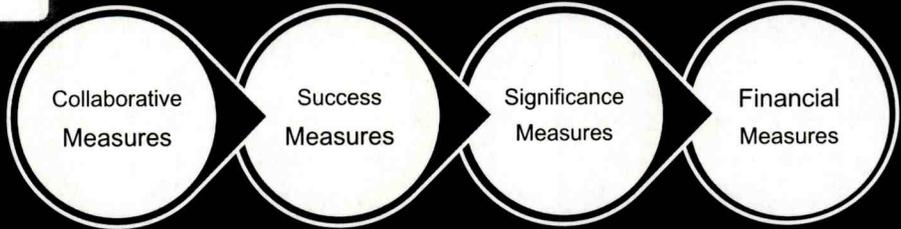




**Ohio**  
**Local Government**  
 Innovation Fund



## Round 5: Application Form

Step One: Fill out this Application Form in its entirety.

Step Two: Fill out the online submission form and submit your application materials. All supplemental application materials should be combined into one file for submission.

### LGIF: Applicant Profile

<b>Lead Agency</b>	Lorain County Rural Wastewater District (LORCO)
<b>Project Name</b>	Wastewater Feasibility for Regional Service including Unsewered Areas
<b>Type of Request</b>	Grant
<b>Request Amount</b>	\$67,500.00
<b>JobsOhio Region</b>	Northeast
<b>Number of Collaborative Partners</b> <small>(including lead agency)</small>	7
<b>Project Approach</b>	Shared Services
<b>Project Type</b>	Public Works



**Development  
 Services Agency**

Website: [http://development.ohio.gov/cs/cs\\_localgovfund.htm](http://development.ohio.gov/cs/cs_localgovfund.htm)

E-mail: [LGIF@development.ohio.gov](mailto:LGIF@development.ohio.gov)

Phone: 614 | 995 2292

Lead Applicant	Lorain County Rural Wastewater Dis	Round 5
Project Name	Wastewater Feasibility for Regional	Type of Request Grant

**Instructions**

- Make sure to answer each question appropriately in the space provided, not exceeding the space allowed by the answer box.
- Examples of completed applications are available on the LGIF website, found here:  
[http://development.ohio.gov/cs/cs\\_localgovfund.htm](http://development.ohio.gov/cs/cs_localgovfund.htm)

Lead Agency	
Mailing Address:	Name: Lorain County Rural Wastewater District (LORCO)
	Street Address: 22898 West Rd. P. O. Box 158
	City: Wellington, OH
	Zip: 44090
In what county is the lead agency located? Lorain	
Ohio House District: 57th	Ohio Senate District: 13th

Section 1  
Contacts

Project Contact		
Please provide information about the individual who should be contacted regarding this application.		
Mailing Address:	Name: Robert Berner	Title: Executive Director - LORCO
	Street Address: 22898 West Rd., P. O. Box 158	
	City: Wellington, OH	
	Zip: 44090	
Email Address: rberner@lorco.org		Phone Number: (440) 647-4882

Fiscal Agency:		
Please provide information for the entity and individual serving as the fiscal agent for the project.		
Mailing Address:	Fiscal Agency: Ridachi & Company	
	Fiscal Officer: Cindy Grabo	Title: CPA
	Street Address: 900 E. Broad Street, Suite A	
	City: Elyria, Ohio	
Zip: 44035		

Population	
Does the applicant (or collaborative partner) represent a city, township, or village with a population of less than 20,000 residents?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No List Entity Villages: Kipton & S. Amherst, Amherst & New Russia Twp
Does the applicant (or collaborative partner) represent a county with a population of less than 235,000 residents?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No List Entity

Single Applicant	
Is your organization applying as a single entity?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

Lead Applicant	Lorain County Rural Wastewater Dis	Round 5	
Project Name	Wastewater Feasibility for Regional	Type of Request	Grant

Collaborative Partners	
Does the proposal include collaborative partners?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

Applicants applying with collaborative partners are required to show proof of the partnership with a signed partnership agreement and a resolution of support from each of the partner's governing entities. If the collaborative partner does not have a governing entity, a letter of support from the partnering organization is sufficient. These documents must be received by the end of the cure period in order for each entity to count as a collaborative partner for the purposes of this application.

Nature of the Partnership	
As agreed upon in the signed partnership agreement, please identify the nature of the partnership with an explanation of how the lead agency and collaborative partners will work together on the proposed project.	

The lead agency will be Lorain County Rural Wastewater District (LORCO) & participating parties are: Village of Kipton, Village of South Amherst, Amherst Township, New Russia Township, & City of Lorain, all of which are located in Lorain County. An additional collaborative partner is the Lorain County Chamber of Commerce, which will lend economic development insight to the project. Thus, counting LORCO as the lead agency, there are seven collaborative partners. Partnership agreement & individual collaborative party legislation are included in support documents section at end of application. Two missing pieces of legislation are in process of required readings & adoption & will be available within a few days of the application submission.

The partnership is made up of Villages, Townships, City, LORCO( a 6119 wastewater district), and Chamber of Commerce (ED agency). On the surface, it would appear that these collaborative partners have little in common other than all existing within the same County. After all, the multiple layers of government & a 6119 would appear to have relatively few reasons to collaborate or converse about government affairs other than at their own particular level of government.

However, the Mission Statement of LORCO is: "To logically & economically provide central wastewater collection & treatment to the unsewered areas of Lorain County & achieve perpetual independence for townships & villages participating in the LORCO concept."

With this mission statement & LORCO structure, the common bond for all these participating or collaborating entities is based on the lack of wastewater collection/treatment service or existing service that may be inadequately addressing needs for residents/businesses across the board. While each individual entity could certainly work to achieve self-contained service delivery in some manner & to some level of efficiency, it makes sense due to economy of scale, that a collaborative effort could potentially solve problems for each of the partners & would promote regional or shared services.

Building consensus through this effort perhaps could achieve wastewater collection/treatment services over a broader range of entities than just one level or unit of government. What are the obstacles, what are the options, what are the costs.....all legitimate questions that can only be answered through collaborative study & a holistic examination of the big picture, not just the small piece of the puzzle that impacts or revolves around one government entity or level of government.

Each entity will be represented throughout the study with all voices being heard & input considered. Consensus building will be a valuable outcome; however, the ultimate goal is to develop an approach to wastewater collection/treatment on a more regional level that will be affordable, effective & a long-term solution for all entities involved, plus can be replicated anywhere in the State of Ohio experiencing similar issues or problems.

Section 2 Collaborative Partners

Lead Applicant	Lorain County Rural Wastewater Dis	Round 5
Project Name	Wastewater Feasibility for Regional	Type of Request Grant

**List of Partners**

Please use the following space to list each collaborative partner who is participating in the project and is providing BOTH a resolution of support for the Local Government Innovation Fund application and has signed the partnership agreement.

Collaborative Partner # 1	
Mailing Address:	Name: Village of Kipton
	Street Address: 42 Court Street
	City: Kipton, Ohio
	Zip: 44049

Collaborative Partner # 2	
Mailing Address:	Name: Village of South Amherst
	Street Address: 103 W. Main Street
	City: South Amherst, OH
	Zip: 44001

Collaborative Partner # 3	
Mailing Address:	Name: Amherst Township
	Street Address: 7530 Oberlin Road
	City: Elyria, OH
	Zip: 44035

Collaborative Partner # 4	
Mailing Address:	Name: New Russia Township
	Street Address: 46268 Butternut Ridge Road (Township Administrative Offices)
	City: Oberlin
	Zip: 44074

Collaborative Partner # 5	
Mailing Address:	Name: City of Lorain
	Street Address: 1106 W. 1st Street
	City: Lorain, OH
	Zip: 44052

Collaborative Partner # 6	
Mailing Address:	Name: Lorain County Chamber of Commerce
	Street Address: 226 Middle Avenue, 5th Floor
	City: Elyria, OH
	Zip: 44035

Section 2 Collaborative Partners

Lead Applicant	Lorain County Rural Wastewater Dis	Round 5
Project Name	Wastewater Feasibility for Regional	Type of Request Grant

Collaborative Partner # 7	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 8	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 9	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 10	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 11	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 12	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Collaborative Partner # 13	
Mailing Address:	Name:
	Street Address:
	City:
	Zip:

Section 2 Collaborative Partners

Lead Applicant	Lorain County Rural Wastewater Dis	Round 5	
Project Name	Wastewater Feasibility for Regional	Type of Request	Grant

**Project Information**

Provide a general description of the project, including a description of the final work product derived from the grant study or loan implementation project. This information may be used for council briefings, program and marketing materials.

Lorain County Rural Wastewater District currently provides wastewater collection/treatment services throughout Lorain County under the ORC 6119. The purpose of the project is to examine options for providing regional wastewater service to the Villages of Kipton & South Amherst, both of which are currently unsewered communities, as well as an underserved area within the City of Lorain's planning area. Additionally, the study will examine ways to provide service &/or improve service delivery to areas within the Townships of Amherst & New Russia. Treatment options will also be investigated through perhaps the City of Lorain or other providers.

The Lorain County Chamber of Commerce, along with Jobs Ohio Regional staff (as needed for consultation) will collaborate with the partners so that the plan should attract economic growth in the rural areas &/or publicly unsewered areas throughout the County, including Kipton, South Amherst & areas within City of Lorain. The partners will work to identify the most cost-effective, environmentally sound approaches to meet existing & future wastewater demands that can be implemented at a reasonable cost to residents. Additional stakeholders may be identified during the study process & included in the effort.

There is a clear understanding of the involved communities that regional cooperation will allow for a better, cleaner environment, allow for economies of scale for the participants & better opportunities for economic development.

Consistent with the Mission Statement & goals of LORCO, the goal of the study is to determine the most affordable, long-term solution to meet wastewater collection/treatment needs for the LORCO service area, for unsewered communities or areas within Lorain County, while also selecting options that are affordable & do not result in excessive financial burden for residents & businesses requiring wastewater service. Barriers to service provision will be examined & alternatives/options recommended in terms of service delivery as well as financial costs.

This study could be replicated in other areas of Ohio where unsewered communities or areas are stymied with decision-making & the process of identifying/removing obstacles currently preventing adequate & affordable wastewater collection/treatment. With adequate wastewater service assured, the result will also be the removal of a current potential economic development deterrent for the project service area.

In summary, the ultimate goal is to develop an approach to wastewater collection/treatment on a more regional level that will be an affordable, effective & a long-term solution for all entities involved, plus can be replicated anywhere in the State of Ohio experiencing similar issues or problems.

Section 3  
Project Information

Lead Applicant	Lorain County Rural Wastewater Dis	Round 5
Project Name	Wastewater Feasibility for Regional	Type of Request Grant

Project Information	
Has this project been submitted for consideration in previous LGIF Rounds?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If yes, in which Round(s)?	
What was the project name?	
What entity was the lead applicant?	

Past Success	
Provide a summary of past efforts to implement a project to improve efficiency, implement shared services, coproduction, or a merger (5 points).	
<p>LORCO has been in operation since 1997 &amp; has successfully served/met the wastewater collection/treatment needs of some rural areas of Lorain County. However, this planning process will further that outreach &amp; service delivery by examining logical, financially affordable more regional approach to service delivery to facilitate new wastewater collection/treatment in unsewered collaborating partner communities, while improving service in under-served areas. While each individual entity has realized the need for wastewater collection/treatment, LORCO has provided service delivery in areas of the County; however, the Villages of Kipton &amp; South Amherst remain unsewered, as do areas in both Amherst &amp; New Russia Townships and locations in the City of Lorain's planning area.</p> <p>Presently, both Kipton &amp; South Amherst participate in a colaborative activity for water service through Northern Ohio Rural Water, with each community requiring different levels of service and assistance. Several other areas of the County collaborate on road salt purchase.</p>	
Applicant demonstrates Past Success	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

Section 3  
Project Information

Scalable	
Provide a summary of how the applicant's proposal can be scaled for the inclusion of other entities (5 points).	
<p>Rural areas across Ohio are faced with challenges regarding how to provide adequate, cost-effective &amp; environmentally sound wastewater services. Both the Villages of Kipton &amp; South Amherst do not have public sanitary sewer systems, plus there are other areas within the City of Lorain, Lorain County &amp; the LORCO potential service area, which remain unsewered.</p> <p>Without public sanitary collection/treatment, many economic development opportunities never occur, as location/expansion decisions are typically tied to available utilities, including sanitary sewer service. Therefore, the tax base &amp; local economy suffer when sanitary sewer is unavailable.</p> <p>With collaborative partners from multiple levels of government (i.e., Villages, Townships, &amp; City), plus private economic development group, in partnership with a 6119 Wastewater District, the resulting feasibility study could easily be scaled to include other entities at any level of government, as well as private sector service delivery agencies or firms. With accord &amp; consensus building obstacles minimized &amp; with a valid &amp; agreed upon feasible plan for wastewater collection/treatment that will "work" efficiently, affordably &amp; successfully long-term, any combination of units of government at various levels, partnering together &amp;/or with other public or private team members could replicate the same process &amp; outcome.</p>	
Applicant demonstrates a Scalable project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

Lead Applicant	Lorain County Rural Wastewater Dis	Round 5	
Project Name	Wastewater Feasibility for Regional	Type of Request	Grant

Replicable	
Provide a summary of how the applicant's proposal can be replicated by other entities. A replicable project should include a component that another entity could use as a tool to implement a similar project (5 points).	
<p>Replication of the feasibility study would entail the same basic approach that the LORCO feasibility study will engage, consisting of multiple tasks and components. Listed in no order of priority, but realistically in order of logistic undertaking: the components are:</p> <p>1. Identification of roles, responsibilities of collaborative partners &amp; LORCO not only in the planning process, but also in future implementation phases - resulting in a sustainable organizational chart; 2. Problem identification &amp; project approach/scope; 3. Discussion of typical wastewater technology, as well as more innovative approaches to collection &amp; treatment; 4. Identification of potential obstacles to wastewater collection/treatment; 5. Consensus building and accord on technology selected; 6. Study of financing alternatives, based on detailed estimates of costs developed as part of the study; &amp; 7. Development of model for sustainable implementation plan for wastewater collection/treatment for rural areas.</p> <p>The resulting study, with financial feasibility spreadsheets &amp; engineering model for rural wastewater treatment/collection systems, should be easily adapted across Ohio in any location that has rural, unsewered areas. An important element of the study which could be replicated rural areas across Ohio is the development of sustained collaboration to continue communications/dialogue between local government partners to development multiple cost-effective joint or shared projects that would promote health, safety, &amp; quality of life for regional residents, while also promoting an improvement environment for economic development regionally.</p>	
Applicant demonstrates a Replicable project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

