEMENT

This Cooperative Agreement is entered into as of this ____ day of August, 2013. by and among **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO**, and the Village of Cloverdale

WITNESSETH:

WHEREAS, the State of Ohio, Development Services Agency, provides financial assistance to local governments for projects that promote efficiency, shared services, coproduction, and mergers among local governments; and

WHEREAS, Village of Cloverdale desires to participate as a collaborative partner to receive financial assistance under the Ohio Development Services Agency Local Government Innovation Fund Program; to conduct a study to establish a regional water and sewer district; and

WHEREAS, the scope of the project is currently anticipated to include the following activities:

- Meeting/process facilitation
- Establish framework for the regional water and sewer district for collaboration
- Legal services
 - Identification of potential cost-savings and/or improvement in services with the establishment of a regional water and sewer district
 - Preparation of legal documents required to establish a regional water and sewer district

The cost for such activities shall not exceed \$100,000 as requested in the grant application, it being understood that matching funds can be in-kind for costs incurred two years prior to submitting the application..

WHEREAS, the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** has the authority to apply for financial assistance and/or participate as a collaborative partner through the Local Government Innovation Fund Program; and

WHEREAS, the **PARTIES** to this agreement understand and acknowledge that the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** will serve as the primary or lead applicant for the project application, with other collaborative **PARTIES** participating in the project planning and funding;

WHEREAS,

Village of Cloverdale, hereinafter referred to collectively as the **PARTIES** have requested the assistance of the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** in obtaining a federal or State of Ohio grants for establishing a regional water and sewer district; and

WHEREAS, in anticipation of applying for grants for the sharing of the above listed services, the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** and the **PARTIES** have entered into an agreement for the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** to be the applicant and administrator of said grants; and

WHEREAS, the **PARTIES** and the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** have determined to enter into this Agreement to affirm and acknowledge the power of the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** to receive and accept from any federal or state agency grants to establish a regional water and sewer district for the benefit of the **PARTIES** and their citizens; and

WHEREAS, the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** has determined, subject to the satisfaction of certain conditions, to apply for federal and State of Ohio grants requested by the **PARTIES** for the establishment of a regional water and sewer district;

NOW, THEREFORE, for and in consideration of the premises and of the mutual covenants hereinafter contained, the **PARTIES** hereby agree as follows:

Section 1. The **PARTIES** request the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** to exercise any and all powers and to perform any and all functions with respect to receiving and accepting from any Federal or State of Ohio agency, grants for or in aid of the conduct of a feasibility study for the benefit of the **PARTIES**, including the establishment of a regional water and sewer district,

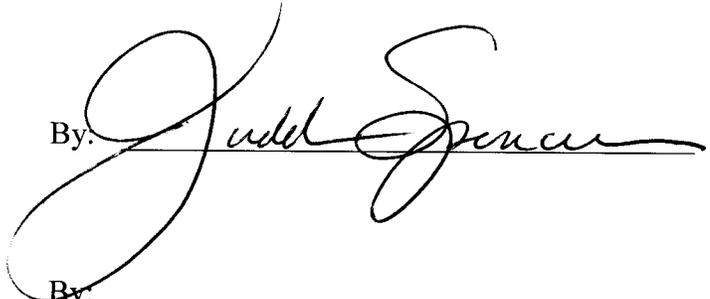
Section 2. In furtherance and not in limitation of the provisions of Section 1, the **PARTIES** request the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** to apply for and receive and accept Federal or State of Ohio grants for the **PARTIES**, pursuant to the Act, and to apply the proceeds of the Grants to the payment of "costs" of the feasibility study, as those terms are defined in the Act for the research and establishment of a regional water and sewer district;

Section 3. It is the intention of the **PARTIES** and the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** that this Agreement be liberally construed to make available to the **PARTIES**, through the actions of the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO**, all of the benefits of the Act, in furtherance of the public purposes set forth in the recitals to this Agreement.

**BOARD OF COUNTY COMMISSIONERS OF
PUTNAM COUNTY, OHIO**

: _____

By:

A large, stylized handwritten signature in black ink, appearing to read "Judd Spencer", is written over a horizontal line.

By: _____

By: _____

By: _____

RESOLUTION # _____

A RESOLUTION AUTHORIZING THE BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO, TO FILE AN APPLICATION TO THE STATE OF OHIO, AND TO PARTICIPATE IN THE LOCAL GOVERNMENT INNOVATION FUND PROGRAM, AND DECLARING AN EMERGENCY:

WHEREAS, the state of Ohio, Development Services Agency, provides financial assistance to local governments for the purpose of addressing local needs; and

WHEREAS, the Village of Cloverdale desires to participate as a collaborative partner to receive financial assistance under the Ohio Development Services Agency Local Government Innovation Fund Program; to conduct a study to establish a regional water and sewer district; and

WHEREAS, the scope of the project is currently anticipated to include the following activities:

- Meeting/process facilitation
- Establish framework for the regional water and sewer district for collaboration
- Legal services
- Identification of potential cost-savings and/or improvement in services with the establishment of a regional water and sewer district
- Preparation of legal documents required to establish a regional water and sewer district

The cost for such activities shall not exceed \$100,000 as requested in the grant application, it being understood that matching funds can be in-kind for costs incurred two years prior to submitting the application

WHEREAS, the Board of County Commissioners of Putnam County, Ohio has the authority to apply for financial assistance and/or participate as a collaborative partner through the Local Government Innovation Fund Program; and

WHEREAS, the Village of Cloverdale hereby authorizes and directs the Mayor Judd Spencer to act in connection with the application as a collaborative partner and to provide such additional information as may be required for the funding application; and

WHEREAS, the Village of Cloverdale understands and acknowledges that Board of County Commissioners of Putnam County, Ohio, will serve as the primary or lead applicant for the project application, with other collaborative parties participating in the project planning and funding;

NOW, THEREFORE, BE IT RESOLVED by the Village of Cloverdale, Ohio, Council, all members thereof concurring:

Section 1. That the Village of Cloverdale, Ohio authorizes the Board of County Commissioners of Putnam County, Ohio, as the official representative of the Village of Cloverdale to participate as a collaborative party in the State of Ohio, Development Services Agency,

Local Government Innovation Fund Program, and provide all information and documentation required in said Application for submission.

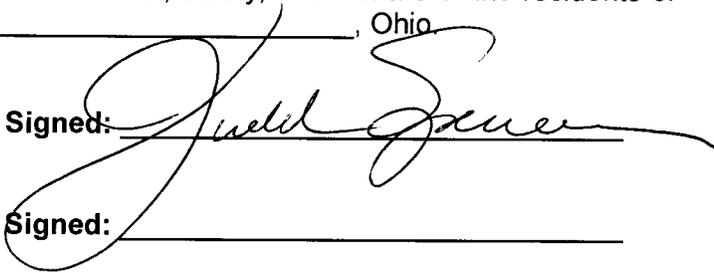
Section 2. That the Village of Cloverdale hereby approves the participation with the Board of County Commissioners of Putnam County, Ohio, and other collaborative parties for the filing of an application for financial assistance under the Ohio Local Government Innovation Fund Program.

Section 3. That the Village of Cloverdale hereby understands and agrees that participation in the program as a collaborative party will require compliance with program guidelines and assurances.

Section 4. That the Village of Cloverdale hereby commits itself to provide the community's local share funding as a collaborative party, as described in the application, it being understood that the local share can be prior (2 years) and future in-kind costs incurred by the collaborative parties.

Section 5. That this resolution is declared an emergency to meet the application deadline in a timely fashion, necessary for the health, safety, and welfare of the residents of the Village of Cloverdale, Ohio

PASSED: 8-15-13
Date

Signed: 

Signed: _____

Signed: _____

RESOLUTION # 10-2013

A RESOLUTION AUTHORIZING THE BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO, TO FILE AN APPLICATION TO THE STATE OF OHIO, AND TO PARTICIPATE IN THE LOCAL GOVERNMENT INNOVATION FUND PROGRAM, AND DECLARING AN EMERGENCY:

WHEREAS, the state of Ohio, Development Services Agency, provides financial assistance to local governments for the purpose of addressing local needs; and

WHEREAS, the Village of Dupont desires to participate as a collaborative partner to receive financial assistance under the Ohio Development Services Agency Local Government Innovation Fund Program; to conduct a study to establish a regional water and sewer district; and

WHEREAS, the scope of the project is currently anticipated to include the following activities:

- Meeting/process facilitation
- Establish framework for the regional water and sewer district for collaboration
- Legal services
- Identification of potential cost-savings and/or improvement in services with the establishment of a regional water and sewer district
- Preparation of legal documents required to establish a regional water and sewer district

The cost for such activities shall not exceed \$100,000 as requested in the grant application, it being understood that matching funds can be in-kind for costs incurred two years prior to submitting the application

WHEREAS, the Board of County Commissioners of Putnam County, Ohio has the authority to apply for financial assistance and/or participate as a collaborative partner through the Local Government Innovation Fund Program; and

WHEREAS, the Village of Dupont hereby authorizes and directs Mayor Robert Heindenescher to act in connection with the application as a collaborative partner and to provide such additional information as may be required for the funding application; and

WHEREAS, the Village of Dupont understands and acknowledges that Board of County Commissioners of Putnam County, Ohio, will serve as the primary or lead applicant for the project application, with other collaborative parties participating in the project planning and funding;

NOW, THEREFORE, BE IT RESOLVED by the Village of Dupont, Ohio, Council, all members thereof concurring:

Section 1. That the Village of Dupont, Ohio authorizes the Board of County Commissioners of Putnam County, Ohio, as the official representative of the Village of Dupont to participate as a collaborative party in the State of Ohio, Development Services Agency, Local

Government Innovation Fund Program, and provide all information and documentation required in said Application for submission.

Section 2. That the Village of Dupont hereby approves the participation with the Board of County Commissioners of Putnam County, Ohio, and other collaborative parties for the filing of an application for financial assistance under the Ohio Local Government Innovation Fund Program.

Section 3. That the Village of Dupont hereby understands and agrees that participation in the program as a collaborative party will require compliance with program guidelines and assurances.

Section 4. That the Village of Dupont hereby commits itself to provide the community's local share funding as a collaborative party, as described in the application, it being understood that the local share can be prior (2 years) and future in-kind costs incurred by the collaborative parties.

Section 5. That this resolution is declared an emergency to meet the application deadline in a timely fashion, necessary for the health, safety, and welfare of the residents of the Village of Dupont, Ohio.

PASSED: 8/12/13
Date

Signed: Sara N. Hansen

Signed: Robert L. Heidenreich
Mayor

Signed: _____

COOPERATIVE AGREEMENT

This Cooperative Agreement is entered into as of this _____ day of August, 2013. by and among **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO**, and the Village of Dupont

WITNESSETH:

WHEREAS, the State of Ohio, Development Services Agency, provides financial assistance to local governments for projects that promote efficiency, shared services, coproduction, and mergers among local governments; and

WHEREAS, Village of Dupont desires to participate as a collaborative partner to receive financial assistance under the Ohio Development Services Agency Local Government Innovation Fund Program; to conduct a study to establish a regional water and sewer district; and

WHEREAS, the scope of the project is currently anticipated to include the following activities:

- Meeting/process facilitation
- Establish framework for the regional water and sewer district for collaboration
- Legal services
 - Identification of potential cost-savings and/or improvement in services with the establishment of a regional water and sewer district
 - Preparation of legal documents required to establish a regional water and sewer district

The cost for such activities shall not exceed \$100,000 as requested in the grant application, it being understood that matching funds can be in-kind for costs incurred two years prior to submitting the application..

