



Third Frontier
Innovation Creating Opportunity

Appendix B

Ohio Third Frontier

Pre-Seed/Seed Plus Fund Capitalization Program

Part 1 - Application Forms (found in Word document below)

Part 2 - Budget Forms (found under separate Excel spreadsheet)

Part 3 - Pre-Seed Funds Performance Form (found under separate Excel spreadsheet)



Pre-Seed/Seed Plus Fund Capitalization Program

Application Information Form 2020 Request for Proposals

Letter of Intent (LOI) Notification Number (Issued by ODSA)	LOI #: PFCP 20-_____
--	----------------------

This Application:	<input type="checkbox"/> Does <input type="checkbox"/> Does Not	include information considered a "trade secret" under Ohio Revised Code Section 1333.61 (D)
--------------------------	---	---

Lead Applicant Name:					
Lead Applicant Address:					
City:		State:		Zip Code:	
County:					

Project Title:					
Ohio Third Frontier Funds Requested:	\$	Cost Share:	\$		

Is the Lead Applicant the lead in any other proposal submitted under this RFP? Yes No

If yes, provide the following information:

Project Title/LOI #	
----------------------------	--

--	--

Typed Name of Authorizing Agent

Title of Authorizing Agent

--	--

Signature

Date

For Ohio Development Services Agency Use Only	
Date Received	Proposal ID #



Pre-Seed/Seed Plus Fund Capitalization Program
Lead Applicant Contact Information Form
2020 Request for Proposals

Authorizing Agent

Name	
Title	
Organization	
Address	
City, State Zip	
Telephone #	Fax #
E-mail address	

Project Director

Name	
Title	
Organization	
Address	
City, State Zip	
Telephone #	Fax #
E-mail address	

Fiscal Agent

Name	
Title	
Organization	
Address	
City, State Zip	
Telephone #	Fax #
E-mail address	

Loan Administrator

Name	
Title	
Organization	
Address	
City, State Zip	
Telephone #	Fax #
E-mail address	

Authorizing Agent – the individual authorized to accept the terms and conditions of an award of Ohio Third Frontier Funds
Project Director - the individual authorized to direct the Project for which the Ohio Third Frontier Funds have been awarded
Fiscal Agent – the individual authorized by the Lead Applicant to sign Loan-related financial documents, e.g. Requests for Payment, Loan Financial Reports, etc.
Loan Administrator – the individual authorized by the Lead Applicant to oversee the day-to-day operations of the Ohio Third Frontier Funds, including preparing progress reports, monitoring project progress, etc.

Note: The same individual may hold more than one of these positions



Pre-Seed/Seed Plus Fund Capitalization Program
Collaborator Information Form
2020 Request for Proposals

Provide contact information for each collaborator named in the Proposal. Include an e-mail address if available. Attach additional forms as needed. A signed Collaborator Commitment Form must be included for every Collaborator listed.

Name	
Title	
Organization	
Address	
City, State, Zip	
Telephone #	Fax #
E-mail address	

Name	
Title	
Organization	
Address	
City, State, Zip	
Telephone #	Fax #
E-mail address	

Name	
Title	
Organization	
Address	
City, State, Zip	
Telephone #	Fax #
E-mail address	

Name	
Title	
Organization	
Address	
City, State, Zip	
Telephone #	Fax #
E-mail address	

Pre-Seed/Seed Plus Fund Capitalization Program
Collaborator Commitment Form
2020 Request for Proposals

Collaborator's Name _____
Address _____
City _____
State _____
Zip Code _____
E-mail Address _____

Lead Applicant's Name	_____
Lead Applicant's Project Title	_____

Please detail the agreement between the Lead Applicant and the Collaborator to provide substantial and tangible management services or other resources to portfolio companies. Include agreed upon resources, availability, rates, expertise, and service descriptions. For additional guidance, refer to the definition of the term "Collaborator" contained in the RFP. (Use one extra page if necessary.)

I certify that I have the legal authority to commit the above-named Collaborator to the roles and responsibilities designated for it in the Proposal submitted by the Lead Applicant named on the form.

Typed Name	Title
Signature	Date