Section 3  
Project Information

Probability of Success	
Provide a summary of the likelihood of the grant study recommendations being implemented. Applicants requesting an implementation loan should provide a summary of the probability of savings from the loan request (5 points).	
<p>LORCO has successfully completed projects since 1997, date of origin, within Lorain County. It is anticipated that through collaboration &amp; cooperation, the partners in this project study will succeed in establishing common sustainable goals for the affordable wastewater collection/treatment needed in the rural unsewered or underserved areas of the County.</p> <p>In an area of Ohio where there are currently unsewered (public) communities or areas of the County, it is unlikely that OEPA will permit the situation to remain unresolved indefinitely. Therefore, planning now for a situation that could potentially be mandated in the future will save every level of government involved time, money, &amp; public concern in the future. It is therefore, critical that timely planning &amp; examination of collection/treatment alternatives take place sooner, not later to ensure that all alternatives &amp; financing mechanisms are appropriately taken into consideration, allowing time to design the project, purchase rights of way or easements, if needed, resolve any environmental issues, &amp; prepare financing applications, etc.</p> <p>The recommendations of the study will definitely be implemented in this identified project service area, with the goals being to resolve lack of wastewater collection/treatment on a public level for communities who require such service throughout LORCO/Lorain County. Regional approaches to wastewater collection/treatment have been successful across Ohio and it is anticipated that once completed, this study will require a phased approach, but will ultimately remedy the unsewered, rural wastewater issues in this part of Lorain County.</p>	
Applicant demonstrates Probability of Success	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

Lead Applicant	Lorain County Rural Wastewater Dis	Round 5	
Project Name	Wastewater Feasibility for Regional	Type of Request	Grant

Performance Audit/Cost Benchmarking	
<p>If the project is the result of recommendations from a prior performance audit provided by the Auditor of State under Chapter 117 of the Ohio Revised Code, or is informed by a previous cost benchmarking study, please attach a copy with the supporting documents. In the section below, provide a summary of the performance audit findings or cost benchmarking study results (5 points).</p>	
<p>Both Kipton &amp; South Amherst are unsewered communities, as are areas within the City of Lorain's planning service area &amp; New Russia Twp. &amp; Amherst Twp. Health Departments, -Ohio EPA &amp; the US EPA are stressing the importance of wastewater service delivery planning and implementation for all areas that are unsewered and face potential failure of on-site septic systems &amp;/or stream pollution through failing systems and improper discharge.</p> <p>Shared facilities or regional systems will greatly reduce the costs of wastewater collection/treatment vs. the individual communities planning for separate systems, with construction costs, operations, and maintenance, as well as staffing/reporting/sampling costs.</p> <p>None of the areas involved in this study are currently under OEPA findings and orders, but LORCO's mission statement is to serve all areas of the County that are currently unserved by public systems, plus OEPA's guidance directives are critical to ED efforts - current and future - in Lorain County, as well as the long-term health and safety of the project area. See LORCO mission statement attached in support documents section at end of application.</p>	
Prior Performance Audit or Cost Benchmarking	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

Section 3  
Project Information

Economic Impact	
<p>Provide a summary of how the proposal will promote a business environment through a private sector partner (5 points) and/or provide for community attraction (3 points).</p>	
<p>Multiple businesses in or near the project service area likely will be interested in receiving public wastewater collection/treatment once the project implementation moves forward. Many companies would refrain from considering rural unsewered areas for a future plant location or expansion, as package plants &amp; on-site services are expensive to construct, but also to maintain &amp; operate. Across Ohio, it has been documented by various economic development entities &amp; government studies, that public water &amp; wastewater services are high on an industry's checklist of "must haves" when looking for potential location sites. The available services encourage private investment in the community, which results in job creation, expanded tax base for the school system, &amp; enhanced quality of life for all residents.</p> <p>Collaborate partners include the Lorain County Chamber of Commerce, which provides economic development assistance to communities within Lorain County. This partner will be pivotal to the project in providing ED insight and project guidance to ensure that ED opportunities are maximized as a result of the project feasibility study &amp; ultimate implementation. The collaborative partners &amp; LORCO will also utilize the staff &amp; economic development expertise of the Jobs Ohio staff (as needed) to identify economic development needs of the area &amp; potential for future economic development growth demands of the system being planning through this feasibility study. With the input of local ED staff members, the feasibility study will better prepare the area for future economic growth &amp; utility demands, especially wastewater collection/treatment needs in rural unsewered areas.</p>	
Applicant demonstrates Economic Impact	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

Lead Applicant	Lorain County Rural Wastewater Dis	Round 5	
Project Name	Wastewater Feasibility for Regional	Type of Request	Grant

Response to Economic Demand	
<p>Provide a summary of how the project responds to substantial changes in economic demand for local or regional government services. The narrative should include a description of the current and future expected service level needs (5 points).</p>	
<p>Construction &amp; operation of public wastewater facilities will continue to escalate over time, as labor, materials, etc. continue to increase through inflation, etc. Likewise, available state, federal or local grants &amp; low interest loans are more &amp; more limited annually. EPA &amp; other regulatory agencies governing local service provision must ensure environmentally sound operations &amp; service delivery, even in small rural areas where budgets &amp; fiscal resources are extremely limited. Therefore, the most cost effective &amp; efficient long-term solution to wastewater services &amp; all other local government provided services must be within budgetary limits &amp; planned for well in advance. No small government can pay for unexpected &amp; costly services; therefore, planning is critical &amp; essential to better serve residents &amp; meet budgetary constraints. Economy of scale opportunities, such as regional wastewater collection/treatment are critical to unsewered areas.</p> <p>With enhanced economic development potential resulting from the study and ultimate implementation, communities that currently are unsewered and therefore, have reduced economic development potential should see increased interest from companies wishing to locate in areas that have public wastewater facilities. As a result, the areas will have greater potential for attracting new jobs. Likewise, the same communities, as well as currently underserved communities, will have much greater ability to retain existing jobs if a company wishes to expand at an existing location within the project service area.</p>	
Applicant demonstrates Response to Economic Demand	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

Section 3  
Project Information

## Budget Information

### General Instructions

- Both the Project Budget and Program Budgets are required to be filled out in this form.
- Consolidate budget information to fit in the form. Additional budget detail may be provided in the budget narrative or in an attachment

#### Project Budget:

- The Project Budget should detail expenses related to the grant or loan project.
- The Project Budget justification must be explained in the Project Budget Narrative section of the application. This section is also used to explain the reasoning behind any items on the budget that are not self explanatory, and provide additional detail about project expenses.
- The Project Budget should be for the period that covers the entire project. The look-back period for in-kind contributions is two years. These contributions are considered a part of the total project costs.
- For the Project Budget, indicate which entity and revenue source will be used to fund each expense. This information will be used to help determine eligible project expenses.
- Total Sources must equal Total Uses. Include staff time and other in-kind matches in the Total Uses section of the budget.

#### Program Budget

- Use the Program Budget to outline the costs associated with the implementation of the program in your community.
- Six (6) years of Program Budgets should be provided. The standard submission should include three years previous budgets (actual), and three years of projections including implementation of the proposed project. A second set of three years of projections (one set including implementation of this program, and one set where no shared services occurred) may be provided in lieu of three years previous if this does not apply to the proposed project.
- Please use the Program Budget Narrative section to explain changes in expenses and revenues, and to defend the budget projections. If the budget requires the combining of costs on the budget template, please explain this in the narrative.

#### Return on Investment:

- A Return on Investment calculation is required, and should reference cost savings, cost avoidance and/or increased revenues indicated in Program Budget sections of the application. Use the space designated for narrative to justify this calculation, using references when appropriate.

#### For Loan Applications only:

- Using the space provided, outline a loan repayment structure.
- Attach three years prior financial documents related to the financial health of the lead applicant (balance sheet, income statement and a statement of cash flows).

Lead Applicant	Lorain County Rural Wastew	Round 5	
Project Name	Wastewater Feasibility for R	Type of Request	Grant

**Project Budget**

Use this space to outline all sources of funds and the uses of those funds. Both sections should include all funds related to the project, including in-kind match contributions. Use the project budget narrative on the next page to justify the project budget, and indicate the line items for which the grant will be used.

Sources of Funds

LGIF Request:

Cash Match (List Sources Below):

Source: LORCO	<input type="text" value="\$3,750"/>
Source: \$750 ea: Kipton/S.Amherst	<input type="text" value="\$1,500"/>
Source: \$750 ea: New Russia/Amhers	<input type="text" value="\$1,500"/>
Source: City of Lorain	<input type="text" value="\$750"/>

In-Kind Match (List Sources Below):

Source: Lorain Co. Chamber	<input type="text"/>
Source:	<input type="text"/>
Source:	<input type="text"/>

Total Match:   
Total Sources:

Uses of Funds

	<u>Amount</u>	<u>Revenue Source</u>
Consultant Fees:	<input type="text" value="\$75,000"/>	LGIF & Cash & staff time
Legal Fees:	<input type="text"/>	
Other: _____	<input type="text"/>	

Total Uses:   
Local Match Percentage: 
\* Please note that this match percentage will be included in your grant/loan agreement and cannot be changed after awards are made.

Section 4  
Financial Information

Local Match Percentage = (Match Amount/Project Cost) \* 100 (10% match required)  
 10-39.99% (1 point)   
 40-69.99% (3 points)   
 70% or greater (5 points)

Lead Applicant	Lorain County Rural Wastew	Round 5	
Project Name	Wastewater Feasibility for Re	Type of Request	Grant

Project Budget Narrative: Use this space to justify any expenses that are not self-explanatory.

SEE ATTACHED BUDGET INFORMATION PREPARED BY RIDACHI & COMPANY OF ELYRIA, OHIO, THE FISCAL AGENT UNDER CONTRACT WITH LORCO.

BUDGET PROJECTIONS ARE MADE FOR 2013 - 2018 AND EXPLANATIONS ARE PROVIDED ON SEPARATE PAGES. THIS INFORMATION ALSO INCLUDES CALCULATION OF RATE OF RETURN.

Section 4

Financial Information

Program Budget			
	FY 2013	FY 2014	FY 2015
Actual <input type="checkbox"/> Projected <input checked="" type="checkbox"/>	Total Program Expenses	Total Program Expenses	Total Program Expenses
<b>Expenses</b>			
Salary and Benefits			
Contract Services			
Occupancy (rent, utilities, maintenance)			
Training & Professional Development			
Insurance			
Travel			
Capital & Equipment Expenses			
Supplies, Printing, Copying & Postage			
Evaluation			
Marketing			
Conferences, meetings, etc.			
Administration			
*Other - _____			
*Other - _____			
*Other - _____			
<b>TOTAL EXPENSES</b>			
	<b>Revenues</b>	<b>Revenues</b>	<b>Revenues</b>
Contributions, Gifts, Grants, & Earned Revenue			
Local Government: _____			
Local Government: _____			
Local Government: _____			
State Government _____			
Federal Government _____			
*Other - _____			
*Other - _____			
*Other - _____			
Membership Income _____			
Program Service Fees _____			
Investment Income _____			
<b>TOTAL REVENUES</b>			

Program Budget			
	Actual <input type="checkbox"/> Projected <input checked="" type="checkbox"/>	FY 2016	FY 2017
Expenses	Total Program Expenses	Total Program Expenses	Total Program Expenses
Salary and Benefits			
Contract Services			
Occupancy (rent, utilities, maintenance)			
Training & Professional Development			
Insurance			
Travel			
Capital & Equipment Expenses			
Supplies, Printing, Copying & Postage			
Evaluation			
Marketing			
Conferences, meetings, etc.			
Administration			
*Other - _____			
*Other - _____			
*Other - _____			
<b>TOTAL EXPENSES</b>			
<b>Revenues</b>			
Contributions, Gifts, Grants, & Earned Revenue			
Local Government: _____			
Local Government: _____			
Local Government: _____			
State Government			
Federal Government			
*Other - _____			
*Other - _____			
*Other - _____			
Membership Income			
Program Service Fees			
Investment Income			
<b>TOTAL REVENUES</b>			
<b>Revenues</b>			

Lead Applicant	Lorain County Rural Wastewater [	Round 5
Project Name	Wastewater Feasibility for Region	Grant

Program Budget

Use this space to justify your program budget and/or explain any assumptions used for the budget projections. These projections should be based on research, case studies, or industry standards and include a thoughtful justification.

SEE BUDGET FORMS AND ASSUMPTIONS/EXPLANATIONS ATTACHED AS SEPARATE PAGES TO THIS APPLICATION, AS PROVIDED BY RIDACHI & COMPANY, CPA OF ELYRIA. THIS FIRM IS UNDER CONTRACT WITH LORCO FOR FISCAL MANAGEMENT. BUDGET PROJECTIONS, RATE OF RETURN, BUDGET ASSUMPTIONS AND EXPLANATIONS ARE PROVIDED AS ATTACHMENTS TO THIS APPLICATION.

Section 4: Financial Information Scoring

- (5 points) Applicant provided complete and accurate budget information and narrative justification for a total of six fiscal years.
- (3 points) Applicant provided complete and accurate budget information and for at least three fiscal years.
- (1 point) Applicant provided complete and accurate budget information for less than three fiscal years.