WHEREAS, the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** has the authority to apply for financial assistance and/or participate as a collaborative partner through the Local Government Innovation Fund Program; and

WHEREAS, the **PARTIES** to this agreement understand and acknowledge that the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** will serve as the primary or lead applicant for the project application, with other collaborative **PARTIES** participating in the project planning and funding;

WHEREAS,

Village of Dupont, hereinafter referred to collectively as the **PARTIES** have requested the assistance of the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** in obtaining a federal or State of Ohio grants for establishing a regional water and sewer district; and

WHEREAS, in anticipation of applying for grants for the sharing of the above listed services, the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** and the **PARTIES** have entered into an agreement for the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** to be the applicant and administrator of said grants; and

WHEREAS, the **PARTIES** and the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** have determined to enter into this Agreement to affirm and acknowledge the power of the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** to receive and accept from any federal or state agency grants to establish a regional water and sewer district for the benefit of the **PARTIES** and their citizens; and

WHEREAS, the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** has determined, subject to the satisfaction of certain conditions, to apply for federal and State of Ohio grants requested by the **PARTIES** for the establishment of a regional water and sewer district;

NOW, THEREFORE, for and in consideration of the premises and of the mutual covenants hereinafter contained, the **PARTIES** hereby agree as follows:

Section 1. The **PARTIES** request the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** to exercise any and all powers and to perform any and all functions with respect to receiving and accepting from any Federal or State of Ohio agency, grants for or in aid of the conduct of a feasibility study for the benefit of the **PARTIES**, including the establishment of a regional water and sewer district,

Section 2. In furtherance and not in limitation of the provisions of Section 1, the **PARTIES** request the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** to apply for and receive and accept Federal or State of Ohio grants for the **PARTIES**, pursuant to the Act, and to apply the proceeds of the Grants to the payment of "costs" of the feasibility study, as those terms are defined in the Act for the research and establishment of a regional water and sewer district;

Section 3. It is the intention of the **PARTIES** and the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** that this Agreement be liberally construed to make available to the **PARTIES**, through the actions of the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO**, all of the benefits of the Act, in furtherance of the public purposes set forth in the recitals to this Agreement.

**BOARD OF COUNTY COMMISSIONERS OF
PUTNAM COUNTY, OHIO**

: _____

By:

Robert Henderson
Mayor

RESOLUTION #3-27-2013

Kenneth L. Hermiller made a motion to adopt the following 6119 ORC Resolution:

Be it resolved that Palmer Township, Putnam County commits to pursuing the Formation and Organization of a Regional Water & Sewer District in accordance with 6119 ORC with the Putnam County Commissioners.

Dennis A. Verhoff seconded the Resolution, and the roll being called its Adoption, the vote resulted as follows:

Kenneth L. Hermiller, Yes

Dennis A. Verhoff, Yes

David J. Niese, Yes

Adopted March 27, 2013

Roger H. Niese

Fiscal Officer

Palmer Township, Putnam County

Resolution 3-12-2013

Steve Leopold made a motion accept following 6119 ORC resolution:

Be it resolved that Ottawa Township, Putnam County commits to pursue the forming and organization of a Regional water & sewer district in accordance with section 6119 ORC with the Putnam County Commissioners.

Jim Leopold seconded it. Motion carried.

Ottawa Township Trustees

James D. Leopold

3/26/13

Melvin J. Lammers

3-26-13

Steven A. Fayoll

3/26/13

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PUT. CO. COMMISSIONERS
2013 MAR 27 A 10:11

RECORD OF RESOLUTIONS

455

Dayton Legal Blank, Inc., Form No. 30045

Resolution No. _____ Passed _____, 20____

RESOLUTION NO. 6250

A RESOLUTION SUPPORTING THE PUTNAM COUNTY COMMISSIONERS IN THEIR EFFORTS TO ORGANIZE A REGIONAL WATER AND SEWER DISTRICT UNDER ORC 6119

WHEREAS, the organization of a regional water and sewer district shall be initiated only by petition filed in the office of the Clerk of the Court of Common Pleas; and

WHEREAS, the petition shall be signed by one or more municipal corporation, one or more counties, one or more townships, or by any combination of them, after having been authorized by the legislative authority of the political subdivision; and

WHEREAS, the Village of Ottawa would like to express support to the Putnam County Commissioners in their efforts to organize a single regional water and sewer district under ORC 6119.

NOW, THEREFORE, BE IT RESOLVED by the Council of the Village of Ottawa, State of Ohio:

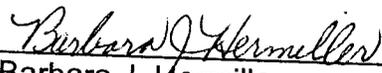
SECTION I. That the Village of Ottawa supports the establishment of a regional water and sewer district organized under ORC 6119 as the best and most effective means to organize for the public health, safety, convenience and welfare of the public.

SECTION II. That this Resolution shall be in force and effective immediately.

PASSED: March 11, 2013

ATTEST:

APPROVED:


Barbara J. Hermiller
Clerk-Treasurer


J. Dean Meyer
Mayor

2013 MAR 11 10:00 AM

65A13038

Greensburg Township

Corner of Road J and Road 15
Ottawa, Ohio 45875
419-538-6798

Mailing Address:
14013 Road H-13
Ottawa, Ohio 45875

Mark Warnecke made a motion to pass the following resolution and
Jim Birkemeier seconded that motion.

Be it resolved by the Board of Trustees of Greensburg Township, Putnam County,
Ohio would like to join a feasibility study for the possible need and organization of a
Regional Water and/or Sewer District in accordance with Section 6119 of the Ohio
Revised Code with the Putnam County Commissioners.

Vote on this motion was Jim Birkemeier, yes, Dave Recker, NO and
Mark Warnecke, yes. This motion passed at the regular meeting for
Greensburg Township on April 30, 2013.

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2013 MAY -1 A 9:58

James Birkemeier, Trustee James Birkemeier

Dave Recker, Trustee Dave Recker

Mark Warnecke, Trustee Mark Warnecke

James Birkemeier
Trustee
419-538-6580

Dave Recker
Trustee
419-538-6462

Mark Warnecke
Trustee
419-532-3002

Paul R. Lenz
Clerk
419-523-5348

**VILLAGE OF MILLER CITY
101 N. MAIN STREET
PO BOX 91
MILLER CITY, OHIO 45864**

MAYOR:

James Erford – 419-876-3206

FISCAL OFFICER:

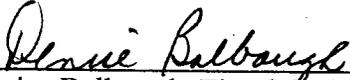
Denise Balbaugh – 419-876-3136

COUNCIL:

Lawrence Hermiller Jr.
Nicholas Keesler
Kenneth Kleman
Michelle Maynard
Anthony Stechschulte
Patrick Verhoff

The foregoing is a true and accurate copy of Resolution # 386 adopted by the Village of Miller City on March 5, 2013, and appearing on the official records of the Village of Miller City Record of Resolutions.

Adopted the 5th day of March, 2013, page 138.



Denise Balbaugh, Fiscal Officer

2013 MAR - 3 A 10 25

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MILLER CITY

WHEREAS: The Village of Miller City currently partners with the Village of Ottawa, Putnam County, the Village of Bluffton and the Village of Glandorf to deliver potable water to their residents; and,

WHEREAS: Other communities and residents in the area have the need to upgrade their water and/or sanitary sewer services as economically as possible; and,

WHEREAS: The Village of Ottawa's water and sewer treatment plants have excess capacity to handle the needs of surrounding communities; and,

WHEREAS: There exists a need for Miller City to install sanitary sewer, and a feasibility study regarding establishing a 6119 Board as defined by the Ohio Revised Code should be conducted.

NOW THEREFORE BE IT RESOLVED by the Council of the Village of Miller City, State of Ohio:

Section 1: Application to the Local Government Innovation Fund Program for a grant to conduct a feasibility study concerning the establishment of a 6119 Board as defined by the Ohio Revised Code and regarding the regionalization of water and sewer services be and is hereby authorized.

Section 2: The Mayor and Fiscal Officer are authorized to sign any documents and enter into any agreements as may be necessary and appropriate for obtaining this financial assistance through Putnam County.

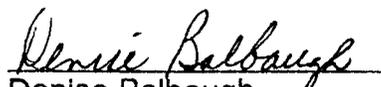
Section 3: The Village of Miller City supports the Putnam County Commissioners and their efforts to obtain Local Government Innovation Fund Program grant funding through the State of Ohio for a feasibility study as defined in Section 1.

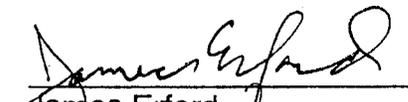
Section 4: That this Resolution shall be in force and effective immediately.

PASSED: March 5, 2013

ATTEST:

APPROVED:


Denise Balbaugh
Fiscal Officer


James Erford
Mayor

2013 APR - 3 A 9 25

RECEIVED
PUTNAM COUNTY COMMISSIONERS

Pike Run Golf Club

10807 County Road H • Ottawa, Ohio 45875

Phone (419) 538-7000

The Pike Run Golf Club fully supports the efforts of the Board of County Commissioners of Putnam County and the collaborative partnership participating in the State of Ohio Development Services Agency, Local Government Innovation Fund, grant application for the establishment of a regional water and sewer district in Putnam County, Ohio.

Cost-effective, quality infrastructure is important to the success of our organization and Putnam County, Ohio. We support the Board and encourage the State of Ohio, Development Services Agency, to favorably consider the Board's application for this important funding. Once a regional water and sewer system is established, residents will benefit from a shared, collaborative system that should have reduced operating costs when compared to multiple, single-entity-operated water and sewer infrastructure.

A regional system that is cost-effective could also attract new investment in the community creating new employment opportunities in the county.

We look forward to the opportunity to participate in the study process required to establish a regional water and sewer district in Putnam County.





HUGGINS AUTO PARTS "THE SHOP"

402 West Main Street
Ottawa, Ohio 45875
419.523.5188 (5189)

24HR. TOWING - CAR RENTAL - BODY SHOP & COLLISION CENTER - NOW OPEN HUGGINS AUTO SALES

August 12, 2013

Putnam County Commissioners
245 East Main St. , Suite 101
Ottawa, Ohio 45875

To Whom It May Concern,

Timothy and Anthony Imm, (Co-owners) and Huggins Auto Parts Inc. fully support the efforts of the Board of County Commissioners of Putnam County and the collaborative partnership participating in the State of Ohio Development Services Agency, Local Government Innovation Fund, grant application for the establishment of a regional water and sewer district in Putnam County, Ohio.

Cost effective , quality infrastructure is important to the success of our organization and Putnam county, Ohio. We support the Board and encourage the State of Ohio, Development Services Agency, to favorably consider the Board's application for this important funding. Once a regional water and sewer is established, residents will benefit from a shared, collaborative system that should reduce operating costs when compared to multiple, single-entity-operated water and sewer infrastructure.

A regional system that is cost-effective could also attract new investment in the community , creating new employment opportunities in the county.

We look forward to the opportunity to participate in the studt process required to establish a regional water and sewer district in Putnam County.

Thank You,

A handwritten signature in black ink that reads "Timothy A. Imm".

Timothy A. Imm

A handwritten signature in black ink that reads "Anthony S. Imm".