Lead Applicant	Lorain County Rural Wastewater District (LO	Round 5	
Project Name	Wastewater Feasibility for Regional Service i	Type of Request	Grant

### Return On Investment

Return on Investment is a performance measure used to evaluate the efficiency of an investment. To derive the expected return on investment, divide the net gains of the project by the net costs. For these calculations, please use the implementation gains and costs, NOT the project costs (the cost of the feasibility, planning, or management study)--unless the results of this study will lead to direct savings without additional implementation costs. The gains from this project should be derived from the prior and future program budgets provided, and should be justified in the return on investment narrative.

#### Return on Investment Formulas:

Consider the following questions when determining the appropriate ROI formula for your project. Check the box of the formula that you are using to determine your ROI. These numbers should refer to savings/revenues illustrated in projected budgets.

Do you expect cost savings from efficiency from your project?  
 Use this formula:  $\frac{\text{Total \$ Saved}}{\text{Total Program Costs}} * 100 = \text{ROI}$

Do you expect cost avoidance from the implementation of your project/program?  
 Use this formula:  $\frac{\text{Total Cost Avoided}}{\text{Total Program Costs}} * 100 = \text{ROI}$

Do you expect increased revenues as a result of your project/program?  
 Use this formula:  $\frac{\text{Total New Revenue}}{\text{Total Program Costs}} * 100 = \text{ROI}$

Do you expect some combination of savings, cost avoidance, or increased revenue as a result of your project/program? (Total Gains combines \$ Saved, Costs Avoided, and New Revenue)  
 Use this formula:  $\frac{\text{Total Gains}}{\text{Total Program Costs}} * 100 = \text{ROI}$

Expected Return on Investment =  $\frac{\$10,292,696}{\$15,900,000} * 100 = 65\%$

Expected Return on Investment is:

Less than 25% (10 points)    
 25%-75% (20 points)    
 Greater than 25% (30 points)

Questions about how to calculate ROI? Please contact the Office of Redevelopment at 614-995-2292 or

lgif@development.ohio.gov

Section 4

Financial Information

Lead Applicant	Lorain County Rural Wastewater District (LO	Round 5	
Project Name	Wastewater Feasibility for Regional Service i	Type of Request	Grant

Return on Investment Justification Narrative: In the space below, describe the nature of the expected return on investment, providing justification for the numbers presented in the ROI calculation. This calculation should be based on the savings, cost avoidance, or increased revenues shown in the program budgets on the preceding pages. Use references when appropriate to justify assumptions used for cost projections.

SEE ATTACHED INFORMATION PROVIDED BY RIDACHI & COMPANY OF ELYRIA, OHIO. LORCO CONTRACTS WITH THIS CPA FIRM FOR FISCAL MANAGEMENT.

PROJECTIONS, RATE OF RETURN ON INVESTMENT, BUDGET ASSUMPTIONS AND EXPLANATIONS ARE ATTACHED AS A SEPARATE DOCUMENT ACCOMPANYING THIS APPLICATION.

Section 4

Financial Information

Lead Applicant	Lorain County Rural Wastewater District (L	Round 5	
Project Name	Wastewater Feasibility for Regional Servic	Type of Request	Grant

**Loan Repayment Structure**

Please outline your preferred loan repayment structure. At a minimum, please include the following: the entities responsible for repayment of the loan, all parties responsible for providing match amounts and an alternative funding source (in lieu of collateral). Applicants will have two years to complete their project upon execution of the loan agreement, and the repayment period will begin upon the final disbursement of the loan funds. A description of expected savings over the term of the loan may be used as a repayment source.

N/A - this application is for a planning/feasibility study GRANT not project implementation LOAN.

Section 4  
Financial Information

Applicant demonstrates a viable repayment source to support loan award. Secondary source can be in the form of a debt reserve, bank participation, a guarantee from a local entity, or other collateral (i.e. emergency, rainy day, or contingency fund, etc).

Applicant clearly demonstrates a secondary repayment source (5 points)

Applicant does not have a secondary repayment source (0 points)

Lead Applicant	Lorain County Rural Wastewater District (LORCO)	Round 5	
Project Name	Wastewater Feasibility for Regional Service including Unsewered	Type of Request	Grant

## Scoring Overview

### Section 1: Collaborative Measures

Collaborative Measures	Description	Max Points	Applicant Self Score
Population	Applicant's population (or the population of the area(s) served) falls within one of the listed categories as determined by the U.S. Census Bureau. Population scoring will be determined by the <b>smallest</b> population listed in the application. Applications from (or collaborating with) small communities are preferred.	5	5
Participating Entities	Applicant has executed partnership agreements outlining all collaborative partners and participation agreements and has resolutions of support. (Note: Sole applicants only need to provide a resolution of support from its governing entity.	5	5

### Section 2: Success Measures

Past Success	Applicant has successfully implemented, or is following project guidance from a shared services model, for an efficiency, shared service, coproduction or merger project in the past.	5	5
Scalable	Applicant's proposal can be scaled for the inclusion of other entities.	5	5
Replicable	Applicant's proposal can be replicated by other local governments.	5	5
Probability of Success	Applicant provides a documented need for the project and clearly outlines the likelihood of the need being met.	5	5

### Section 3: Significance Measures

Performance Audit Implementation/Cost Benchmarking	The project implements a single recommendation from a performance audit provided by the Auditor of State under Chapter 117 of the Ohio Revised Code or is informed by cost benchmarking.	5	5
Economic Impact	Applicant demonstrates the project will promote a business environment (i.e., demonstrates a business relationship resulting from the project) and will provide for community attraction (i.e., cost avoidance with respect to taxes).	5	5
Response to Economic Demand	The project responds to current substantial changes in economic demand for local or regional government services.	5	5

### Section 4: Financial Measures

Financial Information	Applicant includes financial information (i.e., service related operating budgets) for the most recent three years and the three year period following the project. The financial information must be directly related to the scope of the project and will be used as the cost basis for determining any savings resulting from the project.	5	5
Local Match	Percentage of local matching funds being contributed to the project. This may include in-kind contributions.	5	1
Expected Return	Applicant demonstrates as a percentage of savings (i.e., actual savings, increased revenue, or cost avoidance) an expected return. The return must be derived from the applicant's cost basis.	30	30
Repayment Structure (Loan Only)	Applicant demonstrates a viable repayment source to support loan award. Secondary source can be in the form of a debt reserve, bank participation, a guarantee from a local entity, or other collateral (i.e., emergency fund, rainy day fund, contingency fund, etc.).	5	0

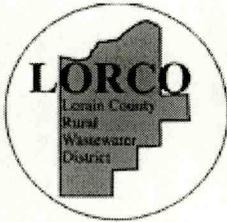
**Total Points**      81

# **SUPPORT DOCUMENTS LGIF APPLICATION**

## **LORAIN COUNTY RURAL WASTEWATER DISTRICT (LORCO)**

**MARCH 2013**

- LORCO MISSION STATEMENT
- 2010 CENSUS POPULATION INFORMATION FOR COLLABORATIVE PARTNERS
- LORCO BUDGET INFORMATION: budget projections and rate of return calculation & summary of explanations/assumptions, as provided by Radachi and Company, CPA (accountants for LORCO)
- LORCO RESOLUTION # 2013-07
- LORCO RESOLUTION #2013-06
- VILLAGE OF KIPTON RESOLUTION #141-13
- VILLAGE OF SOUTH AMHERST RESOLUTION #590
- NEW RUSSIA TOWNSHIP RESOLUTION #2013-02-20
- AMHERST TOWNSHIP – adopted resolution should be received by LORCO on 3-5-13
- CITY OF LORAIN – legislation is in process of being read and adopted.
- COOPERATIVE AGREEMENT
- SUPPORT LETTER: Lorain County Chamber of Commerce supports project & commits to participate in feasibility study process.



# Lorain County Rural Wastewater District

22898 West Rd. PO Box 158  
Wellington Oh 44090  
Phone 440-647-4882  
Email: [rberner@lorco.org](mailto:rberner@lorco.org)

[Home](#)

[About Us](#)

[Newsletters](#)

[Connection Specifications & Amortization Schedule](#)

[Health Dept & Lorco Forms](#)

[Most Ask Questions](#)

[Meeting Calendar & Minutes](#)

[Annual Reports](#)

[Mission Statement](#)

[Members, Trustees & Directors](#)

[District Map](#)

[Proposed Project Map](#)

## MISSION STATEMENT

TO LOGICALLY AND ECONOMICALLY PROVIDE CENTRAL WASTE WATER COLLECTION AND TREATMENT TO THE UNSEWERED AREAS OF LORAIN COUNTY AND ACHIEVE PERPETUAL INDEPENDENCE FOR TOWNSHIPS AND VILLAGES PARTICIPATING IN THE LORCO CONCEPT.

## GOALS

TO SERVE A NEED, THROUGH A NON-PROFIT ORGANIZATION GOVERNED BY THOSE IT SERVES, THEREBY MAINTAINING THE INTEGRITY OF UNSEWERED POLITICAL SUBDIVISIONS AND GUARDING AGAINST MUNICIPAL ANNEXATION.

TO PROVIDE WASTE WATER COLLECTION AND TREATMENT TO TOWNSHIPS AND VILLAGES WITHIN LORAIN COUNTY THAT HAVE A POPULATION WHICH SUPPORTS SUCH A SYSTEM.

TO REPLACE EXISTING ON SITE TREATMENT THAT MAY BE DETRIMENTAL TO THE ECOLOGY OF OUR NATURAL WATERWAYS NOW AND IN THE FUTURE.

TO ASSIST IN THE PLANNING OF LOGICAL DEVELOPMENT AND GROWTH AREAS, FOR OUR FUTURE GENERATIONS, BY PROMOTING THE BEST USAGE OF THE LAND.

# Lorain County

## Population For Cities, Villages, and Townships: 2010, 2000, and 1990

Name	2010		2000		1990	
	Population	Households	Population	Households	Population	Households
<b>Lorain County</b>	<b>301,356</b>	<b>116,274</b>	<b>284,664</b>	<b>105,836</b>	<b>271,126</b>	<b>96,064</b>
Amherst city	12,021	4,772	11,797	4,459	10,332	3,777
Avon city	21,193	7,584	11,446	4,088	7,337	2,381
Avon Lake city	22,581	8,900	18,145	6,711	15,066	5,448
Elyria city	54,533	22,400	55,953	22,409	56,746	21,423
Grafton village	6,636	965	2,302	832	3,344	786
Kipton village	243	102	265	105	283	97
LaGrange village	2,103	726	1,815	618	1,199	410
Lorain city	64,097	25,529	68,652	26,434	71,245	26,198
North Ridgeville city	29,465	11,500	22,338	8,356	21,564	7,152
Oberlin city	8,286	2,730	8,195	2,678	8,191	2,444
Rochester village	182	71	190	68	206	71
Sheffield village	3,982	1,581	2,949	1,089	1,943	673
Sheffield Lake city	9,137	3,721	9,371	3,498	9,825	3,417
South Amherst village	1,688	665	1,863	669	1,765	600
Vermilion city (part)	5,852	2,259	5,990	2,249	5,644	1,976
Wellington village	4,802	1,956	4,511	1,723	4,140	1,549
Balance of Lorain County	54,555	20,813	58,882	19,850	52,296	17,662
Amherst city	12,021	4,772	11,797	4,459	10,332	3,777
Amherst township	6,844	2,693	7,598	2,853	7,060	2,539
South Amherst village (part)	1,116	444	1,424	519	1,181	410
Remainder of Amherst township	5,728	2,249	6,174	2,334	5,879	2,129
Avon city	21,193	7,584	11,446	4,088	7,337	2,381
Avon Lake city	22,581	8,900	18,145	6,711	15,066	5,448
Brighton township	915	334	942	313	812	250
Brownhelm township	7,618	2,895	7,782	2,869	7,060	2,423
Vermilion city (part)	5,852	2,259	5,990	2,249	5,644	1,976
Remainder of Brownhelm township	1,766	636	1,792	620	1,416	447
Camden township	1,667	599	1,530	561	1,522	496
Kipton village	243	102	265	105	283	97
Remainder of Camden township	1,424	497	1,265	456	1,239	399
Carlisle township	7,500	3,018	7,339	2,795	7,554	2,707
Columbia township	7,040	2,576	6,912	2,388	6,594	2,124
Eaton township	5,750	2,149	9,675	2,065	---	---
Elyria city	54,533	22,400	55,953	22,409	56,746	21,423
Elyria township	3,266	1,398	3,520	1,386	3,699	1,339
Grafton village	6,636	965	2,302	832	---	---
Grafton township	2,833	1,003	2,722	888	---	---
Henrietta township	1,861	714	1,873	674	1,795	610
Huntington township	1,341	491	1,282	429	1,172	351
LaGrange township	6,164	2,225	5,972	2,014	4,644	1,479
LaGrange village	2,103	726	1,815	618	1,199	410
Remainder of LaGrange township	4,061	1,499	4,157	1,396	3,445	1,069
Lorain city	64,097	25,529	68,652	26,434	71,245	26,198
New Russia township	2,515	940	2,357	853	10,661	3,290
South Amherst village (part)	572	221	439	150	584	190
Remainder of New Russia township	1,943	719	1,918	703	---	---
North Ridgeville city	29,465	11,500	22,338	8,356	21,564	7,152
Oberlin city	8,286	2,730	8,195	2,678	---	---
Penfield township	1,789	650	1,690	564	1,312	420
Pittsfield township	1,581	610	1,549	562	---	---

(continued)



# Lorain County Rural Wastewater District

22898 West Rd. PO Box 158

Wellington Oh 44090

Phone 440-647-4882

Email: [rberner@lorco.org](mailto:rberner@lorco.org)

**Home**

**Entity**

**Representative**

**About Us**

Camden Township: #1

James Woodrum

Carlisle Township: #2

James Wright

Eaton Township: #3

Jason Richardson

Elyria Township: #4

Rick Hutman

Grafton Township: #5

Dominic Ferritto

Henrietta Township: #6

Howard Born

Huntington Township: #7

Mary Beth Derikito

LaGrange Township: #8

Rita Canfield

New Russia Township: #9

John Piwinski

Pittsfield Township: #10

James McConnell (President)

Rochester Township: #11

Gerald Cowie

Wellington Township: #12

Louise Grose

South Amherst Village: #13

Thomas Lahetta (Sec-Treas)

Kipton Village: #14

Robert Meilander

Rochester Village: #15

Cindy Kurpely

Amherst Township #16

Neil Lynch (Vice-President)

**Connection Specifications & Amortization Schedule**

**Health Dept & Lorco Forms**

**Most Ask Questions**

**Meeting Calendar & Minutes**

**Director, Legal Counsel & Other**

**Annual Reports**

Executive Director

Robert (Rob) Berner email [rberner@lorco.org](mailto:rberner@lorco.org)

**Mission Statement**

Legal Counsel

Stumphauzer & O'Toole Legal Professionals

Engineering Services

GRW Engineers, Inc.

Accountant

Radachi and Company, CPA

**Members, Trustees & Directors**

**District Map**

**Proposed Project Map**

Page 14 - 15 Budget

	2013	2014	2015	2016	2017	2018
Salary and Benefits	\$ 110,000.00	\$ 110,000.00	\$ 110,000.00	\$ 115,500.00	\$ 115,500.00	\$ 115,500.00
Contract Services	\$ 34,000.00	\$ 34,000.00	\$ 34,000.00	\$ 34,000.00	\$ 34,000.00	\$ 34,000.00
Occupancy	\$ 5,500.00	\$ 5,500.00	\$ 5,500.00	\$ 5,500.00	\$ 5,500.00	\$ 5,500.00
Training & Prof Dev	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Insurance	\$ 4,500.00	\$ 4,500.00	\$ 4,500.00	\$ 4,500.00	\$ 4,500.00	\$ 4,500.00
Travel	\$ 1,000.00	\$ 1,000.00	\$ 1,000.00	\$ 1,000.00	\$ 1,000.00	\$ 1,000.00
Capital & Equip	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Supplies, Printing Etc	\$ 5,500.00	\$ 5,500.00	\$ 5,500.00	\$ 5,500.00	\$ 5,500.00	\$ 5,500.00
Evaluation	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Marketing	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00
Conferences, Meetings, Etc	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Administration	\$ 19,500.00	\$ 21,450.00	\$ 23,595.00	\$ 25,954.50	\$ 28,549.95	\$ 31,404.95
TOTAL EXPENSES	\$ 180,500.00	\$ 182,450.00	\$ 184,595.00	\$ 192,454.50	\$ 195,049.95	\$ 197,904.95
Local Govt	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
State Govt	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Fed Govt	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Other - Cooperative Payment	\$ 180,000.00	\$ 180,000.00	\$ 180,000.00	\$ 180,000.00	\$ 180,000.00	\$ 180,000.00
Program Service Fee	\$ 21,300.00	\$ 23,430.00	\$ 25,773.00	\$ 28,350.50	\$ 31,185.33	\$ 34,303.86
TOTAL	\$ 201,300.00	\$ 203,430.00	\$ 205,773.00	\$ 208,350.50	\$ 211,185.33	\$ 214,303.86

Pg 18 Estimates

	Connections	People Served	Probable Program Cost	Cost Avoided	Cost Saved	New Revenues
Kipton Village:	100	283	\$ 1,900,000.00	\$ 800,000.00	\$ 47,000.00	\$ 55,440.00
South Amherst Village	640	1837	\$ 8,600,000.00	\$ 5,120,000.00	\$ 300,800.00	\$ 354,816.00
New Russia Twp:	424	1128	\$ 3,800,000.00	\$ 2,120,000.00	\$ 199,280.00	\$ 235,065.60
Amherst Twp:	176	458	\$ 1,600,000.00	\$ 880,000.00	\$ 82,720.00	\$ 97,574.40
Total:	1340	3706	\$ 15,900,000.00	\$ 8,920,000.00	\$ 629,800.00	\$ 742,896.00

Return on Investment:

(Savings + Avoided + Revenue)	\$ 10,292,696.00	65% ROI
Total Program Costs	\$ 15,900,000.00	

**Page 16 Explanation:**

LORCO operates primarily as a coordinating agency. We plan and develop sanitary sewer collection systems and contract treatment services. In addition, we also contract collection and operation services for one of our largest systems with Avon Lake Municipal Utilities (ALMU). We currently have just over 1000 customers on this system. This system is fully operated by ALMU and LORCO receives a monthly payment which is currently \$15,000 totaling \$180,000 annually.

Our other system is a smaller system which LORCO operates. We pay monthly treatment fees to the Village of Grafton. This system is currently growing by about 10% per year. The budget projection shows the 10% growth in administration which also coincides with a 10% annual growth in Program Service fees.

LORCO operates most other administrative and day to day functions with mainly contract services. These rates have been maintained each year with little to no growth. In fact, each year these services have been below budget projections and therefore we do not project any growth in these service costs for the next 5 years.

Finally, the salary and benefits cost have been frozen for the last 2 years and we anticipate these cost to also be frozen for the next 2 years. We have anticipated a 5% increase in year 3 which will be frozen for year 4 and 5.

**Page 18 Explanation:**

The ROI most appropriate for this application I believe is a combination formula.

The project will address the sanitary sewer needs of properties located within parts of 4 different communities. These communities currently are dependent upon on-site treatment systems. The failure rate of these on-site systems is in excess of 50% and in the cases of the two villages, the failure rate is substantially higher. For the purpose of the calculations we made the following assumptions:

Probable Program Costs

Based on study done in 2003-2005

Cost Avoidance

Failure rate for on- site systems in Villages is equal to 80%

Failure rate for on-site systems in Townships is equal to 50%

Replacement cost for and on-site system is equal to \$10,000

Cost Saved

The average home should spend between \$470 and \$780 per year to operate their on-site system. This consists of electrical costs, pumping costs and filter replacement. We used \$470 per connection as an average.

New Revenue:

Current minimum sewer bill for LORCO customers is \$46.20 per month. We used the total number of connections X \$46.20 per month X 12 months to calculate the new revenue. This rate includes the treatment cost for 2,000 gallons of sewage.

RESOLUTION NO. 2013-07

Lorain County, Ohio

Be it resolved by the Board of Trustees of the LORCO Rural Wastewater District that:

Moved by     Born     second by     Derikito    :

A RESOLUTION AUTHORIZING THE EXECUTIVE DIRECTOR OF THE LORAIN COUNTY RURAL WASTEWATER DISTRICT TO ENTER INTO A COOPERATIVE AGREEMENT WITH:

**Lorain County Rural Wastewater District (LORCO)  
City of Lorain  
Village of South Amherst  
Village of Kipton  
New Russia Township  
Amherst Township**

FOR THE PURPOSE OF PARTICIPATE IN THE LOCAL GOVERNMENT INNOVATION FUND PROGRAM.

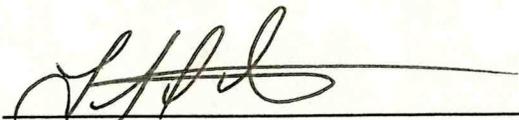
WHEREAS, the terms and conditions of the COOPERTIVE AGREEMENT are more fully described in the attached document; and,

WHEREAS, the Lorain County Rural Wastewater District desires to participate as a collaborative partner to receive financial assistance under the Ohio Department of Development Local Government Innovation Fund Program; to conduct a study to determine the feasibility of building a sanitary sewer collection system and explore potential for shared services to achieve efficiency in delivery of any specific services identified through the study process, it being understood that it is the intent of the project to initially explore the feasibility of shared sanitary sewer services; and

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Lorain County Rural Wastewater District that;

1. The Board of Trustees of the Lorain County Rural Wastewater District authorizes the Executive Director to execute the terms and conditions of the COOPERATIVE AGREEMENT as the official representative of the Lorain County Rural Wastewater District.
2. That it is found and determined that all formal actions of this Board of Trustees concerning and relating to the adoption of this Resolution were adopted in an open meeting of this Board of Trustees, and that all deliberations of the Board of Trustees and of any of its committees resulting in such formal action were in meetings open to the public, in compliance with the law, including Section 121.22 of the Revised Code of Ohio.

The vote resulted as follows:   11   Yeas,   0   Nays. Lynch and Piwinski Abstained



Secretary-Treasurer, Thomas Lahetta

Date: February 14, 2013

**RESOLUTION NO. 2013-06**

Lorain County, Ohio

Be it resolved by the Board of Trustees of the LORCO Rural Wastewater District that:

Moved by Wright second by Gross:

**A RESOLUTION AUTHORIZING THE EXECUTIVE DIRECTOR OF THE LORAIN COUNTY RURAL WASTEWATER DISTRICT TO FILE AN APPLICATION TO THE STATE OF OHIO, TO PARTICIPATE IN THE LOCAL GOVERNMENT INNOVATION FUND PROGRAM.**

WHEREAS, the state of Ohio, Department of Development, provides financial assistance to local governments for the purpose of addressing local needs; and

WHEREAS, the Lorain County Rural Wastewater District desires to participate as a collaborative partner to receive financial assistance under the Ohio Department of Development Local Government Innovation Fund Program; to conduct a study to determine the feasibility of building a sanitary sewer collection system and explore potential for shared services to achieve efficiency in delivery of any specific services identified through the study process, it being understood that it is the intent of the project to initially explore the feasibility of shared sanitary sewer services; and

WHEREAS, the scope of the project is currently anticipated to include the following activities:

- Assessment of future conditions for the time period 2013-2033
- Development of feasible alternatives for wastewater services
- Evaluation of feasible alternatives
- Expansion of existing Sanitary Sewer District service area
- Plan of action and implementation
- Public participation
- Report

The cost for such activities shall not exceed \$75,000 including in-kind match.

WHEREAS, the Lorain County Rural Wastewater District has the authority to apply for financial assistance and/or participate as a collaborative partner through the Local Government Innovation Fund Program; and

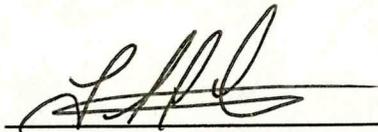
WHEREAS, the Trustees of the Lorain County Rural Wastewater District hereby authorizes and directs the Executive Director to act in connection with the application as a collaborative; and

WHEREAS, it is understood that the Lorain County Rural Wastewater District will serve as the primary and lead applicant for the project application, with other collaborative parties participating in the project planning and funding;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Lorain County Rural Wastewater District that;

1. The Board of Trustees of the Lorain County Rural Wastewater District authorizes the Executive Director as the official representative of the Lorain County Rural Wastewater District to participate as a collaborative party in the State of Ohio, Department of Development, Local Government Innovation Fund Program.
2. That the Board of Trustees of the Lorain County Rural Wastewater District hereby approves the participation with other collaborative parties for the filing of an application for financial assistance under the Ohio Local Government Innovation Fund Program.
3. That the Board of Trustees of the Lorain County Rural Wastewater District hereby understands and agrees that participation in the program as a collaborative party will require compliance with program guidelines and assurances.
4. That the Board of Trustees of the Lorain County Rural Wastewater District hereby commits itself to provide the districts local share funding as a collaborative party, as described in the application, it being understood that the local share can be prior (2 years) and future in-kind cost incurred by the collaborative parties.
5. That it is found and determined that all formal actions of this Board of Trustees concerning and relating to the adoption of this Resolution were adopted in an open meeting of this Board of Trustees, and that all deliberations of the Board of Trustees and of any of its committees resulting in such formal action were in meetings open to the public, in compliance with the law, including Section 121.22 of the Revised Code of Ohio.

The vote resulted as follows: 11 Yeas, 0 Nays. Lynch and Piwinski Abstain



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Secretary-Treasurer, Thomas Lahetta

Date: February 14, 2013

RESOLUTION # 141-13

A RESOLUTION AUTHORIZING THE VILLAGE OF KIPTON TO FILE AN APPLICATION TO THE STATE OF OHIO, TO PARTICIPATE IN THE LOCAL GOVERNMENT INNOVATION FUND PROGRAM, AND DECLARING AN EMERGENCY:

WHEREAS, the state of Ohio, Department of Development, provides financial assistance to local governments for the purpose of addressing local needs; and

WHEREAS, the Village of Kipton desires to participate as a collaborative partner to receive financial assistance under the Ohio Department of Development Local Government Innovation Fund Program; to conduct a study to determine the feasibility of providing wastewater services through cooperative efforts between Lorain County Rural Wastewater District (LORCO), Ohio, and its townships and municipalities.

WHEREAS, the scope of the project is currently anticipated to include the following activities:

- Assessment of future conditions for the time period 2013-2033
- Development of feasible alternatives for wastewater services
- Evaluation of feasible alternatives
- Expansion of existing Sanitary Sewer District service area
- Plan of action and implementation
- Public participation
- Report

The cost for such activities shall not exceed \$75,000 including in-kind match.

WHEREAS, the Village of Kipton has the authority to apply for financial assistance and/or participate as a collaborative partner through the Local Government Innovation Fund Program; and

WHEREAS, the Council of the Village of Kipton hereby authorizes and directs the Mayor of the Village of Kipton to act in connection with the application as a collaborative partner and to provide such additional information as may be required for the funding application; and

WHEREAS, the Council of the Village of Kipton understands and acknowledges that LORCO will serve as the primary or lead applicant for the project application, with other collaborative parties participating in the project planning and funding;

NOW, THEREFORE, BE IT RESOLVED by the Council of the Village of Kipton, Ohio, Village Council, all members thereof concurring:

**Section 1.** That the Council of the Village of Kipton, Ohio authorizes Mayor, Bob Meilander as the official representative of the Village of Kipton to participate as a collaborative party in the state of Ohio, Department of Development, Local Government Innovation Fund Program, and provide all information and documentation required in said Application for submission.

**Section 2.** That the Council of the Village of Kipton hereby approves the participation with LORCO and other collaborative parties for the filing of an application for financial assistance under the Ohio Local Government Innovation Fund Program.