Anthony S. Imm

Huggins Auto Parts Inc.
402 West Main St.
Ottawa, Ohio 45875



KNIGHTS OF COLUMBUS

OTTAWA COUNCIL 1757
P.O. BOX 13
OTTAWA, OHIO 45875

August 15, 2013

The Ottawa Knights of Columbus fully supports the efforts of the Board of County Commissioners of Putnam County and the collaborative partnership participating in the State of Ohio Development Services Agency, Local Government Innovation Fund, grant application for the establishment of a regional water and sewer district in Putnam County, Ohio.

Cost-effective, quality infrastructure is important to the success of our organization and Putnam County, Ohio. We support the Board and encourage the State of Ohio, Development Services Agency, to favorably consider the Board's application for this important funding. Once a regional water and sewer system is established, residents will benefit from a shared, collaborative system that should have reduced operating costs when compared to multiple, single-entity-operated water and sewer infrastructure.

A regional system that is cost-effective could also attract new investment in the community creating new employment opportunities in the county.

We look forward to the opportunity to participate in the study process required to establish a regional water and sewer district in Putnam County.

Ottawa KofC
Community Director
Past Grand Knight

.....

Country Acres Golf Club

17374 St. Rt. 694
Ottawa, OH 45875

August 13, 2013

Re: Regional Water and Sewer District

The owners and residents of Country Acres Golf Club, fully supports the efforts of the Board of County Commissioners of Putnam County and the collaborative partnership participating in the State of Ohio Development Services Agency, Local Government Innovation Fund, grant application for the establishment of a regional water and sewer district in Putnam County, Ohio.

Cost-effective, quality infrastructure is important to the success of our organization and Putnam County, Ohio. We support the Board and encourage the State of Ohio, Development Services Agency, to favorably consider the Boards application for this important funding. Once a regional water and sewer system is established, residents will benefit from a shared, collaborative system that should have reduced operating costs when compared to multiple, single- entity-operated water and sewer infrastructure.

A regional system that is cost-effective could also attract new investment in the community creating new employment opportunities in the county.

We look forward to the opportunity to participate in the study process required to establish a regional water and sewer district in Putnam County.

Sincerely,  _____

Greg Klausing, Mgr.
Country Acres Golf Club

RECEIVED
PUT. CO. COMMISSIONERS
AUG 14 2013

.....

The Rob Straver Repair fully supports the efforts of the Board of County Commissioners of Putnam County and the collaborative partnership participating in the State of Ohio Development Services Agency, Local Government Innovation Fund, grant application for the establishment of a regional water and sewer district in Putnam County, Ohio.

Cost-effective, quality infrastructure is important to the success of our organization and Putnam County, Ohio. We support the Board and encourage the State of Ohio, Development Services Agency, to favorably consider the Board's application for this important funding. Once a regional water and sewer system is established, residents will benefit from a shared, collaborative system that should have reduced operating costs when compared to multiple, single-entity-operated water and sewer infrastructure.

A regional system that is cost-effective could also attract new investment in the community creating new employment opportunities in the county.

We look forward to the opportunity to participate in the study process required to establish a regional water and sewer district in Putnam County.

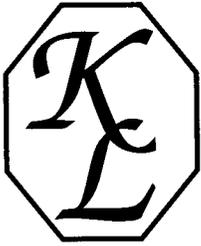
I need letters of support for a grant that the Commissioners are applying for the creation of a Countywide Water & Sewer system.

If you agree please copy this letter on your business letterhead and return it to me by August 16, 2013 at the Commissioners Office 245 E. Main Street Ottawa, Ohio 45875

Sincerely

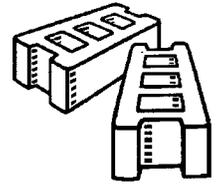
John Love

RECEIVED
PUT. CO. COMMISSIONERS
2013 AUG 20 A 9:27



K & L Ready Mix

10391 St. Rt. 15 Ottawa, OH 45875 419-523-4376 Fax 419-523-6205
www.kandreadymix.com



Brick & Fireplace
Headquarters
Complete Line of
Hard Building Materials

Kahle Company dba K & L Ready Mix fully supports the efforts of the Board of County Commissioners of Putnam County and the collaborative partnership participating in the State of Ohio Development Services Agency. Local Government Innovation Fund, grant application for the establishment of a regional water and sewer district in Putnam County, Ohio.

Cost-effective, quality infrastructure is important to the success of our organization and Putnam County, Ohio. We support the Board and encourage the State of Ohio, Development Services Agency, to favorably consider the Board's application for this important funding. Once a regional water and sewer system is established, residents will benefit from a shared, collaborative system that should have reduced operating costs when compared to multiple, single-entity-operated water and sewer infrastructure.

A regional system that is cost-effective could also attract new investment in the community creating new employment opportunities in the county.

We look forward to the opportunity to participate in the study process required to establish a regional water and sewer district in Putnam County.

Sincerely,

Ronald L. Kahle, Jr

President , Kahle Company



RESOLUTION # 2013-04

A RESOLUTION AUTHORIZING THE BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO, TO FILE AN APPLICATION TO THE STATE OF OHIO, AND TO PARTICIPATE IN THE LOCAL GOVERNMENT INNOVATION FUND PROGRAM, AND DECLARING AN EMERGENCY:

WHEREAS, the state of Ohio, Development Services Agency, provides financial assistance to local governments for the purpose of addressing local needs; and

WHEREAS, the Village of Continental desires to participate as a collaborative partner to receive financial assistance under the Ohio Development Services Agency Local Government Innovation Fund Program; to conduct a study to establish a regional water and sewer district; and

WHEREAS, the scope of the project is currently anticipated to include the following activities:

- Meeting/process facilitation
- Establish framework for the regional water and sewer district for collaboration
- Legal services
- Identification of potential cost-savings and/or improvement in services with the establishment of a regional water and sewer district
- Preparation of legal documents required to establish a regional water and sewer district

The cost for such activities shall not exceed \$100,000 as requested in the grant application, it being understood that matching funds can be in-kind for costs incurred two years prior to submitting the application

WHEREAS, the Board of County Commissioners of Putnam County, Ohio has the authority to apply for financial assistance and/or participate as a collaborative partner through the Local Government Innovation Fund Program; and

WHEREAS, the Village of Continental hereby authorizes and directs the Mayor, Terry Dockery to act in connection with the application as a collaborative partner and to provide such additional information as may be required for the funding application; and

WHEREAS, the Village of Continental understands and acknowledges that Board of County Commissioners of Putnam County, Ohio, will serve as the primary or lead applicant for the project application, with other collaborative parties participating in the project planning and funding;

NOW, THEREFORE, BE IT RESOLVED by the Village of Continental, Ohio, Council, all members thereof concurring;

Section 1. That the Village of Continental, Ohio authorizes the Board of County Commissioners of Putnam County, Ohio, as the official representative of the Village of Continental to participate as a collaborative party in the State of Ohio, Development Services Agency, Local Government Innovation Fund Program, and provide all information and documentation required in said Application for submission.

Section 2. That the Village of Continental hereby approves the participation with the Board of County Commissioners of Putnam County, Ohio, and other collaborative parties for the filing of an application for financial assistance under the Ohio Local Government Innovation Fund Program.

Section 3. That the Village of Continental hereby understands and agrees that participation in the program as a collaborative party will require compliance with program guidelines and assurances.

Section 4. That the Village of Continental hereby commits itself to provide the community's local share funding as a collaborative party, as described in the application, it being understood that the local share can be prior (2 years) and future in-kind costs incurred by the collaborative parties.

Section 5. That this resolution is declared an emergency to meet the application deadline in a timely fashion, necessary for the health, safety, and welfare of the residents of the Village of Continental, Ohio.

PASSED: 8-27-2013
Date

Signed: [Signature] Mayor

Signed: [Signature] Pres. Council

Signed: [Signature] Fiscal

BEFORE THE
OHIO ENVIRONMENTAL PROTECTION AGENCY OHIO E.P.A.

JUL 19 2011

In the Matter of:

Continental, Village of
508 W. Elm Street
P.O. Box 429
Continental, OH 45831

Respondent

ENTERED DIRECTOR'S JOURNAL

Director's Modified Final Findings
and Orders

I certify this to be a true and accurate copy of the
official documents as filed in the records of the Ohio
Environmental Protection Agency.

PREAMBLE

It is agreed by the parties hereto as follows:

DMJ - Cessler Date: 7-19-11

I. JURISDICTION

These modified Director's Final Findings and Orders ("Orders") are issued to the Village of Continental ("Village" or "Respondent") pursuant to the authority vested in the Director of the Ohio Environmental Protection Agency ("Ohio EPA") under Ohio Revised Code ("ORC") §§ 6111.03 and 3745.01.

II. PARTIES BOUND

These Orders shall apply to and be binding upon Respondent and successors in interest liable under Ohio law. No change in the composition of Respondent or the ownership or operation of the wastewater treatment plant ("WWTP") and/or sewer system shall in any way alter Respondent's obligations under these Orders.

III. DEFINITIONS

Unless otherwise stated, all terms used in these Orders shall have the same meaning as defined in ORC Chapter 6111 and the rules promulgated thereunder.

IV. FINDINGS

The Director of Ohio EPA has determined the following findings:

1. On January 16, 2008, the Director and Respondent entered into Director's Final Findings and Orders ("2008 Orders") to address violations of ORC Chapter 6111 that occurred as a result of Respondent's non compliance with the requirements of said Chapter and the rules adopted thereunder, and the terms and conditions of its Ohio National Pollutant Discharge Elimination System ("NPDES") Permit. The 2008 Orders which are attached hereto and incorporated by reference as if fully rewritten herein, included among other things, a schedule of compliance and conditions, set forth in pertinent part, below:

1. Respondent shall comply with the requirements of ORC Chapter 6111, the rules adopted under that Chapter, and the terms and conditions of its NPDES permit and any renewals or modifications thereof, in accordance with the following schedule:

* * *

- b. Submit a complete and approvable permit to install and plan approval for WWTP and sewer system improvements and an NPDES permit modification application, as soon as possible, but not later than June 1, 2009;
- c. Start construction of improvements as soon as possible, but not later than eighteen months from the effective date of the PTI; and

* * *

- g. Attain operational level of the WWTP and meet final effluent limitations as soon as possible, but not later than August 1, 2011.

2. On May 17, 2010, the Village informed Ohio EPA that it was to apply for various grants to help defray the cost of the improvements, so as to make the project more affordable to its residents. The Village anticipated requesting approximately \$750,000 from the Ohio Public Works Commission and the Community Development Block Grant Water & Sewer. The Village requested the following modification to the compliance schedule set forth in Orders 1. b, c, and g:

- b. Submit a complete and approvable permit to install and plan approval for WWTP and sewer system improvements and an NPDES permit modification application, as soon as possible, but not later than December 1, 2010;
- c. Start construction of improvements as soon as possible, but not later than October 2, 2011; and
- g. Attain operational level of the WWTP and meet final effluent limitations as soon as possible, but not later than September 30, 2012.

3. On June 22, 2010, Ohio EPA's Northwest District Office, ("NWDO"), informed the Village that the Agency, at this time, proposes not to modify the Orders and recommend that additional information on funding and the lagoon land acquisition be obtained prior to establishing a revised compliance schedule. NWDO proposed to re-evaluate revising the schedule in December, 2010, and in the interim encouraged the Village to work toward the project's completion. The Village was requested to provide monthly updates of the progress of the WWTP and sewer system improvements.