**Section 3.** That the Council of the Village of Kipton hereby understands and agrees that participation in the program as a collaborative party will require compliance with program guidelines and assurances.

**Section 4.** That the Council of the Village of Kipton hereby commits itself to provide the community's local share funding as a collaborative party, as described in the application, it being understood that the local share can be prior (2 years) and future in-kind costs incurred by the collaborative parties.

**Section 5.** That this resolution is declared an emergency to meet the application deadline in a timely fashion, necessary for the health, safety, and welfare of the residents of the Village of Kipton, Ohio.

PASSED: 2/20/13  
Date

Signed: Robert Meilander - Mayor

Signed: Brotherly L. Collins  
Council President

# RECORD OF RESOLUTIONS

Dayton Legal Blank Co., Form No. 30045

Resolution No. 590

Passed 2-25

2013  
YEAR

**A RESOLUTION AUTHORIZING THE VILLAGE OF SOUTH AMHERST TO FILE AN APPLICATION TO THE STATE OF OHIO, TO PARTICIPATE IN THE LOCAL GOVERNMENT INNOVATION FUND PROGRAM, AND DECLARING AN EMERGENCY**

**WHEREAS, THE STATE OF OHIO, DEPARTMENT OF DEVELOPMENT, PROVIDES FINANCIAL ASSISTANCE TO LOCAL GOVERNMENTS FOR THE PURPOSE OF ADDRESSING LOCAL NEEDS; AND**

**WHEREAS, THE VILLAGE OF SOUTH AMHERST DESIRES TO PARTICIPATE AS A COLLABORATIVE PARTNER TO RECEIVE FINANCIAL ASSISTANCE UNDER THE OHIO DEPARTMENT OF DEVELOPMENT LOCAL GOVERNMENT INNOVATION FUND PROGRAM; TO CONDUCT A STUDY TO DETERMINE THE FEASIBILITY OF CREATING A COUNCIL OF GOVERNMENTS AND EXPLORE POTENTIAL FOR SHARED SERVICES TO ACHIEVE EFFICIENCY IN DELIVERY OF ANY SPECIFIC SERVICES IDENTIFIED THROUGH THE STUDY PROCESS, IT BEING UNDERSTOOD THAT IT IS THE INTENT OF THE PROJECT TO INITIALLY EXPLORE THE FEASIBILITY OF SHARED DISPATCHING SERVICES; AND**

**WHEREAS, THE SCOPE OF THE PROJECT IS CURRENTLY ANTICIPATED TO INCLUDE THE FOLLOWING ACTIVITIES:**

- MEETING/PROCESS FACILITATION
- ESTABLISH FRAMEWORK FOR COUNCIL OF GOVERNMENTS OR OTHER TYPE AGREEMENT FOR COLLABORATION
- LEGAL SERVICES
- IDENTIFICATION OF POTENTIAL COST-SAVINGS AND/OR IMPROVEMENT IN SERVICES WITH THE CONSOLIDATION OF SERVICES
- DESIGN AND PREPARATION OF CONTRACT DOCUMENTS OF ANY REQUIRED EQUIPMENT CONFIGURATIONS AND FACILITIES TO CONSOLIDATE IDENTIFIED SERVICES

**THE COST FOR SUCH ACTIVITIES SHALL NOT EXCEED \$100,000 INCLUDING IN-KIND MATCH;**

**WHEREAS, THE VILLAGE OF SOUTH AMHERST HAS THE AUTHORITY TO APPLY FOR FINANCIAL ASSISTANCE AND/OR PARTICIPATE AS A COLLABORATIVE PARTNER THROUGH THE LOCAL GOVERNMENT INNOVATION FUND PROGRAM; AND**

**WHEREAS, THE COUNCIL OF THE VILLAGE OF SOUTH AMHERST HEREBY AUTHORIZES AND DIRECTS THE MAYOR TO ACT IN CONNECTION WITH THE APPLICATION AS A COLLABORATIVE PARTNER AND TO PROVIDE SUCH ADDITIONAL INFORMATION AS MAY BE REQUIRED FOR THE FUNDING APPLICATION; AND**

**WHEREAS, THE COUNCIL OF THE VILLAGE OF SOUTH AMHERST UNDERSTANDS AND ACKNOWLEDGES THAT THE MAYOR WILL SERVE AS THE PRIMARY OR LEAD APPLICANT FOR THE PROJECT APPLICATION, WITH OTHER COLLABORATIVE PARTIES PARTICIPATING IN THE PROJECT PLANNING AND FUNDING;**

**BE IT RESOLVED BY THE COUNCIL OF THE VILLAGE OF SOUTH AMHERST, LORAIN COUNTY, STATE OF OHIO THAT:**

SECTION 1: The Council of the Village of South Amherst, Ohio authorizes the Mayor as the official representative of the Village to participate as a collaborative party in

# RECORD OF RESOLUTIONS

Dayton Legal Blank Co., Form No. 30045

Resolution No. \_\_\_\_\_ Passed \_\_\_\_\_ YEAR \_\_\_\_\_

the State of Ohio, Department of Development, Local Government Innovation Fund Program, and provide all information and documentation required in said Application for submission.

SECTION 2: That the Village hereby approves the participation with Lake Township and other collaborative parties for the filing of an application for financial assistance under the Ohio Local Government Innovation Fund Program.

SECTION 3: That the Village hereby understands and agrees that participation in the program as a collaborative party will require compliance with program guidelines and assurances.

SECTION 4: That the Village hereby commits itself to provide the community's local share funding as a collaborative party, as described in the application, it being understood that the local share can be prior (2 years) and future in-kind costs incurred by the collaborative parties.

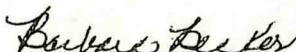
SECTION 5: That it is found and determined that all formal actions of this Council concerning and relating to the adoption of this Resolution were adopted in an open meeting of this Council, and that all deliberations of this Council and any of its committees that resulted in such formal action, were in meetings open to the public, in compliance with all legal requirements, including Section 121.22 of the Ohio Revised Code.

SECTION 6: That this Resolution is declared to be an emergency measure necessary to meet the application deadline in a timely fashion, and for the immediate preservation of the public health, safety and welfare of the Village, and the efficient and orderly operation of the Village, wherefore, this Resolution shall be in full force and effect from and immediately after its passage and approval.

First Reading: \_\_\_\_\_

Second Reading: \_\_\_\_\_

DATE ADOPTED: 2-25-13

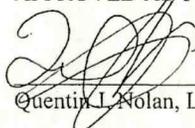
  
Barbara Becker, Mayor

ATTEST:   
Clerk

I, Kimberly Green, the elected Clerk of the Village of South Amherst, certify there is no newspaper of general circulation published in the municipality and the publishing of this Resolution No. 590 was made by posting true copies thereof in at least five of the most public places in the said Village, determined by Council, for a period of not less than fifteen (15) days, following the passage.

  
Clerk

APPROVED AS TO FORM:

  
Quentin L. Nolan, Law Director

RESOLUTION # 2013-02-20

A RESOLUTION AUTHORIZING **New Russia Township** TO FILE AN APPLICATION TO THE STATE OF OHIO, TO PARTICIPATE IN THE LOCAL GOVERNMENT INNOVATION FUND PROGRAM, AND DECLARING AN EMERGENCY:

WHEREAS, the state of Ohio, Department of Development, provides financial assistance to local governments for the purpose of addressing local needs; and

WHEREAS, **New Russia Township** desires to participate as a collaborative partner to receive financial assistance under the Ohio Department of Development Local Government Innovation Fund Program; to conduct a study to determine the feasibility of providing wastewater services through cooperative efforts between Lorain County Rural Wastewater District (LORCO), Ohio, and its townships and municipalities.

WHEREAS, the scope of the project is currently anticipated to include the following activities:

- Assessment of future conditions for the time period 2013-2033
- Development of feasible alternatives for wastewater services
- Evaluation of feasible alternatives
- Expansion of existing Sanitary Sewer District service area
- Plan of action and implementation
- Public participation
- Report

The cost for such activities shall not exceed \$75,000 including in-kind match.

WHEREAS, **New Russia Township** has the authority to apply for financial assistance and/or participate as a collaborative partner through the Local Government Innovation Fund Program; and

WHEREAS, the **Trustees of New Russia Township** hereby authorizes and directs the **Chairman of New Russia Township** to act in connection with the application as a collaborative partner and to provide such additional information as may be required for the funding application; and

WHEREAS, the **Trustees of New Russia Township** understands and acknowledges that **LORCO** will serve as the primary or lead applicant for the project application, with other collaborative parties participating in the project planning and funding;

NOW, THEREFORE, BE IT RESOLVED by the **Trustees of New Russia Township Oberlin**, Ohio, **Trustees**, all members thereof concurring:

Section 1. That the **Trustees of New Russia Township, Oberlin**, Ohio authorizes **Chairman, John Piwinski** as the official representative of **New Russia Township** to participate as a collaborative party in the state of Ohio, Department of Development, Local Government Innovation Fund Program,

and provide all information and documentation required in said Application for submission.

**Section 2.** That **New Russia Township** hereby approves the participation with LORCO and other collaborative parties for the filing of an application for financial assistance under the Ohio Local Government Innovation Fund Program.

**Section 3.** That **New Russia Township** hereby understands and agrees that participation in the program as a collaborative party will require compliance with program guidelines and assurances.

**Section 4.** That **New Russia Township** hereby commits itself to provide the community's local share funding as a collaborative party, as described in the application, it being understood that the local share can be prior (2 years) and future in-kind costs incurred by the collaborative parties.

**Section 5.** That this resolution is declared an emergency to meet the application deadline in a timely fashion, necessary for the health, safety, and welfare of the residents of **New Russia Township**, Ohio.

PASSED: 2-19-2013

Signed: John Paul

Signed: Jackie Hays

Signed: [Signature]

Date: 2-19-2013

## COOPERATIVE AGREEMENT

This Cooperative Agreement is entered into as of this 1<sup>st</sup> day of March, 2013 by and among

**Lorain County Rural Wastewater District (LORCO)**

**City of Lorain**

**Village of South Amherst**

**Village of Kipton**

**New Russia Township**

**Amherst Township**

### WITNESSETH:

**WHEREAS**, the State of Ohio, Department of Development, provides financial assistance to local governments for projects that promote efficiency, shared services, coproduction, and mergers among local governments; and

**WHEREAS**, the Lorain County Rural Wastewater District (LORCO), desires to participate as a collaborative partner to receive financial assistance under the Ohio Department of Development Local Government Innovation Fund Program; to conduct a study to determine the feasibility of providing expanded wastewater services through cooperative efforts between Lorain County Rural Wastewater District, Ohio, and its townships and municipalities and

**WHEREAS**, the scope of the project is currently anticipated to include the following activities:

- Assessment of future conditions for the time period 2013-2033
- Development of feasible alternatives for wastewater services
- Expansion of existing Sanitary Sewer District service area
- Evaluation of feasible alternatives
- Plan of action and implementation
- Public participation
- Report

The cost for such activities shall not exceed \$75,000 including in-kind match.

**WHEREAS**, the Lorain County Rural Wastewater District (LORCO), Ohio has the authority to apply for financial assistance and/or participate as a collaborative partner through the Local Government Innovation Fund Program; and

**WHEREAS**, the **PARTIES** to this agreement understand and acknowledge that **the Lorain County Rural Wastewater District (LORCO), Ohio**, will serve as the primary or lead

applicant for the project application, with other collaborative **PARTIES** participating in the project planning and funding;

**WHEREAS, the Lorain County Rural Wastewater District (LORCO)**, hereinafter referred to collectively as the **Parties** have requested the assistance of **LORCO** in obtaining Federal or State of Ohio grants to conduct a study to determine the feasibility of providing expanded wastewater services through cooperative efforts between LORCO and its townships and municipalities; and

**WHEREAS,** in anticipation of applying for grants for the sharing of the above listed services, **LORCO** and the **Parties** have entered into an agreement for **LORCO** to be the applicant and administrator of said grants; and

**WHEREAS,** the **Parties** and **LORCO** have determined to enter into this Agreement to affirm and acknowledge the power of **LORCO** to receive and accept from any federal or state agency grants to conduct a study to determine the feasibility of providing expanded wastewater services through cooperative efforts between LORCO, Ohio, and its townships and municipalities; and

**WHEREAS,** **LORCO** has determined, subject to the satisfaction of certain conditions, to apply for federal and State of Ohio grants requested by the **Parties** to determine the feasibility of providing expanded wastewater services through cooperative efforts between LORCO, and its townships and municipalities;

**NOW, THEREFORE,** for and in consideration of the premises and of the mutual covenants hereinafter contained, the **Parties** hereby agree as follows:

Section 1. The **Parties** request **LORCO** to exercise any and all powers and to perform any and all functions with respect to receiving and accepting from any Federal or State of Ohio agency, grants for or in aid of the conduct of a feasibility study for the benefit of the **Parties,** to determine the feasibility of providing expanded wastewater services through cooperative efforts between LORCO, Ohio, and its townships and municipalities,

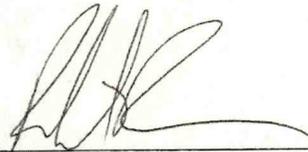
Section 2. In furtherance and not in limitation of the provisions of Section 1, the **Parties** request **LORCO** to apply for and receive and accept Federal or State of Ohio grants for the **Parties,** pursuant to the Act, and to apply the proceeds of the Grants to the payment of "costs" of the feasibility study, as those terms are defined in the Act for the research and development of providing expanded wastewater services through cooperative efforts between **LORCO, Ohio, and its townships and municipalities.**

Section 3. It is the intention of the **Parties** and **LORCO** that this Agreement be liberally construed to make available to the **Parties,** through the actions of **LORCO,** all of the benefits of the Act, in furtherance of the public purposes set forth in the recitals to this Agreement.

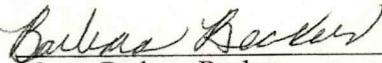
By: \_\_\_\_\_

Robert J. Berner, Executive Director

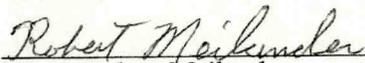
**Lorain County Rural Wastewater District**

By:   
Robert Fowler, Safety Service Director

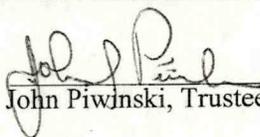
City of Lorain, OHIO

By:   
Mayor Barbara Becker

Village of South Amherst, OHIO

By:   
Mayor Robert Meilander

Village of Kipton, OHIO

By:   
John Piwinski, Trustee

New Russia Township, OHIO

By: \_\_\_\_\_  
Neil Lynch, Trustee

Amherst Township, OHIO



February 26, 2013

Mr. Rob Berner, Executive Director  
LORCO  
33989 West Road, P.O. Box 158  
Wellington, Ohio 44090-0158

Dear Rob;

It is with great pleasure that the Lorain County Chamber of Commerce provides you with a letter of support for your *Local Government Innovation Fund* application to the Ohio Development Services Agency.

As you know, the Lorain County Chamber of Commerce's public/private partnership has a long history of developing and shepherding collaborations for the advancement of economic development throughout Lorain County.

Our organization specifically supports infrastructure related projects that will provide greater economic development opportunities to underserved areas of Lorain County. We are also willing to participate in any public meetings during the feasibility study that will allow the Lorain County Chamber to provide input regarding the importance of wastewater services and their importance to economic development.

Please feel free to contact me as this project moves forward for my support and participation.

Sincerely,

Frank P. DeTillio  
President & CEO

# CURE DOCUMENTS

## Lorain County Rural Wastewater District (LORCO) Wastewater Feasibility Study for Regional Services

### Contents:

- **E-Mail Cure Request:** Copy of e-mail attachment received 4-9-13 from Nicole Bent at ODSA-Office of Redevelopment
- **Project budget** – revised to show local in-kind time commitments from LORCO and Lorain Chamber of Commerce
- **Program Budget** – Information prepared by LORCO's CPA Firm
- **Return on Investment** – Information prepared by LORCO's CPA Firm
- **Resolutions of Support** - Resolutions from City of Lorain and Amherst Township
- **Partnership Agreement** – Fully executed Partnership Agreement
- **Validated Partners** – With additional information provided above, LORCO indicates there are a total of 6 collaborative partners, plus LORCO, as Lead Agency, for a total collaborative group of 7 partners.

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**From:** Bent, Nicole [mailto:Nicole.Bent@development.ohio.gov]  
**Sent:** Tuesday, April 09, 2013 8:56 AM  
**To:** Linda Hall  
**Subject:** RE: LORCO LGIF Application - "Cure Period"

April 2, 2013

Robert Berner  
Executive Director-LORCO  
22898 West Rd., P.O. Box 158  
Wellington, OH 44090

RE: Application Cure Letter

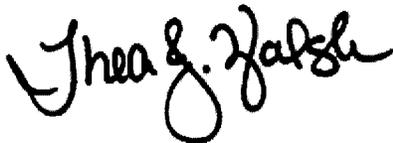
Dear Robert Berner:

The Ohio Development Services Agency (ODSA) has received and is currently reviewing your application for Round 5 of Local Government Innovation Fund program. This letter serves to provide notice of any issues with your application. The identified item(s) requiring your attention are listed on the attached page(s). Please respond only to the issues raised. Failure to fully address all the identified items could lead to a competitive score reduction or ineligibility for Round 5 of the Local Government Innovation Fund program. A written response from the applicant to this completeness review is due to ODSA no later than 5:00 p.m. on *April 19, 2013*. Please send the response in a single email to [lgif@development.ohio.gov](mailto:lgif@development.ohio.gov) and include "Cure—Project Name" in the subject line.

While this cure letter represents the additional information needed for ODSA review, the Local Government Innovation Council continues to reserve the right to request additional information about your application.

Thank you once again for your participation in Local Government Innovation program. Please contact the Office of Redevelopment at [lgif@development.ohio.gov](mailto:lgif@development.ohio.gov) or 614-995-2292 if you have further questions regarding your application or the information requested in this letter.

Sincerely,



Thea J. Walsh, AICP  
Deputy Chief, Office of Redevelopment  
Ohio Department of Development

## Local Government Innovation Fund Completeness Review

Applicant: Lorain County Rural Wastewater District  
Project Name: Wastewater Feasibility Study for Regional Services

### Issues for Response

1. **Format**  
Application is in the correct format and ready for review
2. **Request**  
Application is for an eligible request.
3. **Project Budget – SEE ATTACHED**  
The project budget requires additional attention. Please provide an explanation of the total value of the Lorain Co. Chamber's In-Kind Match, and change the Total Uses to reflect this change in Total Sources.
4. **Program Budget - SEE ATTACHED INFORMATION PROVIDED BY LORCO'S CPA FIRM**  
The program budget is incomplete. Please specifically explain how the proposed project would save money/increase revenues/avoid costs and how these figures were calculated. The program budget does not clearly explain/show increased revenues/cost avoidance.
5. **Return on Investment - SEE ATTACHED INFORMATION PROVIDED BY LORCO'S CPA FIRM**  
The ROI is incomplete. Please include detailed explanations of how the Probable Program Cost, Cost Avoided, Cost Saved, and New Revenues were calculated. For example, please note that Cost Saved has not been accurately calculated, as customers will be charged a fee to use sewer facilities from their local municipality, which would make cost savings less than what is represented in the application. Information from each participating entity must be included as well.
6. **Resolutions of Support – SEE ATTACHED RESOLUTIONS FROM CITY OF LORAIN AND AMHERST TOWNSHIP**  
No signed resolutions of support from the City of Lorrain and Amherst Township. No signed resolutions of support from the City of Lorrain and Amherst Township.
7. **Partnership Agreements – SEE ATTACHED FULLY EXECUTED PARTNERSHIP AGREEMENT**  
  
Amherst Township, Lorain Chamber of Commerce, and the City of Lorain have not signed the partnership agreement. Amherst Township, Lorain Chamber of Commerce, and the City of Lorain have not signed the partnership agreement.
8. **Total Number of Validated Partners WITH ADDITIONAL INFORMATION PROVIDED ABOVE, LORCO INDICATES THERE ARE SIX COLLABORATIVE PARTNERS, PLUS**

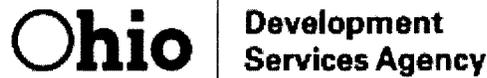
**LORCO, AS LEAD AGENCY FOR A TOTAL COLLABORATIVE GROUP OF 7 PARTNERS.**

The application has a total of 4 (four) collaborative partners with the appropriate documentation.

**9. Feasibility Study (Loans Only)**

N/A

**10. Other Comments**



**Nicole Bent  
Program Manager  
Office of Redevelopment**

77 South High Street  
Columbus, Ohio 43215  
614.644.6552 F: 614.466.4172

Nicole.Bent@development.ohio.gov

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Email to and from the Ohio Development Services Agency is open to public inspection under Ohio's public record law. Unless a legal exemption applies, this message and any response to it will be released if requested.

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The State of Ohio is an Equal Opportunity Employer and Provider of ADA Services.

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**From:** Linda Hall [mailto:HallL@poggemeyer.com]  
**Sent:** Wednesday, April 03, 2013 1:30 PM  
**To:** Bent, Nicole  
**Cc:** 'Rob Bernrer'; Paulette Huber  
**Subject:** LORCO LGIF Application - "Cure Period"

Hi Nicole-  
Robert Berner, Executive Director of LORCO received the "cure" letter (see below), but there were no attachments, indicating what information may be needed for the "cure period" submission to supplement the application.

Could you please check on an attachment letter and provide same at your earliest opportunity?

Thank you  
Linda Hall



POGGEMEYER  
DESIGN GROUP

*Linda H. Hall*

POGGEMEYER DESIGN GROUP, INC.  
Sr. Vice President/Director of Project Finance  
1168 N. Main Street  
Bowling Green, Ohio 43402  
Cell: (419) 308-2504

Office: (419) 352-7537

FAX: (419) 353-0187

[halll@poggemeyer.com](mailto:halll@poggemeyer.com)

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\*\*\*\*\*

**EMAIL RECEIVED BY ROBERT BERNER**

----- Original Message -----

**Subject:**LGIF-Round 5 Cure Period

**Date:**Tue, 2 Apr 2013 21:21:16 +0000

**From:**Bent, Nicole <[Nicole.Bent@development.ohio.gov](mailto:Nicole.Bent@development.ohio.gov)>

**To:**'[rberner@lorco.org](mailto:rberner@lorco.org)' <[rberner@lorco.org](mailto:rberner@lorco.org)>

April 2, 2013

Robert Berner  
Executive Director-LORCO  
22898 West Rd., P.O. Box 158  
Wellington, OH 44090

RE: Application Cure Letter

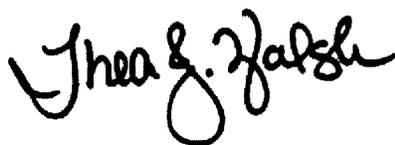
Dear Robert Berner:

The Ohio Development Services Agency (ODSA) has received and is currently reviewing your application for Round 5 of Local Government Innovation Fund program. This letter serves to provide notice of any issues with your application. The identified item(s) requiring your attention are listed on the attached page(s). Please respond only to the issues raised. Failure to fully address all the identified items could lead to a competitive score reduction or ineligibility for Round 5 of the Local Government Innovation Fund program. A written response from the applicant to this completeness review is due to ODSA no later than 5:00 p.m. on *April 19, 2013*. Please send the response in a single email to [lgif@development.ohio.gov](mailto:lgif@development.ohio.gov) and include "Cure—Project Name" in the subject line.

While this cure letter represents the additional information needed for ODSA review, the Local Government Innovation Council continues to reserve the right to request additional information about your application.

Thank you once again for your participation in Local Government Innovation program. Please contact the Office of Redevelopment at [lgif@development.ohio.gov](mailto:lgif@development.ohio.gov) or 614-995-2292 if you have further questions regarding your application or the information requested in this letter.

Sincerely,

A handwritten signature in black ink that reads "Thea J. Walsh". The signature is written in a cursive, flowing style.

Thea J. Walsh, AICP  
Deputy Chief, Office of Redevelopment  
Ohio Department of Development

Lead Applicant	Lorain County Rural Wastew	Round 5
Project Name	Wastewater Feasibility for R	Grant

Use this space to outline all sources of funds and the uses of those funds. Both sections should include all funds related to the project, including in-kind match contributions. Use the project budget narrative on the next page to justify the project budget, and indicate the line items for which the grant will be used.

Sources of Funds

LGIF Request:	\$67,500
Cash Match (List Sources Below):	
Source: LORCO	\$3,750
Source: \$750 ea: Kipton/S.Amherst	\$1,500
Source: \$750 ea:New Russia/Amhers	\$1,500
Source: City of Lorain	\$750
In-Kind Match (List Sources Below):	
Source: LORCO -DONATED TIME	\$6,300
Source:	
Source:	
Total Match:	\$13,800
Total Sources:	\$81,300

Uses of Funds

	<u>Amount</u>	<u>Revenue Source</u>
Consultant Fees:	\$75,000	LGIF & Cash & staff time
Legal Fees:		
Other: In-kind plng time	\$6,300	LORCO in-kind 2011-2013
Other: ED org.in-kind time	\$1,000	Lorain Chamber ED Time
Other:		

Total Uses:	\$82,300	* Please note that this match percentage will be included in your grant/loan agreement and cannot be changed after awards are made.
Local Match Percentage:	17%	

Section 4



# MEMO

TO: Paulette Huber, Poggemeyer Design Group, Inc.  
FROM: Robert J. Berner  
DATE: Friday, April 12, 2013  
SUBJECT: Hours spent on developing Regional Cooperative Plan

---

Paulette,

The following represents the efforts and time spent working with a variety of local government agencies to develop a cooperative wastewater plan in Lorain County.

## 2011

**May 25 9:00 – 12:00 3 hours = \$300 (billing rate of \$100 including benefits)**  
Kick-off Meeting at Lorain County Community College (LCCC) with a group of communities interested in developing a Regional Wastewater Treatment Plan. In attendance were representatives from: City of Lorain, City of Elyria, City of Avon, City of Avon Lake, City of North Ridgeville, City of Amherst, City of Vermilion, City of Oberlin, Village of Sheffield, Village of S. Amherst and Myself (LORCO)

**June 8 9:00 – 12:00 3 hours = \$300 (billing rate of \$100 including benefits)**  
Follow up meeting at LCCC on Regional Treatment discussion on the governance of a Regional Wastewater Treatment Plan.

**June 22 9:00 – 12:00 3 hours = \$300 (billing rate of \$100 including benefits)**  
Third meeting on Regional Treatment Plan, discussion on locations and service territory.

**July 6 9:00 – 12:00 3 hours = \$300 (billing rate of \$100 including benefits)**  
Fourth meeting on Regional Treatment Plan, discussion on costs of building, developing and implementing regional plan.

**July 20 9:00 – 12:00 3 hours = \$300 (billing rate of \$100 including benefits)**  
Fifth meeting on Regional Treatment Plan, reviewed rates and impact to each community. Developed five year and 10 year plans.

**August 3 9:00 – 12:00 3 hours = \$300 (billing rate of \$100 including benefits)**  
Sixth meeting on Regional Treatment Plan, discussed plan of action to present plan to individual city councils and administrations for adoption.

## 2012

**Feb 29 10:00 – 11:00 1 hour = \$100 (billing rate of \$100 including benefits)**  
Met with newly elected Mayor of City of Lorain, Chase Rietenhour. Discussed the merits of the Regional Plan developed in 2011 and how to move forward with other communities.

**March 22 11:00 – 12:30 1.5 hours = \$150 (billing rate of \$100 including benefits)**  
Met with County Commissioner Tom Williams to discuss the county support of serving unsewered areas of the county.