4. On January 20, 2011, the Village informed NWDO that they have been trying to purchase property for the wastewater lagoon, using eminent domain. The property owner has objected to the land being acquired and the suggested purchase price, which has delayed obtaining the property. A court ordered mediation is scheduled for March or April, 2011 and if no resolution is reached, a jury trial is set for June, 2011. Without the land purchase the wastewater lagoon cannot be totally designed on the site. The Village requested the following revised compliance schedule for Orders 1. b, c., and g:
 - b. Submit a complete and approvable permit to install and plan approval for WWTP and sewer system improvements and an NPDES permit modification application, as soon as possible, but not later than December 1, 2011;
 - c. Start construction of improvements as soon as possible, but not later than October 1, 2012; and
 - g. Attain operational level of the WWTP and meet final effluent limitations as soon as possible, but not later than September 1, 2013.
5. The Director and the Village wish to modify the portions of Order 1 set forth in the Village's letter of January 20, 2011 and Finding No. 4, above, for the good cause shown therein.
6. The Director has given consideration to, and based his determination on, evidence relating to the technical feasibility and economic reasonableness of complying with these Orders and to evidence relating to conditions calculated to result from compliance with these Orders, and its relation to the benefits to the people of the State to be derived from such compliance in accomplishing the purposes of ORC Chapter 6111.

V. ORDERS

1. The 2008 Orders are effective, except that Orders 1. b, c, and g are replaced as follows:
 - b. Submit a complete and approvable permit to install and plan approval for WWTP and sewer system improvements and an NPDES permit modification application, as soon as possible, but not later than December 1, 2011;
 - c. Start construction of improvements as soon as possible, but not later than October 1, 2012; and
 - g. Attain operational level of the WWTP and meet final effluent limitations as soon as possible, but not later than September 1, 2013.

2. The Village shall provide to NWDO monthly updates of the progress of the WWTP and sewer system improvements and the litigation to secure the property for the wastewater lagoon.
3. The Director reserves the right to modify, *sue sponte*, these Orders should he determined that the schedule of compliance is capable of being shortened so as to return the Village to compliance with ORC Chapter 6111 and the rules adopted thereunder, and the terms and conditions of its NPDES permit, and any renewals or modifications thereof, at an earlier time than set forth in modified Orders 1. b, c., and g.
4. All terms and conditions of the 2008 Orders not modified herein remain as written within the 2008 Orders.

VI. TERMINATION

Respondent's obligations under these Orders shall terminate when Respondent certifies in writing and demonstrates to the satisfaction of Ohio EPA that Respondent has performed all obligations under these Orders and the Chief of Ohio EPA's Division of Surface Water acknowledges, in writing, the termination of these Orders. If Ohio EPA does not agree that all obligations have been performed, then Ohio EPA will notify Respondent of the obligations that have not been performed, in which case Respondent shall have an opportunity to address any such deficiencies and seek termination as described above.

The certification shall contain the following attestation: "I certify that the information contained in or accompanying this certification is true, accurate and complete."

This certification shall be submitted by Respondent to Ohio EPA and shall be signed by a responsible official of the Respondent. For purposes of these Orders, a responsible official is as defined in OAC Rule 3745-33-03 (F) (4) for a municipal, state, or other public facility.

VII. OTHER CLAIMS

Nothing in these Orders shall constitute or be construed as a release from any claim, cause of action or demand in law or equity against any person, firm, partnership or corporation, not a party to these Orders, for any liability arising from, or related to activities occurring on or at the Village of Continental.

VIII. OTHER APPLICABLE LAWS

All actions required to be taken pursuant to these Orders shall be undertaken in accordance with the requirements of all applicable local, state and federal laws and regulations. These Orders do not waive or compromise the applicability and enforcement of any other statutes or regulations applicable to Respondent.

IX. MODIFICATIONS

These Orders may be modified by agreement of the parties hereto. Modifications shall be in writing and shall be effective on the date entered in the journal of the Director of Ohio EPA.

X. NOTICE

All documents required to be submitted by Respondent pursuant to these Orders shall be addressed to:

Ohio Environmental Protection Agency
Northwest District Office
347 North Dunbridge Road
P.O. Box 466
Bowling Green, Ohio 43402
Attn: Enforcement Supervisor, Division of Surface Water

XI. RESERVATION OF RIGHTS

Ohio EPA and Respondent each reserve all rights, privileges and causes of action, except as specifically waived in Section XII of these Orders.

XII. WAIVER

In order to resolve disputed claims, without admission of fact, violation or liability, and in lieu of further enforcement action by Ohio EPA for only the violations specifically cited in these Orders, Respondent consents to the issuance of these Orders and agrees to comply with these Orders. Compliance with these Orders shall be a full accord and satisfaction for Respondent's liability for the violations specifically cited herein.

Respondent hereby waives the right to appeal the issuance, terms and conditions, and service of these Orders, and Respondent hereby waives any and all rights Respondent may have to seek administrative or judicial review of these Orders either in law or equity.

Notwithstanding the preceding, Ohio EPA and Respondent agree that if these Orders are appealed by any other party to the Environmental Review Appeals Commission, or any court, Respondent retains the right to intervene and participate in such appeal. In such an event, Respondent shall continue to comply with these Orders notwithstanding such appeal and intervention unless these Orders are stayed, vacated or modified.

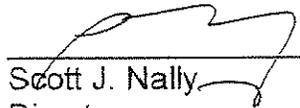
XIII. EFFECTIVE DATE

The effective date of these Orders is the date these Orders are entered into the Ohio EPA Director's Journal.

XIV. SIGNATORY AUTHORITY

Each undersigned representative of a party to these Orders certifies that he or she is fully authorized to enter into these Orders and to legally bind such party to these Orders.

**IT IS SO ORDERED AND AGREED:
Ohio Environmental Protection Agency**

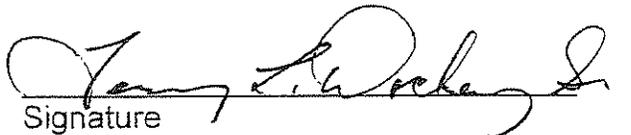


Scott J. Nally
Director

7/13/11

Date

**IT IS SO AGREED:
Continental, Village of**


Signature
TERRY L. DOCKERY SR.
Printed or Typed Name
Mayor
Title

6-28-11

Date

BEFORE THE
OHIO ENVIRONMENTAL PROTECTION AGENCY

OHIO E.P.A.

JAN 16 2008

ENTERED DIRECTOR'S JOURNAL

In the Matter of:

Continental, Village of
508 W. Elm Street
P.O. Box 429
Continental, OH 45831

Director's Final Findings
and Orders

Respondent

I certify this to be a true and accurate copy of the
official documents as filed in the records of the Ohio
Environmental Protection Agency.

PREAMBLE

It is agreed by the parties hereto as follows:

By: [Signature] Date: 1-16-08

I. JURISDICTION

These Director's Final Findings and Orders ("Orders") are issued to the Village of Continental ("Respondent") pursuant to the authority vested in the Director of the Ohio Environmental Protection Agency ("Ohio EPA") under Ohio Revised Code ("ORC") §§ 6111.03 and 3745.01.

II. PARTIES BOUND

These Orders shall apply to and be binding upon Respondent and successors in interest liable under Ohio law. No change in the composition of Respondent or the ownership or operation of the wastewater treatment plant ("WWTP") and/or sewer system shall in any way alter Respondent's obligations under these Orders.

III. DEFINITIONS

Unless otherwise stated, all terms used in these Orders shall have the same meaning as defined in ORC Chapter 6111., and the rules promulgated thereunder.

IV. FINDINGS

The Director of Ohio EPA has determined the following findings:

1. Respondent is an incorporated area of approximately 1,250 citizens in Monroe Township, Putnam County. There are approximately 509 housing units and 44 commercial establishments.
2. Respondent owns and operates a WWTP with an original design flow of 178,000 gpd and an average flow of 80,000 to 100,000 gpd. The WWTP is operated under Ohio National Pollutant Discharge Elimination System Permit No. 2PB00049*DD ("NPDES permit"), which became effective on March 1, 2000 and expired on February 28, 2005. A renewal application was not submitted in a timely manner, in that the renewal application was not submitted within at least one hundred eighty days prior to the expiration of the NPDES permit.

3. The NPDES permit authorized a discharge of pollutants from the WWTP to County Ditch 322, a waters of the state.
4. Respondent is discharging pollutants into waters of the state without either a valid NPDES permit or a pending renewal application that was submitted in a timely manner, which constitutes a violation of ORC Chapter 6111.
5. Ohio Administrative Code ("OAC") Rule 3745-32-02 (now OAC Rule 3745-42-02) required the Director's issuance of a permit to install prior to the installation of a new disposal system, such as dechlorination facilities.
6. Respondent's 1993 NPDES permit No. 2PB00049*CD required a general plan for installation dechlorination facilities to be submitted by May 1, 1994.
7. Dechlorination facilities were installed without the submission of a general plan or an approved permit to install. Further, the dechlorination facilities were installed after the May 1, 1996 deadline for compliance with final effluent limits. This is a violation of ORC Chapter 6111.
8. An as built permit to install application was requested by Ohio EPA pursuant to an inspection letter dated June 18, 1998.
9. In a letter received March 23, 2006, Respondent stated that the as built permit to install application for the dechlorination facilities would be submitted by April 15, 2006.
10. An as built permit to install application for the dechlorination facilities was submitted, and a permit to install was issued on April 13, 2006.
11. A review of data from Respondent's Monthly Operating Reports indicate that during the period from January 1, 2004 to July 27, 2005, the WWTP experienced approximately thirty days when wastewater flow exceeded the plant's design flow. During a June 20, 2005 inspection, a representative of Respondent estimated that over a years time, there were three times when wastewater bypassed the WWTP, resulting in the discharged of raw or partially treated sewage, industrial waste and/or other waste from the WWTP to County Ditch 322. This constituted a violation of the NPDES permit and ORC Chapter 6111.
12. During the June 20, 2005 inspection, five hundred gallons of sludge were observed on the ground, next to the sludge management bed. The sludge had dried out, with solids remaining on the grass. This constituted a violation of the NPDES permit and ORC Chapter 6111.
13. The NPDES permit contained a schedule of compliance requiring that a general plan for WWTP improvements be submitted by March 1, 2001, and a detail plan for WWTP and sewer system improvements be submitted by September 1, 2001. By March 1, 2002, Respondent was to attain operational level of the WWTP and meet final effluent limitations.

14. In a letter received on March 23, 2006, Respondent stated they anticipate submitting a complete general plan by May 15, 2006.
15. Respondent submitted the general plan on July 15, 2006. This late submittal constituted a violation of the NPDES permit and ORC Chapter 6111.
16. The detail plan referenced in Finding No. 13 has not been submitted, nor has Respondent achieved compliance with the final effluent limitations of its NPDES permit. This constituted a violation of the NPDES permit and ORC Chapter 6111.
17. The WWTP has experienced final effluent limit violations for Total Suspended Solids, Ammonia, Dissolved Oxygen, Residual Chlorine, and CBOD, which constituted violations of the NPDES permit and ORC Chapter 6111.
18. In a letter dated July 27, 2005, Ohio EPA notified Respondent of over 300 violations of its NPDES permit from January 2003 to May 2005.
19. From March 1, 2005 to July 7, 2006, Respondent had 49 violations of its NPDES permits, occurring on 40 different days.
20. Respondent has not achieved compliance with its NPDES permit nor ORC Chapter 6111.
21. ORC § 6111.04 prohibits any person from causing pollution or causing any sewerage, industrial waste or other waste to be placed in any location where they cause pollution to waters of the state, except if such discharges occur in accordance with a NPDES permit issued by the Director.
22. ORC § 6111.07(A) prohibits any person from violating, or failing to perform, any duty imposed by ORC §§ 6111.01 to 6111.08; or violating any order, rule, or term or condition of a permit issued by the Director pursuant to those sections. Each day of violation represents a separate violation.
23. Respondent has applied and will be applying to the Ohio EPA and other funding sources for money to fund the WWTP and sewer system improvements.
24. Compliance with ORC Chapter 6111. is not contingent upon the availability or receipt of financial assistance.
25. The Director has given consideration to, and based his determination on, evidence relating to the technical feasibility and economic reasonableness of complying with these Orders and to evidence relating to conditions calculated to result from compliance with these Orders, and its relation to the benefits to the people of the State to be derived from such compliance in accomplishing the purpose of ORC Chapter 6111.

ORDERS

1. Respondent shall comply with the requirements of ORC Chapter 6111, the rules adopted under that Chapter, and the terms and conditions of its NPDES permit, and any renewals or modifications thereof, in accordance with the following schedule:
 - a. Submit revised facility plan as soon as possible, but not later than May 15, 2008;
 - b. Submit a complete and approvable permit to install and plan approval for WWTP and sewer system improvements and an NPDES permit modification application, as soon as possible, but not later than June 1, 2009;
 - c. Start construction of improvements as soon as possible, but not later than eighteen months from the effective date of the PTI;
 - d. Install said improvements in accordance with the terms and conditions of an approved permit to install and plan approval;
 - e. Notify Ohio EPA, Northwest District Office, in writing, within seven (7) days of construction initiation;
 - f. Notify Ohio EPA, Northwest District Office, in writing, within seven (7) day of construction completion;
 - g. Attain operational level of the WWTP and meet final effluent limitations as soon as possible, but not later than August 1, 2011; and
 - h. Notify Ohio EPA, Northwest District Office, in writing, within seven (7) days of attaining operational level.
2. Within sixty (60) days of the effective date of these Orders, provide a written description of how Respondent will address and has addressed its inflow and infiltration (I/I) problem, including milestone dates up to and including completion of any tasks or activities.
3. Respondent shall pay the amount of ten thousand dollars (\$10,000.00) in settlement of Ohio EPA's claim for civil penalties, which may be assessed pursuant to ORC Chapter 6111. Within thirty (30) days after the effective date of these Orders, payment to Ohio EPA shall be made by an official check made payable to "Treasurer, State of Ohio" for eight thousand dollars (\$8,000.00) of the total amount. The official check shall be submitted to Brenda Case, or her successor, together with a letter identifying the Respondent and the Facility, to:

Ohio EPA
Office of Fiscal Administration
P.O. Box 1049
Columbus, Ohio 43216-1049

A photocopy of the check shall be sent to the Ohio EPA, Northwest District Office, in accordance with Section X of these Orders.

4. In lieu of paying the remaining two thousand dollars (\$2,000.00) of the civil penalty, Respondent shall within thirty (30) days of the effective date of these Orders, fund a Supplemental Environmental Project ("SEP") by making a contribution in the amount of two thousand dollars (\$2,000.00) to the Ohio EPA's Clean Diesel School Bus Fund (Fund 5CD). Respondent shall tender an official check made payable to "Treasurer, State of Ohio" for said amount. The official check shall be submitted to Brenda Case, or her successor, together with a letter identifying the Respondent and the Facility, to:

Ohio EPA
Office of Fiscal Administration
P.O. Box 1049
Columbus, Ohio 43216-1049

A copy of each check shall be sent to Mark Mann, Enforcement Coordinator, or his successor, at the following address:

Ohio EPA
Division of Surface Water
P.O. Box 1049
Columbus, OH 43216 - 1049

5. Should Respondent fail to fund the SEP within the required timeframe set forth in Order No. 4, Respondent shall immediately pay to Ohio EPA the remaining two thousand dollars (\$2,000.00) of the of the civil penalty in accordance with the procedures in Order No. 3.
6. Respondent has expressed concern that completion of the WWTP and sewer system improvements pursuant to the schedule contained herein may be delayed as a result of contingencies such as funding, property acquisition, purchase arrangements or litigation. Upon request by Respondent, the Director may, at his sole discretion, extend in writing any time periods established by these Orders for a period not to exceed twenty-four (24) months.

VI. TERMINATION

Respondent's obligations under these Orders shall terminate when Respondent certifies in writing and demonstrates to the satisfaction of Ohio EPA that Respondent has performed all obligations under these Orders and the Chief of Ohio EPA's Division of Surface Water acknowledges, in writing, the termination of these Orders. If Ohio EPA does not agree that all obligations have been performed, then Ohio EPA will notify Respondent of the obligations that have not been performed, in which case Respondent shall have an opportunity to address any such deficiencies and seek termination as described above.

The certification shall contain the following attestation: "I certify that the information contained in or accompanying this certification is true, accurate and complete."

This certification shall be submitted by Respondent to Ohio EPA and shall be signed by a responsible official of the Respondent. For purposes of these Orders, a responsible official is as defined in OAC Rule 3745-33-03(E)(4) for a municipal, state, or other public facility.

VII. OTHER CLAIMS

Nothing in these Orders shall constitute or be construed as a release from any claim, cause of action or demand in law or equity against any person, firm, partnership or corporation, not a party to these Orders, for any liability arising from, or related to activities occurring on or at the Village of Continental.

VIII. OTHER APPLICABLE LAWS

All actions required to be taken pursuant to these Orders shall be undertaken in accordance with the requirements of all applicable local, state and federal laws and regulations. These Orders do not waive or compromise the applicability and enforcement of any other statutes or regulations applicable to Respondent.

IX. MODIFICATIONS

These Orders may be modified by agreement of the parties hereto. Modifications shall be in writing and shall be effective on the date entered in the journal of the Director of Ohio EPA.

X. NOTICE

All documents required to be submitted by Respondent pursuant to these Orders shall be addressed to:

Ohio Environmental Protection Agency
Northwest District Office
347 North Dunbridge Road
P.O. Box 466
Bowling Green, Ohio 43402
Attn: Enforcement Supervisor, Division of Surface Water

XI. RESERVATION OF RIGHTS

Ohio EPA and Respondent each reserve all rights, privileges and causes of action, except as specifically waived in Section XII of these Orders.

XII. WAIVER

In order to resolve disputed claims, without admission of fact, violation or liability, and in lieu of further enforcement action by Ohio EPA for only the violations specifically

cited in these Orders, Respondent consents to the issuance of these Orders and agrees to comply with these Orders. Compliance with these Orders shall be a full accord and satisfaction for Respondent's liability for the violations specifically cited herein.

Respondent hereby waives the right to appeal the issuance, terms and conditions, and service of these Orders, and Respondent hereby waives any and all rights Respondent may have to seek administrative or judicial review of these Orders either in law or equity.

Notwithstanding the preceding, Ohio EPA and Respondent agree that if these Orders are appealed by any other party to the Environmental Review Appeals Commission, or any court, Respondent retains the right to intervene and participate in such appeal. In such an event, Respondent shall continue to comply with these Orders notwithstanding such appeal and intervention unless these Orders are stayed, vacated or modified.

XIII. EFFECTIVE DATE

The effective date of these Orders is the date these Orders are entered into the Ohio EPA Director's journal.

XIV. SIGNATORY AUTHORITY

Each undersigned representative of a party to these Orders certifies that he or she is fully authorized to enter into these Orders and to legally bind such party to these Orders.

IT IS SO ORDERED AND AGREED:
Ohio Environmental Protection Agency


Chris Korleski
Director

Date 1/14/08

IT IS SO AGREED:
Continental, Village of

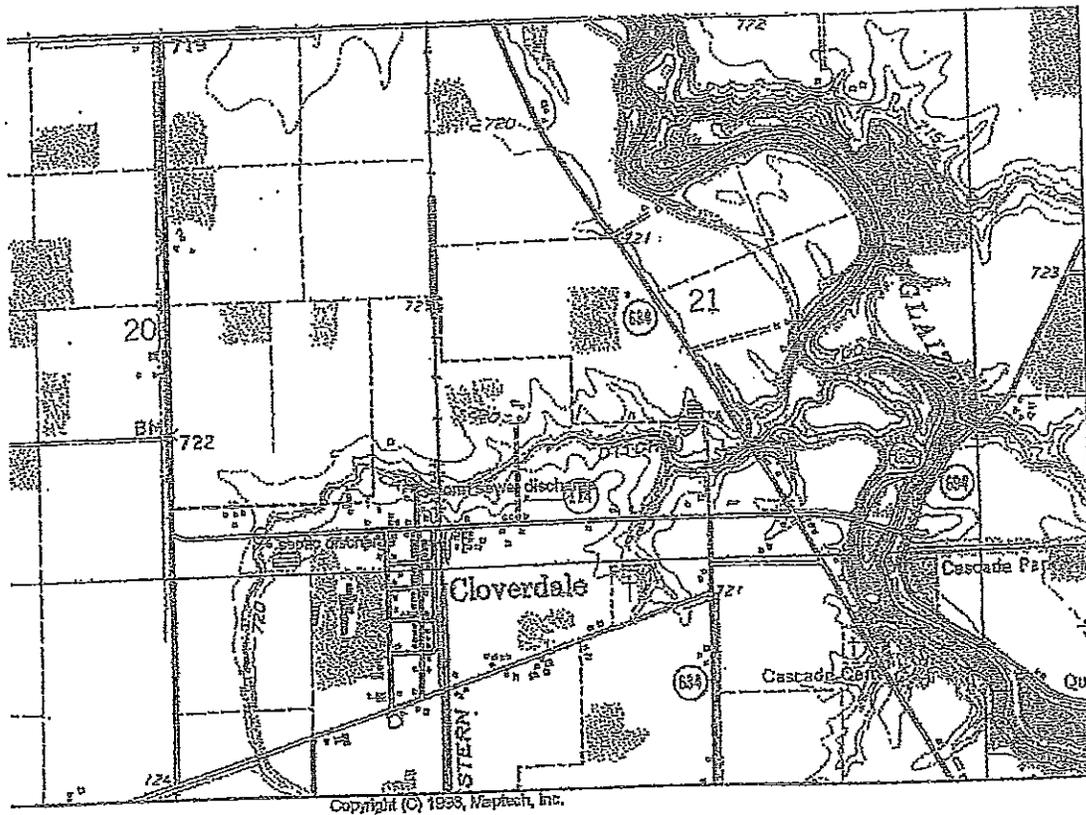

Signature
TERRY L. DOCKERY SR.
Printed or Typed Name
Mayor
Title

Date 12/20/07

<i>Subject</i>	<i>Cloverdale</i>	<i>Ohio</i>
Median real estate property tax paid for housing units in 2000	1.0% (\$600)	1.2% (\$1,271)
Residents with income below the poverty level in 1999	19.9%	10.6% (whole state)
Residents with income below 50% of the poverty level in 1999	2.4%	4.8% (whole state)

3. There are 8 commercial establishments in the Village.
4. The Village does not have a centralized collection and treatment system for sanitary wastewater; with residents primarily served by on-lot type systems, such as septic tanks.
5. Due to the absence or failure of leaching tile fields, on-lot systems often have discharges to streams. These discharges are occasionally from individual households, but are often from a common storm sewer outlet, which is the situation in the Village.
6. Discharges from the Village primarily impact Lapp Ditch. Within the Village several septic discharges of varying degree were noted during the below described sampling event, with the most severe being located on Lapp Ditch at the end of Mahoning Street. The discharge originates from the Village's storm sewer.
7. Lapp Ditch is a waters of the state.
8. A map of the discharge is set forth below on the next page.