**May 15 2:30 – 3:30 1 hour = \$100 (billing rate of \$100 including benefits)**  
Met with Mayor Rietenhour to discuss strategy for which communities support Regional Treatment Plan and how to get commitments from those communities.

**May 20-23 4 Days @ 8 hour days = 32 hours = \$3,200 (billing rate of \$100 including benefits)**  
Attended the Ohio Rural Water Association Annual Meetings in Huron, Ohio. Collected information helpful to form regional cooperation and met with engineering firms to discuss the

feasibility of small treatment plants to serve small villages like South Amherst and Kipton Village in the LORCO district.

**August 13 11:00-12:00 1 hour = \$100 (billing rate of \$100 including benefits)**

Met with Mayor Rietenhour to discuss the concerns of Amherst Township and ways to develop a better service plan for sanitary sewers for the 850 homes currently served by Lorain in Amherst Township.

**August 21 2:30 – 4:00 1.5 hours = \$150 (billing rate of \$100 including benefits)**

Meeting in the City of Elyria with Mayor Brinda and Todd Danielson of Avon Lake Municipal Utilities to discuss opportunities to develop sewer service to unsewered areas within central Lorain County.

**September 27 6:00 pm – 8:00 p.m. 2 hours = \$200 (billing rate of \$100 including benefits)**

Meeting in Amherst Township with: County Engineer, City of Lorain, Amherst Township officials and Consulting Engineers from KE McCartney to discuss solutions to the sanitary sewer problems in Amherst Township.

**December 6 11:30 – 1:00 1.5 hours = \$150 (billing rate of \$100 including benefits)**

Met with Cory Timko, Utilities Director for City of Lorain, to discuss options for the Regional Treatment Plan and what would be needed to keep the plan moving forward.

### **2013**

**January 29 11:30 – 1:00 1.5 hours = \$150 (billing rate of \$100 including benefits)**

Met with Mayor Rietenhour, Robert Fowler, SSD City of Lorain to discuss cooperative effort to pursue a plan to provide sanitary sewer services to parts of southern Lorain County.

**February 10 10:00 – 12:00 2 hours = \$200 (billing rate of \$100 including benefits)**

Meeting at the Northeast Ohio Area Wide Coordinating Agency (NOACA) with all the political entities which represent 201 sewer districts to discuss better regional service opportunities and cooperation to better serve the communities.

**TOTAL DONATED TIME: ROBERT BERNER, EXECUTIVE DIRECTOR, LORCO:**

**63 hours = \$6,300 (billing rate of \$100 including benefits)**

**Lorain County Chamber of Commerce  
Anticipated Meeting Time Commitment  
Local Share In-Kind Contribution**

LORCO Local Government Innovation Fund

Representatives from the Lorain County Chamber of Commerce is interested in participating in planning meetings and collaborations during the feasibility study that will allow the Lorain County Chamber to provide input regarding the importance of wastewater services and their importance to economic development.

Estimated number of meetings will be approximately 10 planning meetings and/or public meetings; therefore, the estimated time commitment on behalf of the Chamber will be approximately **\$1,000** in in-kind staff time.

Fiscal Officer: Ridachi & Company Cindy Grabo  
 900 E. Broad Street, Suite A  
 Elyria, Ohio 44035 (440) 355-3115

Page 14 - 15 Budget

	2013	2014	2015	2016	2017	2018
Salary and Benefits	\$ 110,000.00	\$ 110,000.00	\$ 110,000.00	\$ 115,500.00	\$ 115,500.00	\$ 115,500.00
Contract Services	\$ 34,000.00	\$ 34,000.00	\$ 34,000.00	\$ 34,000.00	\$ 34,000.00	\$ 34,000.00
Occupancy	\$ 5,500.00	\$ 5,500.00	\$ 5,500.00	\$ 5,500.00	\$ 5,500.00	\$ 5,500.00
Training & Prof Dev	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Insurance	\$ 4,500.00	\$ 4,500.00	\$ 4,500.00	\$ 4,500.00	\$ 4,500.00	\$ 4,500.00
Travel	\$ 1,000.00	\$ 1,000.00	\$ 1,000.00	\$ 1,000.00	\$ 1,000.00	\$ 1,000.00
Capital & Equip	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Supplies, Printing Etc	\$ 5,500.00	\$ 5,500.00	\$ 5,500.00	\$ 5,500.00	\$ 5,500.00	\$ 5,500.00
Evaluation	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Marketing	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00
Conferences, Meetings, Etc	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Administration	\$ 19,500.00	\$ 21,450.00	\$ 23,595.00	\$ 25,954.50	\$ 28,549.95	\$ 31,404.95
<b>TOTAL EXPENSES</b>	\$ 180,500.00	\$ 182,450.00	\$ 184,595.00	\$ 192,454.50	\$ 195,049.95	\$ 197,904.95
Local Govt	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
State Govt	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Fed Govt	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Other - Cooperative Payment	\$ 180,000.00	\$ 180,000.00	\$ 180,000.00	\$ 180,000.00	\$ 180,000.00	\$ 180,000.00
Program Service Fee	\$ 21,300.00	\$ 23,430.00	\$ 25,773.00	\$ 28,350.30	\$ 31,185.33	\$ 34,303.86
<b>TOTAL</b>	\$ 201,300.00	\$ 203,430.00	\$ 205,773.00	\$ 208,350.30	\$ 211,185.33	\$ 214,303.86

Pg 18 Estimates

	Connections	People Served	Probable Program Cost	Cost Avoided	Cost Saved	Cost Incurred	New Revenues
Kipton Village:	100	283	\$ 1,900,000.00	\$ 800,000.00	\$ 47,000.00	\$ 700,000.00	\$ 55,440.00
South Amherst Village	640	1837	\$ 8,600,000.00	\$ 5,120,000.00	\$ 300,800.00	\$ 4,480,000.00	\$ 354,816.00
New Russia Twp:	424	1128	\$ 3,800,000.00	\$ 2,120,000.00	\$ 199,280.00	\$ 2,968,000.00	\$ 235,065.60
Amherst Twp:	176	458	\$ 1,600,000.00	\$ 880,000.00	\$ 82,720.00	\$ 1,232,000.00	\$ 97,574.40
<b>Total:</b>	<b>1340</b>	<b>3706</b>	<b>\$ 15,900,000.00</b>	<b>\$ 8,920,000.00</b>	<b>\$ 629,800.00</b>	<b>\$ 9,380,000.00</b>	<b>\$ 742,896.00</b>

Return on Investment:

(Savings + Avoided - Cost Incurred+ Revenue) \$ 912,696.00  
 Total Program Costs \$ 15,900,000.00  
 6% ROI

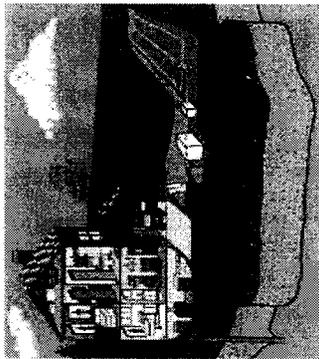
## LORCO Mission and Goals



The Lorain County Rural Wastewater District's mission is to logically and economically provide wastewater collection and treatment to unsewered areas of Lorain County. The goals in providing sewers are to provide cost effective alternatives to home sewage treatment systems and preserve our natural environment. Not all communities can support cost effective collection systems. For those who cannot, LORCO wants to ensure the home sewage treatment systems are operating effectively.

# LORCO

## Home Sewage Treatment Systems



## Know the Cost



## Lorain County Rural Wastewater District

22898 West Road  
P.O. Box 158  
Wellington, Ohio 44090  
Phone: 440.647.4882  
Fax: 440.647.4870  
E-mail [rberner@lorco.org](mailto:rberner@lorco.org)



## The Proper Operation and Maintenance of a Home Sewage Treatment System



Black River

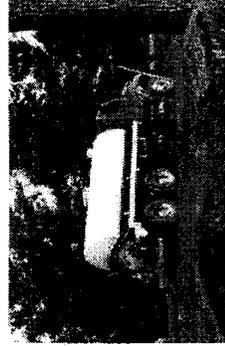
### Why Does It Matter?

Water quality in Lorain County is a concern for everyone. The importance of preserving our environment for future generations is everyone's responsibility. Poorly maintained home sewage treatment systems contribute to the degradation of our streams, rivers and Lake Erie.<sup>1 & 3</sup>

It is also important to have the right type of system for the soil conditions. Less than 5% of the soils in Lorain County are suitable for traditional leach line systems.<sup>2</sup> If a system is installed without the right soil conditions it can lead to further degradation.<sup>2</sup>

### Basic Operating Cost

The cost of properly maintaining your home sewage treatment system is relatively low. The average monthly electrical cost to keep the aeration pump operating ranges from \$10 to \$20 per month. Annual pumping ranges from \$150 to \$200<sup>2</sup> for the average family. Replacement of the filter ranges from \$200 to \$280 per year. When broken down, the **monthly cost** to properly operate a home system averages between **\$39** and **\$60** for the average home. The **annual cost** to operate a home sewage treatment system averages between **\$470** and **\$780**. Home size and type of system account for the variation.



### Long Term Maintenance

The long term investment in a Home Sewage Treatment System involves replacement of parts and even the entire system. It is recommended aeration pumps should be serviced annually and replaced every 10 years. Older tank systems have a useful life of 15 years. The **average home** should set aside **\$35** to **\$75** per month to cover the replacement cost associated with replacing a home sewage treatment system parts and equipment every 10 to 20 years.

### Cost Comparison

Knowing the true cost to properly operate and maintain a home sewage treatment system is important to home owners. Combining Operating and Maintenance will cost a home owner between **\$74** and **\$135** per month. Homeowners who do not maintain their systems at the minimum level might experience even higher costs.

<sup>1</sup>Black River Remedial Action Plan 2008 Annual Report

<sup>2</sup> Ohio State University Extension Bulletin 896

<sup>3</sup> Ohio State University Extension Bulletin 854

<sup>4</sup> Lorain County General Health District, March 1, 2010 Release

Lead Applicant	Lorain County Rural Wastewater District (LO	Round 5
Project Name	Wastewater Feasibility for Regional Service i	Type of Request Grant

Return on Investment is a performance measure used to evaluate the efficiency of an investment. To derive the expected return on investment, divide the net gains of the project by the net costs. For these calculations, please use the implementation gains and costs, NOT the project costs (the cost of the feasibility, planning, or management study)--unless the results of this study will lead to direct savings without additional implementation costs. The gains from this project should be derived from the prior and future program budgets provided, and should be justified in the return on investment narrative.

Consider the following questions when determining the appropriate ROI formula for your project. Check the box of the formula that you are using to determine your ROI. These numbers should refer to savings/revenues illustrated in projected budgets.

Do you expect cost savings from efficiency from your project?

Use this formula: 
$$\frac{\text{Total \$ Saved}}{\text{Total Program Costs}} * 100 = \text{ROI}$$

Do you expect cost avoidance from the implementation of your project/program?

Use this formula: 
$$\frac{\text{Total Cost Avoided}}{\text{Total Program Costs}} * 100 = \text{ROI}$$

Do you expect increased revenues as a result of your project/program?

Use this formula: 
$$\frac{\text{Total New Revenue}}{\text{Total Program Costs}} * 100 = \text{ROI}$$

Do you expect some combination of savings, cost avoidance, or increased revenue as a result of your project/program? (Total Gains combines \$ Saved, Costs Avoided, and New Revenue)

Use this formula: 
$$\frac{\text{Total Gains}}{\text{Total Program Costs}} * 100 = \text{ROI}$$

Expected Return on Investment = 
$$\frac{\$912,696}{\$15,900,000} * 100 = 6\%$$

Expected Return on Investment is:

- Less than 25% (10 points)     25%-75% (20 points)     Greater than 25% (30 points)

Questions about how to calculate ROI? Please contact the Office of Redevelopment at 614-995-2292 or [lgif@development.ohio.gov](mailto:lgif@development.ohio.gov)

Section 4

Financial Information

Resolution Number 2-8-13

**Amherst Township Resolution Regarding:**  
**AUTHORIZING THE FILING OF AN APPLICATION TO THE**  
**STATE OF OHIO, TO PARTICIPATE IN THE LOCAL**  
**GOVERNMENT INNOVATION FUND PROGRAM, AND**  
**DECLARING AN EMERGENCY**

The Board of Trustees of Amherst Township, Lorain County, Ohio met in regular session on the 26<sup>th</sup> day of February 2013 at the Amherst Township Hall with the following members present:  
(Presence indicated by a ✓ mark)

✓ Mr. Dennis Abraham  
✓ Mr. Neil Lynch  
✓ Mr. David Urig

Mr. ABRAHAM moved to adopt the following resolution:

**Whereas**, the state of Ohio, Department of Development, provides financial assistance to local governments for the purpose of addressing local needs; and

**Whereas**, the Amherst Township Board of Trustees desires to participate as a collaborative partner to receive financial assistance under the Ohio Department of Development Local Government Innovation Fund Program; to conduct a study to determine the feasibility of providing wastewater services through cooperative efforts between Lorain County Rural Wastewater District (LORCO), Ohio, and its townships and municipalities, and

**Whereas**, the scope of the project is currently anticipated to include the following activities, and the cost for such activities shall not exceed \$75,000 including in-kind match:

- Assessment of future conditions for the time period 2013-2033
- Development of feasible alternatives for wastewater services
- Evaluation of feasible alternatives
- Expansion of existing Sanitary Sewer District service area
- Plan of action and implementation
- Public participation
- Report

**Whereas**, the Amherst Township Board of Trustees has the authority to apply for financial assistance and/or participate as a collaborative partner through the Local Government Innovation Fund Program; and

**Whereas**, the Amherst Township Board of Trustees hereby authorizes and directs the Chairperson of the Board of Trustees to act in connection with the application as a collaborative partner and to provide such additional information as may be required for the funding application; and

**Whereas**, the Amherst Township Board of Trustees understands and acknowledges that LORCO will serve as the primary or lead applicant for the project application, with other collaborative parties participating in the project planning and funding;

**Now, therefore be it resolved by the Trustees of the Township of Amherst, State of Ohio:**

**Resolution Number** 2-8-13

**Section 1.** Amherst Township Board of Trustees Chairperson, Neil Lynch, shall act as the official representative of the Board of Trustees to participate as a collaborative party in the state of Ohio, Department of Development, Local Government Innovation Fund Program, and provide all information and documentation required in said Application for submission.