(continued after map)



9. Sampling was performed in 2006 and 2007 at Lapp Ditch at State Route 114 (an upstream site) and Lapp Ditch at the end of Mahoning Street (the site at which the on-lot type systems discharge). The results are reported below:

<i>Location</i>	<i>Date Time</i>	<i>Fecal Coliform (# / 100 ml)</i>	<i>Odor</i>	<i>Visual Observations</i>
Lapp Ditch at State Route 114 (up stream site)	08/15/06 12:15	6,100	none	grayish (mostly cloudy) algae
	09/04/07 12:50	3,000	slight	greyish (mostly just cloudy)
	09/24/07 13:27	1,700	moderate	blackish *(cloudy)
Lapp Ditch at end of Mahoning Street (site at which the on-lot type systems discharge)	08/15/06 11:55	73,000	strong	greyish (cloudy entire stream) sludge deposits sewage fungus
	09/04/07 12:40	>200,000	strong	greyish (cloudy) sludge deposits sewage fungus
	09/24/07	170,000	strong	whitish greyish (cloudy) sewage fungus

10. Ohio Administrative Code ("OAC") Rule 3745-1-04(F) provides that it is a general Ohio water quality criterion applicable to all surface waters of the state, that, to every extent practical and possible as determined by the Director, surface waters shall be free from public health nuisances associated with raw or poorly treated sewage.
11. A public health nuisance shall be deemed to exist when an inspection conducted by, or under the supervision of, Ohio EPA or a sanitarian registered under ORC Chapter 4736, documents any or all of the following: odor, color and/or other visual manifestations of raw or poorly treated sewage in waters of the state; and, water samples exceed 5,000 fecal coliform counts per 100 milliliters in two or more samples when five or fewer samples are collected, or in more than 20 percent of the samples when more than five samples are taken.

12. The sampling data and observations confirm the existence of unsanitary conditions, documented violations of Ohio's general water quality criteria set forth in OAC Rule 3745-1-04, and demonstrated a public health nuisance in accordance with OAC Rule 3745-1-04.
13. Small lot sizes, in conjunction with local soil conditions and local hydrology, make the replacement or repair of existing on-site sewage disposal systems an unacceptable solution to remedy the unsanitary conditions.
14. It is necessary for the public health and welfare that sewer improvements or sewage treatment or disposal works be constructed, maintained, and operated to serve the Village.
15. ORC § 6111.03(H) provides, among other things, that the Director may issue Orders to prevent, control, or abate water pollution by such means as requiring the construction of new disposal systems or any parts thereof, or the modification, extension, or alteration of existing disposal systems or any parts thereof.
16. The following Orders do not constitute authorization or approval of the construction of any physical structure or facilities, or the modification of any existing treatment works or sewer system. Any such construction or modification is subject to the permit to install and plan approval requirements of ORC §§ 6111.44 and 6111.45 and OAC Chapter 3745-42.
17. The Director has given consideration to, and based his determination on, evidence relating to the technical feasibility and economic reasonableness of complying with these Orders and to evidence relating to conditions calculated to result from compliance with these Orders, and its relation to the benefits to the people of the state to be derived from such compliance in accomplishing the purposes of ORC Chapter 6111.

V. ORDERS

1. Respondent shall submit to Ohio EPA, a comprehensive General Plan for sewage treatment improvements or other methods of abating the unsanitary conditions throughout the Village as expeditiously as practicable, but not later than twelve (12) months from the effective date of these Orders. At a minimum, the comprehensive General Plan shall include but not be limited to the following:
 - a. Alternatives for treating sewage from all areas of concern, including but not limited to, connection to an existing or planned wastewater treatment plant;
 - b. Proposed locations for collection and treatment facilities, if deemed appropriate;

- c. Cost estimates for the required improvements and cost effectiveness analysis of the alternatives;
 - d. The financial mechanisms to be used to fund the required improvements, operation and maintenance, and replacement costs; and
 - e. Implementation schedule for submitting complete and approvable permit to install applications, detail plans, anti-degradation addenda, and National Pollutant Discharge Elimination System permit application(s) (if necessary); awarding bids; starting and completing construction; and/or all other significant milestones.
2. Upon approval of the comprehensive General Plan by Ohio EPA, Respondent shall implement the alternative agreed upon as outlined in the comprehensive General Plan in accordance with the schedule of implementation set forth therein.

VI. TERMINATION

Respondent's obligations under these Orders shall terminate when Respondent certifies in writing and demonstrates to the satisfaction of Ohio EPA that Respondent has performed all obligations under these Orders and the Chief of Ohio EPA's Division of Surface Water acknowledges, in writing, the termination of these Orders. If Ohio EPA does not agree that all obligations have been performed, then Ohio EPA will notify Respondent of the obligations that have not been performed, in which case Respondent shall have an opportunity to address any such deficiencies and seek termination as described above.

The certification shall contain the following attestation: "I certify that the information contained in or accompanying this certification is true, accurate and complete."

This certification shall be submitted by Respondent to Ohio EPA and shall be signed by a responsible official of the Respondent. For purposes of these Orders, a responsible official is as defined in OAC Rule 3745-33-03(E)(4) for a municipal, state, or other public facility.

VII. OTHER CLAIMS

Nothing in these Orders shall constitute or be construed as a release from any claim, cause of action or demand in law or equity against any person, firm, partnership or corporation, not a party to these Orders, for any liability arising from, or related to activities occurring on or at the Facility.

VIII. OTHER APPLICABLE LAWS

All actions required to be taken pursuant to these Orders shall be undertaken in accordance with the requirements of all applicable local, state and federal laws and regulations. These Orders do not waive or compromise the applicability and enforcement of any other statutes or regulations applicable to Respondent.

IX. MODIFICATIONS

These Orders may be modified by agreement of the parties hereto. Modifications shall be in writing and shall be effective on the date entered in the journal of the Director of Ohio EPA.

X. NOTICE

Unless otherwise stated herein, all documents required to be submitted by Respondent pursuant to these Orders shall be addressed to:

Ohio Environmental Protection Agency
Northwest District Office
Division of Surface Water
Attn: DSW Enforcement Unit Supervisor
347 North Dunbridge Road
Bowling Green, Ohio 43402

or to such persons and addresses as may hereafter be otherwise specified in writing by Ohio EPA.

XI. RESERVATION OF RIGHTS

Ohio EPA and Respondent each reserve all rights, privileges and causes of action, except as specifically waived in Section XII of these Orders.

XII. WAIVER

In order to resolve disputed claims, without admission of fact, violation or liability, and in lieu of further enforcement action by Ohio EPA for only the violations specifically cited in these Orders, Respondent consents to the issuance of these Orders and agrees to comply with these Orders. Compliance with these Orders shall be a full accord and satisfaction for Respondent's liability for the violations specifically cited herein.

Respondent hereby waives the right to appeal the issuance, terms and conditions, and service of these Orders, and Respondent hereby waives any and all rights Respondent may have to seek administrative or judicial review of these Orders either in law or equity.

Notwithstanding the preceding, Ohio EPA and Respondent agree that if these Orders are appealed by any other party to the Environmental Review Appeals Commission, or any court, Respondent retains the right to intervene and participate in such appeal. In such an event, Respondent shall continue to comply with these Orders notwithstanding such appeal and intervention unless these Orders are stayed, vacated or modified.

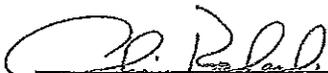
XIII. EFFECTIVE DATE

The effective date of these Orders is the date these Orders are entered into the Ohio EPA Director's journal.

XIV. SIGNATORY AUTHORITY

Each undersigned representative of a party to these Orders certifies that he or she is fully authorized to enter into these Orders and to legally bind such party to these Orders.

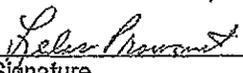
IT IS SO ORDERED AND AGREED:
Ohio Environmental Protection Agency



Chris Korleski,
Director

11/26/08
Date

IT IS SO AGREED:
Village of Cloverdale



Signature
Lelas Prouant

Printed or Typed Name
Mayor

Title

10-2-08
Date

**BEFORE THE
OHIO ENVIRONMENTAL PROTECTION AGENCY**

In the Matter of:

Village of Dupont	:	<u>Director's Final Findings</u>
P.O. Box 100	:	<u>and Orders</u>
Dupont, OH 45837	:	
	:	
Respondent	:	

PREAMBLE

It is agreed by the Parties hereto as follows:

I. JURISDICTION

These Director's Final Findings and Orders ("Orders") are issued to the Village of Dupont ("Respondent" or "Village") pursuant to the authority vested in the Director of the Ohio Environmental Protection Agency ("Ohio EPA") under Ohio Revised Code ("ORC") §§ 6111.03 and 3745.01.

II. PARTIES BOUND

These Orders shall apply to and be binding upon Respondent and successors in interest liable under Ohio law. No change in the composition of Respondent shall in any way alter Respondent's obligations under these Orders.

III. DEFINITIONS

Unless otherwise stated, all terms used in these Orders shall have the same meaning as defined in ORC Chapter 6111., and the rules promulgated thereunder.

IV. FINDINGS

The Director of Ohio EPA has determined the following findings:

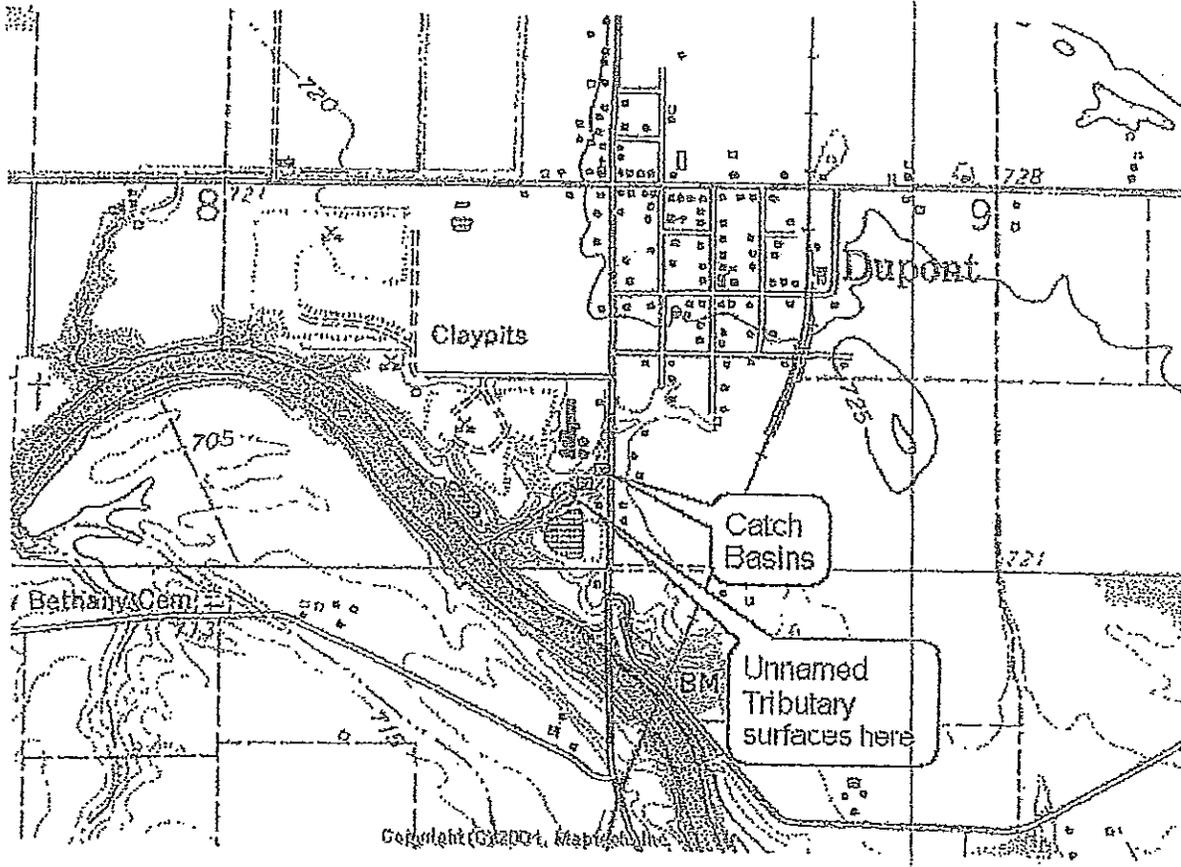
1. Respondent is an incorporated village in Perry Township, Putnam County, encompassing 0.93 square miles, having a 2000 census year population of 268 and an estimated population in July, 2006 of 266 (-0.7% change).
2. There are 6 commercial establishments in the Village.
3. The following chart provides a comparison of the Village to the State:

<i>Subject</i>	<i>Dupont</i>	<i>Ohio</i>
Estimated Median household income in 2005	\$32,000	\$43,493
Estimated median house/condo value in 2005	\$67,200	\$129,600

<i>Subject</i>	<i>Dupont</i>	<i>Ohio</i>
Median real estate property tax paid for housing units in 2000	0.8% (\$520)	1.2% (\$1,271)
Residents with income below the poverty level in 1999	7.7%	10.6% (whole state)
Residents with income below 50% of the poverty level in 1999	5.0%	4.8% (whole state)

4. The Village does not have a centralized collection and treatment system for sanitary wastewater; with residents primarily served by on-lot type systems, such as septic tanks.
5. Due to the absence or failure of leaching tile fields, on-lot systems often have discharges to streams. These discharges are occasionally from individual households, but are often from a common storm sewer outlet, which is the situation in the Village.
6. Discharges from the Village impact an unnamed tributary to the Auglaize River at RM 25.51. The main discharge from the Village storm sewer occurs to the headwaters of the small tributary and may be the primary source of water in the stream.
7. A map of the discharge is set forth on the next page.

(continued after map)



8. The unnamed tributary and the Auglaize River are waters of the state.
9. The catch basins shown on the map were not sampled. They drain from the Village's storm sewer to the unnamed tributary underground. The unnamed tributary was sampled at the point that it surfaces (actually becomes a stream) at Auglaize River RM 25.51, adjacent to State Route 634. Most of the Village's septic systems appeared to be discharging to the storm sewers and end at the stream.
10. Sampling was performed in 2006 and 2007 at RM 25.51 on the Auglaize River with the following results:

<i>Date Time</i>	<i>Fecal Coliform (# / 100 mL)</i>	<i>Odor</i>	<i>Visual Observations</i>
08/15/06 11:35	69,000	Moderate	greyish sludge deposits
09/04/07 12:25	>200,000	Moderate	grayish } cloudy blackish }
09/24/07 13:05	510	Moderate	grayish (cloudy) sewage fungus

11. The 510/100ml result on September 24, 2007 was an anomaly. It was dry enough before the sampling that the catch basin was not overflowing to the tributary. A search of precipitation data from Defiance Memorial Airport, the nearest National Weather Service reporting station and a distance of approximately 25.1 miles from the Village, recorded that the last measurable precipitation event before the sampling was on September 11, 2007, with a rainfall measurement of 0.21 inches.
12. Ohio Administrative Code ("OAC") Rule 3745-1-04(F) provides that it is a general Ohio water quality criterion applicable to all surface waters of the state, that, to every extent practical and possible as determined by the Director, surface waters shall be free from public health nuisances associated with raw or poorly treated sewage.
13. A public health nuisance shall be deemed to exist when an inspection conducted by, or under the supervision of, Ohio EPA or a sanitarian registered under ORC Chapter 4736, documents any or all of the following: odor, color and/or other visual manifestations of raw or poorly treated sewage in waters of the state; and, water samples exceed 5,000 fecal coliform counts per 100 milliliters in two or more samples when five or fewer samples are collected, or in more than 20 percent of the samples when more than five samples are taken.

14. The sampling data and observations confirm the existence of unsanitary conditions, documented violations of Ohio's general water quality criteria set forth in OAC Rule 3745-1-04, and demonstrated a public health nuisance in accordance with OAC Rule 3745-1-04.
15. Small lot sizes, in conjunction with local soil conditions and local hydrology, make the replacement or repair of existing on-site sewage disposal systems an unacceptable solution to remedy the unsanitary conditions.
16. It is necessary for the public health and welfare that sewer improvements or sewage treatment or disposal works be constructed, maintained, and operated to serve the Village.
17. ORC § 6111.03(H) provides, among other things, that the Director may issue Orders to prevent, control, or abate water pollution by such means as requiring the construction of new disposal systems or any parts thereof, or the modification, extension, or alteration of existing disposal systems or any parts thereof.
18. The following Orders do not constitute authorization or approval of the construction of any physical structure or facilities, or the modification of any existing treatment works or sewer system. Any such construction or modification is subject to the permit to install and plan approval requirements of ORC §§ 6111.44 and 6111.45 and OAC Chapter 3745-42.
19. The Director has given consideration to, and based his determination on, evidence relating to the technical feasibility and economic reasonableness of complying with these Orders and to evidence relating to conditions calculated to result from compliance with these Orders, and its relation to the benefits to the people of the state to be derived from such compliance in accomplishing the purposes of ORC Chapter 6111.

V. ORDERS

1. Respondent shall submit to Ohio EPA, a comprehensive General Plan for sewage treatment improvements or other methods of abating the unsanitary conditions throughout the Village as expeditiously as practicable, but not later than twelve (12) months from the effective date of these Orders. At a minimum, the comprehensive General Plan shall include but not be limited to the following:
 - a. Alternatives for treating sewage from all areas of concern, including but not limited to, connection to an existing or planned wastewater treatment plant;
 - b. Proposed locations for collection and treatment facilities, if deemed appropriate;

- c. Cost estimates for the required improvements and cost effectiveness analysis of the alternatives;
 - d. The financial mechanisms to be used to fund the required improvements, operation and maintenance, and replacement costs; and
 - e. Implementation schedule for submitting complete and approvable permit to install applications, detail plans, anti-degradation addenda, and National Pollutant Discharge Elimination System permit application(s) (if necessary); awarding bids; starting and completing construction; and/or all other significant milestones.
2. Upon approval of the comprehensive General Plan by Ohio EPA, Respondent shall implement the alternative agreed upon as outlined in the comprehensive General Plan in accordance with the schedule of implementation set forth therein.

VI. TERMINATION

Respondent's obligations under these Orders shall terminate when Respondent certifies in writing and demonstrates to the satisfaction of Ohio EPA that Respondent has performed all obligations under these Orders and the Chief of Ohio EPA's Division of Surface Water acknowledges, in writing, the termination of these Orders. If Ohio EPA does not agree that all obligations have been performed, then Ohio EPA will notify Respondent of the obligations that have not been performed, in which case Respondent shall have an opportunity to address any such deficiencies and seek termination as described above.

The certification shall contain the following attestation: "I certify that the information contained in or accompanying this certification is true, accurate and complete."

This certification shall be submitted by Respondent to Ohio EPA and shall be signed by a responsible official of the Respondent. For purposes of these Orders, a responsible official is as defined in OAC Rule 3745-33-03(D)(4) for a municipal, state, or other public facility.

VII. OTHER CLAIMS

Nothing in these Orders shall constitute or be construed as a release from any claim, cause of action or demand in law or equity against any person, firm, partnership or corporation, not a party to these Orders, for any liability arising from, or related to activities occurring on or at the Facility.

VIII. OTHER APPLICABLE LAWS

All actions required to be taken pursuant to these Orders shall be undertaken in accordance with the requirements of all applicable local, state and federal laws and regulations. These Orders do not waive or compromise the applicability and enforcement of any other statutes or regulations applicable to Respondent.

IX. MODIFICATIONS

These Orders may be modified by agreement of the parties hereto. Modifications shall be in writing and shall be effective on the date entered in the journal of the Director of Ohio EPA.

X. NOTICE

Unless otherwise stated herein, all documents required to be submitted by Respondent pursuant to these Orders shall be addressed to:

Ohio Environmental Protection Agency
Northwest District Office
Division of Surface Water
Attn: DSW Enforcement Unit Supervisor
347 North Dunbridge Road
Bowling Green, Ohio 43402

or to such persons and addresses as may hereafter be otherwise specified in writing by Ohio EPA.

XI. RESERVATION OF RIGHTS

Ohio EPA and Respondent each reserve all rights, privileges and causes of action, except as specifically waived in Section XII of these Orders.

XII. WAIVER

In order to resolve disputed claims, without admission of fact, violation or liability, and in lieu of further enforcement action by Ohio EPA for only the violations specifically cited in these Orders, Respondent consents to the issuance of these Orders and agrees to comply with these Orders. Compliance with these Orders shall be a full accord and satisfaction for Respondent's liability for the violations specifically cited herein.

Respondent hereby waives the right to appeal the issuance, terms and conditions, and service of these Orders, and Respondent hereby waives any and all rights Respondent may have to seek administrative or judicial review of these Orders either in law or equity.

Notwithstanding the preceding, Ohio EPA and Respondent agree that if these Orders are appealed by any other party to the Environmental Review Appeals Commission, or any court, Respondent retains the right to intervene and participate in such appeal. In such an event, Respondent shall continue to comply with these Orders notwithstanding such appeal and intervention unless these Orders are stayed, vacated or modified.

XIII. EFFECTIVE DATE

The effective date of these Orders is the date these Orders are entered into the Ohio EPA Director's journal.

XIV. SIGNATORY AUTHORITY

Each undersigned representative of a party to these Orders certifies that he or she is fully authorized to enter into these Orders and to legally bind such party to these Orders.

**IT IS SO ORDERED AND AGREED:
Ohio Environmental Protection Agency**

Chris Korleski,
Director

Date

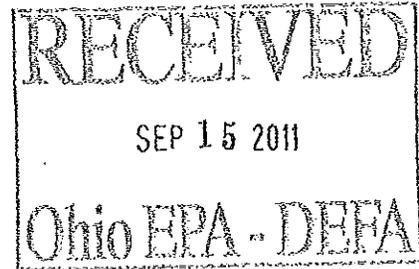
**IT IS SO AGREED:
Village of Dupont**

Robert L. Heidenschner
Signature

11/10/08
Date

Robert L. Heidenschner
Printed or Typed Name

Mayor
Title



September 15, 2011

Mr. Dave Reiff
Ohio Environmental Protection Agency
Division of Environmental and Financial Assistance
50 West Town Street, Suite 700
P.O. Box 1049
Columbus, Ohio 43216-1049

**RE: Village of Dupont
2012 WPCLF Nomination Form**

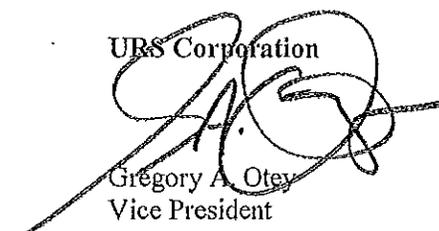
Dear Mr. Reiff:

Please find enclosed the WPCLF Nomination Form along with Principal Forgiveness Nomination Forms for Planning + Design and also for the Construction of the Village of Dupont's Wastewater Collection System project. The Nomination Form is to place the Village's project on the Project Priority list for funding for Planning + Design in 2012 and Construction in 2013.

If you should have any questions, or need additional information regarding the above items, please contact me at 614-464-4500 ext. 7730.

Sincerely,

URS Corporation



Gregory A. Otey
Vice President

Enclosures

cc: Robert Heidenescher, Mayor – Village of Dupont

URS Corporation
277 West Nationwide Boulevard
Columbus, OH 43215-2566
Tel: 614.464.4500
Fax: 614.464.0588
www.urscorp.com

Local Government Innovation Fund Completeness Review
Applicant: Putnam County, Ohio, Board of County Commissioners
Project Name: Establishment of Regional Water & Sewer District
Application Number: G07-020

Issues for Response

Project Budget

Please explain the \$100,000 Legal fees and lack of consultation fees in the Uses of Funds section. Will the legal fees be used to produce the feasibility study?

Please refer to "Project Narrative" (Page 13 of 21).

Because the establishment of a regional water & sewer district is primarily a legal process, the Board of Commissioners would prefer one point of contact (and responsibility) during the study process. It is planned that legal counsel will coordinate the effort and engage other specialty consultants, i.e., engineering, accounting, that may be required to complete the feasibility study, which is also a requirement for the legal documents. An estimated breakdown of the anticipated fee is:

- | | |
|------------------------|----------|
| ▪ Legal Services | \$45,000 |
| ▪ Engineering Services | \$45,000 |
| ▪ Accounting Services | \$ 5,000 |
| ▪ Other | \$ 5,000 |

Program Budget

Please provide three years projects Program Budget information. The current projected Program Budget information includes the cost of implementing the project. You may include a three year future budget that begins immediately after the project has been finished.

Please see attached Program Budget for the calendar years 2014, 2015, and 2016.

Return on Investment

Please show current total operating expenses figure so that ODSA may check the arithmetic (documentation has been provided on how costs were calculated); please state the total current operating costs.

Current estimated operating costs at the year ending December 31, 2011, for the individual water and/or sanitary sewer systems in Putnam County was \$5,941,914 based on financial statements in published audits. Additional current capital costs for equipment and facilities were estimated at \$800,844 for one year. Debt retirement is estimated for one year at \$1,173,902. Total estimated current costs are \$7,916,660. (Please refer to page 18 of 21.)

Resolutions of Support and Partnership Agreements

Attached are the following additional documents

- Cooperative Agreement from the Village of Continental
- Resolution and Cooperative Agreement from the Village of Leipsic

Projected Budget
 Putnam County Water & Sewer District
 LGIF Grant No. G07-020

Budget Item	2014	2015	2016
Revenue			
<i>Charges for Services</i>	\$6,000,000	\$6,200,000	\$6,400,000
Cost of Services			
Personnel	\$1,303,000	\$1,329,060	\$1,355,641
Contractual Services	\$3,000,000	\$3,060,000	\$3,121,200
Supplies/Materials	\$500,000	\$510,000	\$520,200
Equipment	\$40,000	\$40,800	\$41,616
Debt Retirement	\$1,000,000	\$1,020,000	\$1,040,400
Other	\$10,000	\$10,200	\$10,404
Total Expenses	\$5,853,000	\$5,970,060	\$6,089,461
Surplus	\$147,000	\$229,940	\$310,539

COOPERATIVE AGREEMENT

This Cooperative Agreement is entered into as of this 31st day of October, 2013, by and among **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO**, and the Village of Continental

WITNESSETH:

WHEREAS, the State of Ohio, Development Services Agency, provides financial assistance to local governments for projects that promote efficiency, shared services, coproduction, and mergers among local governments; and

WHEREAS, Village of Continental desires to participate as a collaborative partner to receive financial assistance under the Ohio Development Services Agency Local Government Innovation Fund Program; to conduct a study to establish a regional water and sewer district; and

WHEREAS, the scope of the project is currently anticipated to include the following activities:

- Meeting/process facilitation
- Establish framework for the regional water and sewer district for collaboration
- Legal services
- Identification of potential cost-savings and/or improvement in services with the establishment of a regional water and sewer district
- Preparation of legal documents required to establish a regional water and sewer district

The cost for such activities shall not exceed \$100,000 as requested in the grant application, it being understood that matching funds can be in-kind for costs incurred two years prior to submitting the application..

WHEREAS, the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** has the authority to apply for financial assistance and/or participate as a collaborative partner through the Local Government Innovation Fund Program; and

WHEREAS, the **PARTIES** to this agreement understand and acknowledge that the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** will serve as the primary or lead applicant for the project application, with other collaborative **PARTIES** participating in the project planning and funding;

WHEREAS,

Village of Continental, hereinafter referred to collectively as the **PARTIES** have requested the assistance of the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** in obtaining a federal or State of Ohio grants for establishing a regional water and sewer district; and

WHEREAS, in anticipation of applying for grants for the sharing of the above listed services, the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** and the **PARTIES** have entered into an agreement for the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** to be the applicant and administrator of said grants; and

WHEREAS, the **PARTIES** and the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** have determined to enter into this Agreement to affirm and acknowledge the power of the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** to receive and accept from any federal or state agency grants to establish a regional water and sewer district for the benefit of the **PARTIES** and their citizens; and

WHEREAS, the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** has determined, subject to the satisfaction of certain conditions, to apply for federal and State of Ohio grants requested by the **PARTIES** for the establishment of a regional water and sewer district;

NOW, THEREFORE, for and in consideration of the premises and of the mutual covenants hereinafter contained, the **PARTIES** hereby agree as follows:

Section 1. The **PARTIES** request the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** to exercise any and all powers and to perform any and all functions with respect to receiving and accepting from any Federal or State of Ohio agency, grants for or in aid of the conduct of a feasibility study for the benefit of the **PARTIES**, including the establishment of a regional water and sewer district,

Section 2. In furtherance and not in limitation of the provisions of Section 1, the **PARTIES** request the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** to apply for and receive and accept Federal or State of Ohio grants for the **PARTIES**, pursuant to the Act, and to apply the proceeds of the Grants to the payment of "costs" of the feasibility study, as those terms are defined in the Act for the research and establishment of a regional water and sewer district;

RESOLUTION # 2784

A RESOLUTION AUTHORIZING THE BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO, TO FILE AN APPLICATION TO THE STATE OF OHIO, AND TO PARTICIPATE IN THE LOCAL GOVERNMENT INNOVATION FUND PROGRAM, AND DECLARING AN EMERGENCY:

WHEREAS, the state of Ohio, Development Services Agency, provides financial assistance to local governments for the purpose of addressing local needs; and

WHEREAS, the Village of Leipsic desires to participate as a collaborative partner to receive financial assistance under the Ohio Development Services Agency Local Government Innovation Fund Program; to conduct a study to establish a regional water and sewer district; and

WHEREAS, the scope of the project is currently anticipated to include the following activities:

- Meeting/process facilitation
- Establish framework for the regional water and sewer district for collaboration
- Legal services
- Identification of potential cost-savings and/or improvement in services with the establishment of a regional water and sewer district
- Preparation of legal documents required to establish a regional water and sewer district

The cost for such activities shall not exceed \$100,000 as requested in the grant application, it being understood that matching funds can be in-kind for costs incurred two years prior to submitting the application

WHEREAS, the Board of County Commissioners of Putnam County, Ohio has the authority to apply for financial assistance and/or participate as a collaborative partner through the Local Government Innovation Fund Program; and

WHEREAS, the Village of Leipsic hereby authorizes and directs the Kevin Lammon, Administrator to act in connection with the application as a collaborative partner and to provide such additional information as may be required for the funding application; and

WHEREAS, the Village of Leipsic understands and acknowledges that Board of County Commissioners of Putnam County, Ohio, will serve as the primary or lead applicant for the project application, with other collaborative parties participating in the project planning and funding;

NOW, THEREFORE, BE IT RESOLVED by the Village of Leipsic, Ohio, Council, all members thereof concurring:

Section 1. That the Village of Leipsic, Ohio authorizes the Board of County Commissioners of Putnam County, Ohio, as the official representative of the Village of Leipsic to participate as a collaborative party in the State of Ohio, Development Services Agency, Local Government Innovation Fund Program, and provide all information and documentation required in said Application for submission.

Section 2. That the Village of Leipsic hereby approves the participation with the Board of County Commissioners of Putnam County, Ohio, and other collaborative parties for the filing of an application for financial assistance under the Ohio Local Government Innovation Fund Program.

Section 3. That the Village of Leipsic hereby understands and agrees that participation in the program as a collaborative party will require compliance with program guidelines and assurances.

Section 4. That the Village of Leipsic hereby commits itself to provide the community's local share funding as a collaborative party, as described in the application, it being understood that the local share can be prior (2 years) and future in-kind costs incurred by the collaborative parties.

Section 5. That this resolution is declared an emergency to meet the application deadline in a timely fashion, necessary for the health, safety, and welfare of the residents of the Village of Leipsic, Ohio.

PASSED: 10-21-13
Date

Signed: [Signature]
Mayor

Signed: [Signature]
President of Council

Signed: [Signature]
Fiscal Officer

COOPERATIVE AGREEMENT

This Cooperative Agreement is entered into as of this ____ day of August, 2013. by and among **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO**, and the Village of Leipsic

WITNESSETH:

WHEREAS, the State of Ohio, Development Services Agency, provides financial assistance to local governments for projects that promote efficiency, shared services, coproduction, and mergers among local governments; and

WHEREAS, Village of Leipsic desires to participate as a collaborative partner to receive financial assistance under the Ohio Development Services Agency Local Government Innovation Fund Program; to conduct a study to establish a regional water and sewer district; and

WHEREAS, the scope of the project is currently anticipated to include the following activities:

- Meeting/process facilitation
- Establish framework for the regional water and sewer district for collaboration
- Legal services
- Identification of potential cost-savings and/or improvement in services with the establishment of a regional water and sewer district
- Preparation of legal documents required to establish a regional water and sewer district

The cost for such activities shall not exceed \$100,000 as requested in the grant application, it being understood that matching funds can be in-kind for costs incurred two years prior to submitting the application..

WHEREAS, the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** has the authority to apply for financial assistance and/or participate as a collaborative partner through the Local Government Innovation Fund Program; and

WHEREAS, the **PARTIES** to this agreement understand and acknowledge that the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO** will serve as the primary or lead applicant for the project application, with other collaborative **PARTIES** participating in the project planning and funding;

Section 3. It is the intention of the **PARTIES** and the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO**, that this Agreement be liberally construed to make available to the **PARTIES**, through the actions of the **BOARD OF COUNTY COMMISSIONERS OF PUTNAM COUNTY, OHIO**, all of the benefits of the Act, in furtherance of the public purposes set forth in the recitals to this Agreement.

**BOARD OF COUNTY COMMISSIONERS OF
PUTNAM COUNTY, OHIO**

: *Paul A. Jones*

John E. Love

Village of Leipsic

By: *Kevin L. Hamner*
Administrator