**Section 2.** That the Amherst Township Board of Trustees hereby approves the participation with LORCO and other collaborative parties for the filing of an application for financial assistance under the Ohio Local Government Innovation Fund Program.

**Section 3.** That the Amherst Township Board of Trustees hereby understands and agrees that participation in the program as a collaborative party will require compliance with program guidelines and assurances.

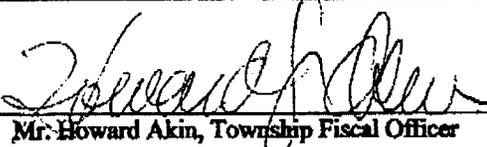
**Section 4.** That the Amherst Township Board of Trustees hereby commits itself to provide the community's local share funding as a collaborative party, as described in the application, it being understood that the local share can be prior (2 years) and future in-kind costs incurred by the collaborative parties.

**Section 5.** That this resolution is declared an emergency to meet the application deadline in a timely fashion, necessary for the health, safety, and welfare of the residents of the Township of Amherst, State of Ohio.

**Section 6.** It is found and determined that all formal actions of the Township Trustees concerning and relating to the adoption of this Resolution were adopted in an open meeting, and that all deliberations of the Trustees and of any of its committees that resulted in such formal action, were in meetings open to the public, in compliance with all legal requirements, including the Ohio Revised Code.

Mr. URIG seconded the Resolution, and the roll being called upon its adoption, the vote resulted as follows:

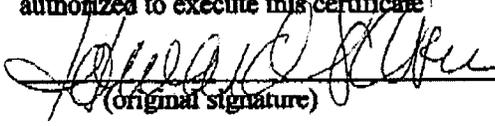
Trustee	Yes (v)	No (v)	Abstain (v)
Mr. Dennis Abraham	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Mr. Neil Lynch	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Mr. David Urig	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Adopted   
Mr. Howard Akin, Township Fiscal Officer

February 26, 2013  
Date

**CERTIFICATE OF RECORDING OFFICER**

I, the undersigned, hereby certify, that the foregoing is a true and correct copy of the resolution adopted by the Board of Trustees held on the 26<sup>th</sup> day of February 2013, and that I am duly authorized to execute this certificate:

  
(original signature)

Amherst Township Fiscal Officer  
(title)

RESOLUTION # 3-13

A RESOLUTION AUTHORIZING THE CITY OF LORAIN TO FILE AN APPLICATION TO THE STATE OF OHIO, TO PARTICIPATE IN THE LOCAL GOVERNMENT INNOVATION FUND PROGRAM, AND DECLARING AN EMERGENCY:

WHEREAS, the state of Ohio, Department of Development, provides financial assistance to local governments for the purpose of addressing local needs; and

WHEREAS, the City of Lorain desires to participate as a collaborative partner to receive financial assistance under the Ohio Department of Development Local Government Innovation Fund Program; to conduct a study to determine the feasibility of providing wastewater services through cooperative efforts between Lorain County Rural Wastewater District (LORCO), Ohio, and its townships and municipalities.

WHEREAS, the scope of the project is currently anticipated to include the following activities:

- Assessment of future conditions for the time period 2013-2033
- Development of feasible alternatives for wastewater services
- Evaluation of feasible alternatives
- Expansion of existing Sanitary Sewer District service area
- Plan of action and implementation
- Public participation
- Report

*Amended 2-18-13 INSERT "\$250.00"*

The cost for such activities shall not exceed <sup>\$150.00</sup> \$75,000 including in-kind match.

WHEREAS, the City of Lorain has the authority to apply for financial assistance and/or participate as a collaborative partner through the Local Government Innovation Fund Program; and

WHEREAS, the Council of the City of Lorain hereby authorizes and directs the Safety-Service Director of the City of Lorain to act in connection with the application as a collaborative partner and to provide such additional information as may be required for the funding application; and

WHEREAS, the Council of the City of Lorain understands and acknowledges that LORCO will serve as the primary or lead applicant for the project application, with other collaborative parties participating in the project planning and funding;

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Lorain, Ohio, City Council, all members thereof concurring:

**Section 1.** That the Council of the City of Lorain, Ohio authorizes Safety-Service Director Robert Fowler or Designee as the official representative of the City of Lorain to participate as a collaborative party in the state of Ohio, Department of Development, Local Government Innovation Fund Program, and provide all information and documentation required in said Application for submission.

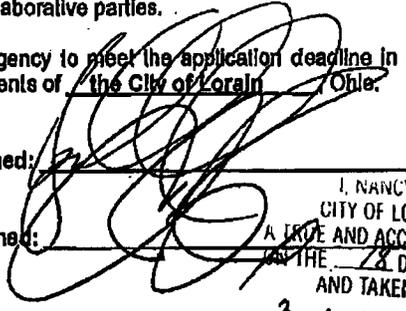
**Section 2.** That the Council of the City of Lorain hereby approves the participation with LORCO and other collaborative parties for the filing of an application for financial assistance under the Ohio Local Government Innovation Fund Program.

**Section 3.** That the Council of the City of Lorain hereby understands and agrees that participation in the program as a collaborative party will require compliance with program guidelines and assurances.

**Section 4.** That the Council of the City of Lorain hereby commits itself to provide the community's local share funding as a collaborative party, as described in the application, it being understood that the local share can be prior (2 years) and future in-kind costs incurred by the collaborative parties.

**Section 5.** That this resolution is declared an emergency to meet the application deadline in a timely fashion, necessary for the health, safety, and welfare of the residents of the City of Lorain, Ohio.

PASSED: Feb. 18, 2013  
Date  
Nancy Greer, Clerk

Signed:   
Signed: \_\_\_\_\_

I, NANCY A. GREER, CLERK OF COUNCIL OF THE CITY OF LORAIN, OHIO, CERTIFY THE FOREGOING IS A TRUE AND ACCURATE COPY OF RESO. NO. 3-13 PASSED ON THE 18 DAY OF FEB 2013 BY COUNCIL AND TAKEN FROM THE RECORDS IN THE OFFICE OF THE CLERK.  
3-4-13  
DATE  
Nancy Greer  
CLERK OF COUNCIL

## COOPERATIVE AGREEMENT

This Cooperative Agreement is entered into as of this 1st day of March, 2013 by and among

**Lorain County Rural Wastewater District (LORCO)**

**City of Lorain**

**Village of South Amherst**

**Village of Kipton**

**New Russia Township**

**Amherst Township**

**Lorain County Chamber of Commerce**

### WITNESSETH:

WHEREAS, the State of Ohio, Department of Development, provides financial assistance to local governments for projects that promote efficiency, shared services, coproduction, and mergers among local governments, and

WHEREAS, the Lorain County Rural Wastewater District (LORCO), Ohio desires to participate as a collaborative partner to receive financial assistance under the Ohio Department of Development Local Government Innovation Fund Program; to conduct a of providing expanded wastewater services through cooperative efforts between Lorain County Rural Wastewater District, Ohio, and its townships and municipalities and

WHEREAS, the scope of the project is currently anticipated to include the following activities:

- Assessment of future conditions for the time period 2013-2033
- Development of feasible alternatives for wastewater services
- Expansion of existing Sanitary Sewer District service area
- Evaluation of feasible alternatives
- Plan of action and implementation
- Public participation
- Report

The cost for such activities shall not exceed \$75,000 including in-kind match.

WHEREAS, the Lorain County Rural Wastewater District (LORCO), Ohio has the authority to apply for financial assistance and/or participate as a collaborative partner through the Local Government Innovation Fund Program; and

applicant for the project application, with other collaborative PARTIES participating in the project planning and funding;

WHEREAS, the Lorain County Rural Wastewater District (LORCO), hereinafter referred to collectively as the Parties have requested the assistance of LORCO in obtaining Federal or State of Ohio grants to conduct a study to determine the feasibility of providing expanded wastewater services through cooperative efforts between LORCO and its townships and municipalities; and

WHEREAS, in anticipation of applying for grants for the sharing of the above listed services, LORCO and the Parties have entered into an agreement for LORCO to be the applicant and administrator of said grants; and

WHEREAS, the Parties and LORCO have determined to enter into this Agreement to affirm and acknowledge the power of LORCO to receive and accept from any federal or state agency grants to conduct a study to determine the feasibility of providing expanded wastewater services through cooperative efforts between LORCO, Ohio, and its townships and municipalities; and

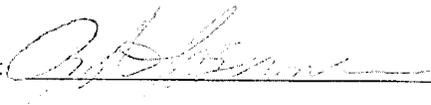
WHEREAS, LORCO has determined, subject to the satisfaction of certain conditions, to apply for federal and State of Ohio grants requested by the Parties to determine the feasibility of providing expanded wastewater services through cooperative efforts between LORCO, and its townships and municipalities;

NOW, THEREFORE, for and in consideration of the premises and of the mutual covenants hereinafter contained, the Parties hereby agree as follows:

Section 1. The Parties request LORCO to exercise any and all powers and to perform any and all functions with respect to receiving and accepting from any Federal or State of Ohio agency, grants for or in aid of the conduct of a feasibility study for the benefit of the Parties, to determine the feasibility of providing expanded wastewater services through cooperative efforts between LORCO, Ohio, and its townships and municipalities.

Section 2. In furtherance and not in limitation of the provisions of Section 1, the Parties request LORCO to apply for and receive and accept Federal or State of Ohio grants for the Parties, pursuant to the Act, and to apply the proceeds of the Grants to the payment of "costs" of the feasibility study, as those terms are defined in the Act for the research and development of providing expanded wastewater services through cooperative efforts between LORCO, Ohio, and its townships and municipalities.

Section 3. It is the intention of the Parties and LORCO that this Agreement be liberally construed to make available to the Parties, through the actions of LORCO, all of the benefits of the Act, in furtherance of the public purposes set forth in the recitals to this Agreement.

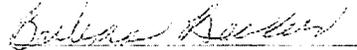
By:  \_\_\_\_\_

Robert J. Bemer, Executive Director

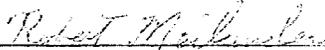
Lorain County Rural Wastewater District

By:   
Robert Fowler, Safety Service Director

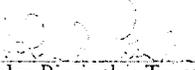
City of Lorain, OHIO

By:   
Mayor Barbara Becker

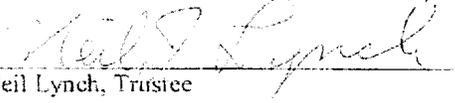
Village of South Amherst, OHIO

By:   
Mayor Robert Meilander

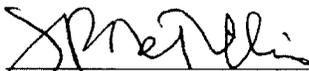
Village of Kinton, OHIO

By:   
John Piwinski, Trustee

New Russia Township, OHIO

By:   
Neil Lynch, Trustee

Amherst Township, OHIO

By:   
Name, title  
Frank P DeTillio, President & CEO

Lorian County Chamber of Commerce OHIO

<b>Lead Applicant</b>	Lorain County Rural Wastewater District (LORCO)	Round 5	
<b>Project Name</b>	Wastewater Feasibility for Regional Service including Unsewered	<b>Type of Request</b>	Grant

## Scoring Overview

### Section 1: Collaborative Measures

Collaborative Measures	Description	Max Points	Applicant Self Score
<b>Population</b>	Applicant's population (or the population of the area(s) served) falls within one of the listed categories as determined by the U.S. Census Bureau. Population scoring will be determined by the smallest population listed in the application. Applications from (or collaborating with) small communities are preferred.	5	5
<b>Participating Entities</b>	Applicant has executed partnership agreements outlining all collaborative partners and participation agreements and has resolutions of support. (Note: Sole applicants only need to provide a resolution of support from its governing entity.	5	5

### Section 2: Success Measures

<b>Past Success</b>	Applicant has successfully implemented, or is following project guidance from a shared services model, for an efficiency, shared service, coproduction or merger project in the past.	5	5
<b>Scalable</b>	Applicant's proposal can be scaled for the inclusion of other entities.	5	5
<b>Replicable</b>	Applicant's proposal can be replicated by other local governments.	5	5
<b>Probability of Success</b>	Applicant provides a documented need for the project and clearly outlines the likelihood of the need being met.	5	5

### Section 3: Significance Measures

<b>Performance Audit Implementation/Cost Benchmarking</b>	The project implements a single recommendation from a performance audit provided by the Auditor of State under Chapter 117 of the Ohio Revised Code or is informed by cost benchmarking.	5	5
<b>Economic Impact</b>	Applicant demonstrates the project will promote a business environment (i.e., demonstrates a business relationship resulting from the project) and will provide for community attraction (i.e., cost avoidance with respect to taxes).	5	5
<b>Response to Economic Demand</b>	The project responds to current substantial changes in economic demand for local or regional government services.	5	5

### Section 4: Financial Measures

<b>Financial Information</b>	Applicant includes financial information (i.e., service related operating budgets) for the most recent three years and the three year period following the project. The financial information must be directly related to the scope of the project and will be used as the cost basis for determining any savings resulting from the project.	5	5
<b>Local Match</b>	Percentage of local matching funds being contributed to the project. This may include in-kind contributions.	5	1
<b>Expected Return</b>	Applicant demonstrates as a percentage of savings (i.e., actual savings, increased revenue, or cost avoidance) an expected return. The return must be derived from the applicant's cost basis.	30	10
<b>Repayment Structure (Loan Only)</b>	Applicant demonstrates a viable repayment source to support loan award. Secondary source can be in the form of a debt reserve, bank participation, a guarantee from a local entity, or other collateral (i.e., emergency fund, rainy day fund, contingency fund, etc.).	5	0

**Total Points**      **51**